

EUI ACTIVITY REPORT 2020



Report on calendar year 2020

Published in May 2021 by the European University Institute

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With the support of the
Erasmus+ Programme
of the European Union

The European Commission supports the EUI through the European Union budget. This publication reflects the views only of the author, and the Commission cannot be held responsible for any use which may be made of the information contained therein.

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INTRODUCTION

The year 2020 was a year like no other for the Institute. One key element in the EUI's identity is its truly unique international nature and thus, the COVID-19 pandemic struck the institution at its heart. The Institute also relies on social interaction to cultivate the interdisciplinary research and the policy dialogue that are two of its hallmarks. Nevertheless, the EUI showed a very high level of resilience and adaptability, which enabled it to fulfil its missions and objectives. It was able to reach pragmatic solutions to maintain continuity and meet the needs of the academic community in a volatile environment. In turn, members of the academic community had to rethink their methodologies, planning and outputs to reach their research objectives in the shifting circumstances.

Throughout the year, a set of campus policies was designed to correspond to Italy's changing risk levels. Campus access, security and the use of facilities were heavily regulated and sanitisation procedures were implemented in line with national regulations. Thanks to this, the EUI could welcome in September 2020 its largest cohort ever of fellows, researchers and students. Digital communication tools and learning platforms were optimised or newly implemented and provisions were introduced to be able to work remotely whenever needed.

Brexit was a second challenge for the Institute throughout 2020 as the political scenario at the European level continued to generate instability for the EUI. Brexit has not only affected the Institute in financial terms given the possible reduction in the contracting-state funding stream, but has also created a climate of uncertainty.

In spite of this, 2020 was an important year for the development of the Institute. It saw spectacular success in our ERC campaign, and the arrival of a new director for the School of Transnational Governance (STG), Professor Alexander Stubb. The STG also welcomed its first full-time faculty members, and launched its new Masters programme. The EUI mobilised its interdisciplinary resources to focus on supporting and disseminating research and information on the COVID pandemic, also demon-

strating an ability to formulate timely and informed responses to pertinent and unexpected challenges in world politics and society.

Throughout the year, the EUI continued to work towards the priorities set forth in its [strategic objectives](#), with notable achievements on several priorities. Important steps have been made in the development of the CIVICA European university alliance, a key element in our networking strategy. 2020 saw a consolidation of our global outreach efforts, as well as the activation of four interdisciplinary research clusters, one of the priorities among our strategic objectives. Each of the clusters is centred around a societal interest that is broad enough to allow diversity between and within disciplines and carries substantial policy relevance.

The EUI also carried out a reflection on the organisation of the administration to align it with strategic priorities.

In sum, despite the adverse environment created by the pandemic, 2020 has seen a tangible strengthening of the EUI's three pillars of activity. These developments are analysed in further detail in the following pages.



HIGHLIGHTS FROM 2020

1

January

- [Glenda Sluga](#) becomes Professor of International History and Capitalism in HEC and RSC.
- [Jeffrey T. Checkel](#) takes up the SPS Chair in International Politics.
- EUI in Brussels: The [Middle East Directions Programme](#) addresses policy makers on the MENA region.
- The EU Institute for Security Studies and the STG co-organise the [European Cyber Diplomacy Dialogue](#) in Florence.
- EUI launches four interdisciplinary research clusters: [Technological Change and Society](#); [Inequality, Welfare and Social Justice](#); [Crisis of Expert Knowledge and Authority](#); and [Democracy in the 21st Century](#).

2

February

- [Nicolas Petit](#) joins the EUI as Joint Chair in Competition Law in LAW and the RSC.
- EUI leads [CIVICA's launch of training activities](#) for early stage researchers.
- The EUI Ethics Committee with the departments offer Research Ethics and Transparency training course to early stage researchers.

5

May

- [Benno Gammerl](#) joins EUI as Professor of History of Gender and Sexuality.
- [Alexander Stubb](#) becomes Director of the School of Transnational Governance.
- Historical Archives launches online exhibition [Europe and Europeans 1950>2020: 70th anniversary of the Schuman Declaration](#).
- A special online edition of [The State of the Union Conference](#) addresses COVID's impact on public health, the economy and global cooperation.

6

June

- EUI dissertation prizes awarded to [Christian Meyer and Alessandro Ferrari](#) in ECO, [Rián Derrig and Lamin Khadar](#) in LAW, [Déborah Dubald and Catherine Gibson](#) in HEC and [Björn Bremer](#) in SPS.
- EUI and the European Investment Bank establish the [EIB Climate Chair](#).
- EUI funds [17 internal projects](#) related to COVID-19.
- [European Digital Media Observatory \(EDMO\)](#) starts activities.
- EUI and the University of Florence launch the online discussion series [La società che verrà. Conversazioni allo schermo](#).

9

September

- EUI [welcomes new and returning members](#) of the academic community back to campus for the 2020/21 academic year.
- STG launches its [two-year master's programme](#) in Transnational Governance and welcomes its first cohort of 35 students.
- RSC Director Brigid Laffan welcomes 40 new fellows to the RSC and delivers the [Yves Mény Annual Lecture](#).
- Eight new full-time faculty members join the EUI: [Zeinab Aboutalebi](#), [Daniele Caramani](#), [Edouard Challe](#), [Nicolas Guilhot](#), [Sarah Nouwen](#), [Claudio Radaelli](#), [Diane Stone](#) and [Maarten Vink](#).
- Jos Delbeke appointed as the first EIB Climate Chair at the STG.

10

October

- The European Commission uses the *Media Pluralism Monitor 2020* in its *Rule of Law Report*.
- Historical Archives announces the new oral history programme [The European Commission 1986-2000. Memories of an institution](#).
- FSR Energy launches [four new online series](#): FSR Talks; Insights; Conversations; and Debates.
- The EUI's research repository [Cadmus reaches 25,000 records](#).

3

March

- With Italy in full-lockdown, [the EUI goes online](#) for all academic and administrative activities.
- [ERC awards five Advanced Grants to EUI faculty members](#) Youssef Cassis (RSC), Laura Lee Downs (HEC), Anton Hemerijck (SPS), Liesbet Hooghe (RSC) and Glenda Sluga (HEC & RSC).
- EUI conducts around 300 video interviews with candidates for the 2020/21 doctoral programme.

4

April

- RSC launches the [website](#) for the Horizon2020 project Differentiation: Clustering Excellence (DiCE).
- The [COVID Knowledge Hub](#) is put online.
- The researcher organisation 'Thoughts for Europe' takes its activities online with a zoom conference on 'Constitutional orders in times of crisis – The responses of European countries to COVID-19'.

7

July

- RSC's Centre for Media Pluralism and Media Freedom releases the [Media Pluralism Monitor \(MPM2020\)](#).
- STG joins the UN Team of Experts on the Rule of Law and Sexual Violence in Conflict and other distinguished institutions to launch [The Digital Dialogue Series: Conversations on national accountability for conflict-related sexual violence](#).

8

August

- Economics researchers lead the return for the 2020/21 academic year, arriving on campus for the department's Advanced Courses.

11

November

- The Academic Careers Observatory holds annual conference on [European Research Funding](#).
- A Schuman Conversation on 'The Biden Presidency: Resetting Transatlantic Relations' with former Ambassadors Anthony Gardner and David O'Sullivan.
- Former US Secretary of State [Hillary Rodham Clinton inaugurated the academic year](#) for the School of Transnational Governance with a speech and Q&A session.
- CMPF concludes its 5-week online school for journalists with a [special dialogue with EC VP Věra Jourová](#).

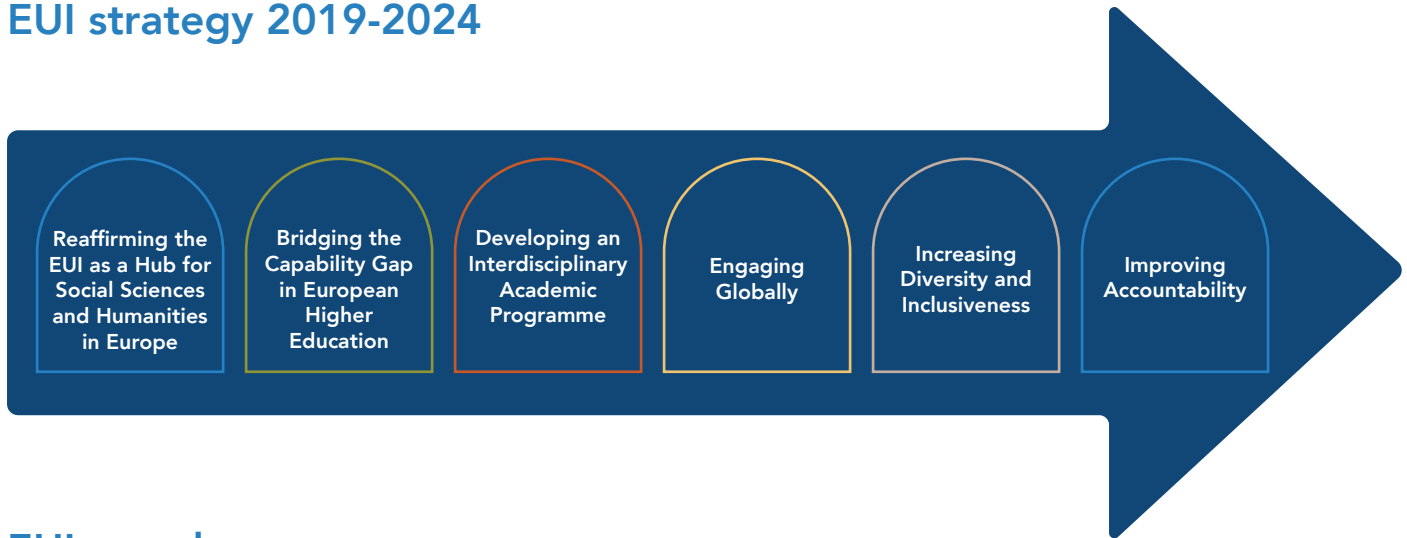
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December

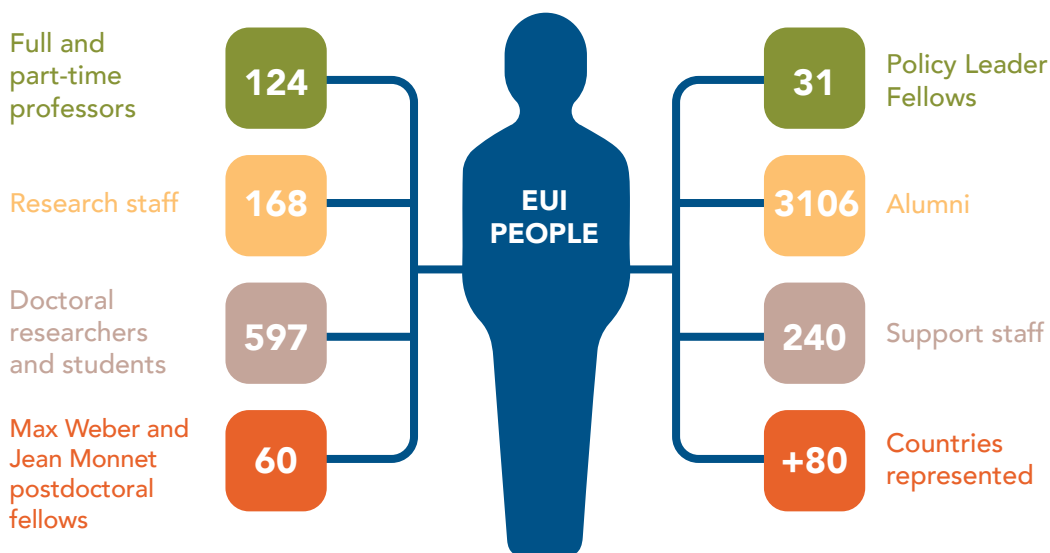
- The Historical Archives and the European Space Agency [sign a deposit agreement](#).
- With the support of the Library, Law researchers Niall Coghlan and Marc Steiert publish [The Charter of Fundamental Rights of the European Union: the travaux préparatoires and selected documents](#).
- The European Commission's Directorate-General for International Partnership announces support for the [Young African Leaders Programme](#) at the STG.

EUI IN NUMBERS

EUI strategy 2019-2024



EUI people

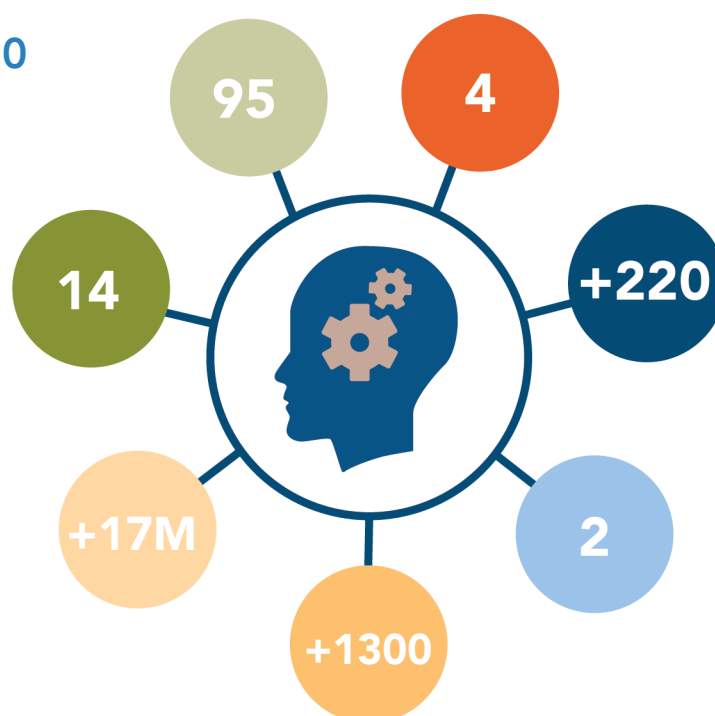


Engaging externally



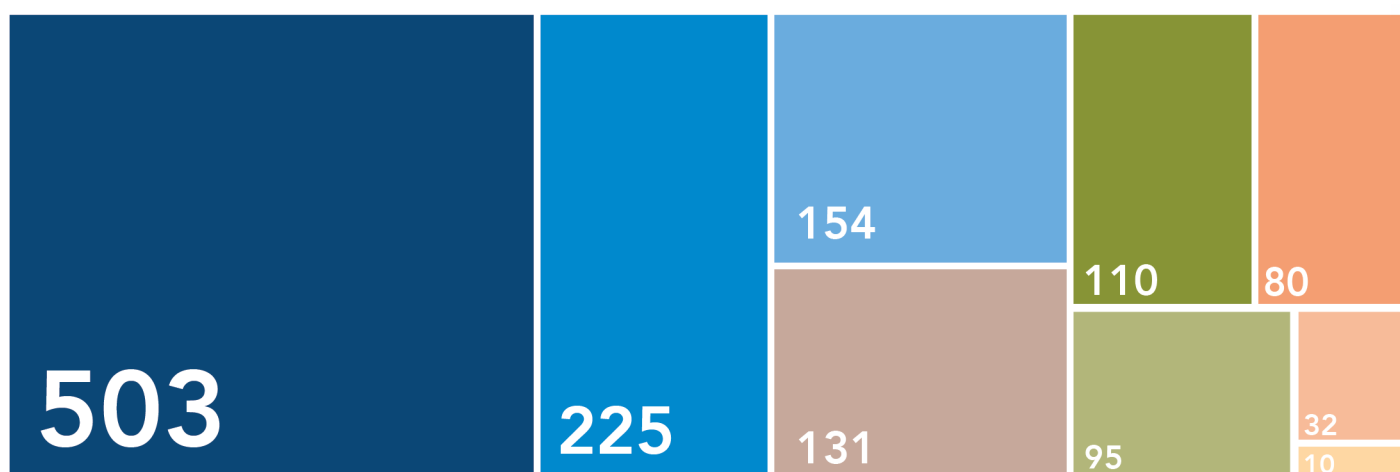
Research and Training in 2020

- Interdisciplinary research clusters
- Defended PhD & LLM theses
- ERC projects
- External funding for research activities
- Research outputs added to Cadmus
- COVID-19 research items
- Online summer schools



2020 research output in the institutional repository Cadmus

(55 per cent of 2020 outputs are available in Open Access)



- Articles
- Policy briefs, blog posts, op-eds, etc.
- Working papers
- Contributions to books
- Research reports
- Books
- Theses
- Audio/Visual
- Datasets

TRAINING EARLY STAGE RESEARCHERS

The training of early stage researchers (ESRs) has been a defining feature of the EUI, first through its doctoral programmes in the Departments of Economics, History and Civilisation, Law, and Political and Social Sciences, and, since 2006, the multidisciplinary Max Weber Programme for Postdoctoral Studies.

Departments offer structured, closely-supervised doctoral and postdoctoral programmes that nurture excellence in research and prepare young scholars professionally for careers in academia and elsewhere.

In 2020 the EUI expanded its contributions in this area to our partner universities in the CIVICA alliance.

The bedrock of our doctoral programmes is our faculty, and in 2020 the EUI attracted top scholars with proven records in teaching and research. These new faculty members strengthen our existing offer, while also bringing innovative methods and diverse perspectives on research.

The EUI had 43 full-time faculty members in the four academic departments in December 2020: 11 in Economics, 9 in History and Civilisation, 12 in the Department of Law and 11 in Political and Social Sciences. Around 37 per cent of these faculty members are female.

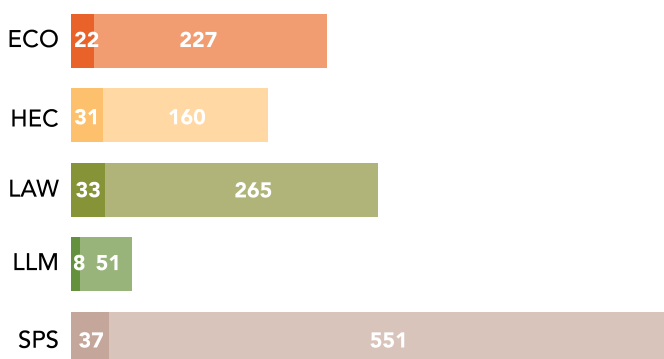


THE DOCTORAL PROGRAMME

In 2020, 1254 PhD and LLM candidates applied to the Institute, and in September, 131 researchers registered for the doctoral and LLM programmes. This represents an admission rate of about 10 per cent, consistent with the historical average over the last decade.

Figure 1:

Application and admission by department, 2020-21 recruitment procedure



In 2020, 564 researchers were registered for our doctoral and LLM programmes. Of them, 54 per cent were male, 83.5 per cent were from EUI Contracting States and the UK, 3 per cent from Norway and Switzerland, 13 per cent from non-EU countries and 0.5 per cent from EU Member States not adhering to the EUI.

Figure 2:

Total number of registered doctoral and LLM researchers in 2020/21, by department



Each of the departments offers a structured programme leading to the PhD, with coursework and seminars offered along with academic skills courses designed by the departments, the Academic Service and the Library. Doctoral researchers themselves also lead dozens of working groups across the Institute, many of them interdisciplinary.

Supervision is a key element of the training process, from the first day through the defense and preparation for the job market. Supervisees at the EUI are generally satisfied with their supervisors, reporting rates with an average of 85 per cent across the departments. At least 50 per cent of researchers in every department report being very satisfied with their supervision.

While all departments managed to deliver their teaching programmes under COVID restrictions, either in online or hybrid format, some skills activities, such as teaching placements, were reduced due to the pandemic. Nevertheless, more than 300 researchers participated in academic skills courses including teacher training; public speaking; early careers planning; equality, diversity and inclusion in higher education; CV and cover letter writing; and career skills for securing postdoctoral employment.

In 2020, a new course, developed by the EUI Ethics Committee with faculty from the departments, was delivered on 'Research Ethics and Transparency'. The course was open to the entire community and covered fundamental aspects on ethical issues arising when conducting research in social sciences with human subjects, as well as general legal issues associated with data protection regulations in Europe. The course has been expanded and is now included in the offering to ESRs in the CIVICA network.

The completion rate of the doctoral programme remained high in 2020. The average completion rate (PhDs awarded/researchers admitted) for researchers admitted from cohort 2009 to 2013 (inclusive) is 86.7 per cent.

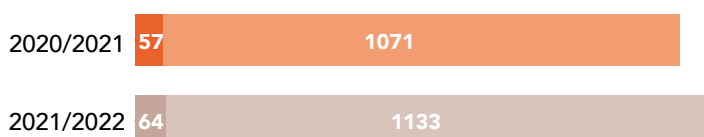
THE MAX WEBER PROGRAMME

The Max Weber Programme (MWP) started in September 2006 and is now the largest international postdoctoral programme in the social sciences and humanities in Europe. Each year the Programme receives applications from more than 90 countries; the 2019/20 cohort of admitted fellows represented 29 countries from around the world.

Entry into the programme is highly selective. Only 5 per cent of applicants for the 2020/21 academic year were admitted (4.2 per cent, not counting fellows returning for a second year). Applications for the 2021/22 year have increased by about 60, with an expected admission rate of about 6 per cent (4.2 per cent, not counting fellows returning for a second year).

Figure 3:

Applications and admission to the Max Weber Programme, academic year 2020/21 and 2021/22*



*Admission figures include second year fellows; admissions figures for 2021/2022 are expected figures.

Figure 4:

Departmental affiliation of 2020/21 Max Weber Fellows



The MWP is a taught postdoctoral programme. It combines support for research with teaching, academic, communication and other career development skills. The Programme offered 28 skills training activities in 2020, ranging from master classes to courses on publishing, writing and being competitive on the job market. Our fellows are highly attractive to future employers. The 2019/20 cohort had an excellent success rate, with 93.4 per cent of candidates on the job market moving on to employment, 95 per cent of those in academia.

Finally, the Max Weber Programme successfully places fellows on the path for international mobility, one of its priorities. Last year, about 65 per cent of job seekers took up employment in countries different from where they had received their PhD.



The Programme's competitiveness and international character are a great resource for the Institute as a whole. The postdoctoral fellows are integrated into the four departments and the Robert Schuman Centre, and serve as a bridge between professors and PhD candidates, offering informal mentoring and advice for researchers nearing completion. The Fellows foster research collaboration across the departments, and have been instrumental in the activation of our interdisciplinary research clusters, described below.

The Programme's Academic Careers Observatory (ACO) is also at the service of ESRs from across the Institute. The Observatory offers online resources on national academic careers and research opportunities in the social sciences and humanities, throughout Europe and beyond. In January, it hosted its annual conference on 'National and European Research Funding Opportunities in the Social Sciences for Young Researchers'. The conference gathered together representatives of European and national funding agencies as well as young academics to discuss research schemes and grants available to international researchers in the Social sciences and humanities, and provide tips on how to be successful in grant writing.

TRAINING ESRs THROUGH CIVICA

2020 also marked the launch of the EUI's concrete activities in CIVICA, the European University of the Social Sciences. In this respect, the EUI moved forward on its responsibilities for creating a European space for early stage researchers (ESRs) in the social sciences and humanities.

Over 2020, ESR training in CIVICA adapted its work to the situation resulting from the COVID-19 pandemic. Specifically, it transformed the emphasis on physical exchanges and mobility into a focus on digital cooperation between the partners. In the spring and early summer of 2020, the information needed to assess the scope for joint academic activities was collected. Surveys were sent out concerning the set-up of doctoral training programs and the courses offered at the partner universities. Courses and classes taught online were made available to PhD researchers from across the alliance in the fall 2020. The EUI organised the collection and publication of the related information, and the first researchers were able to register for a number of courses from October 2020. Many researchers from partner universities have expressed interest in skills courses offered by the EUI. The participation in courses will provide the basis for the set-up of the Virtual PhD Clinic.



In parallel, the EUI established an online course catalogue and registration system that allows partners to publish the digital course offers more actively and to keep track of the registrations more easily. The system is running through the CIVICA website but is administered by the EUI.

SUMMER SCHOOLS

In a typical year, the EUI offers a range of summer schools that broaden its offer to students not enrolled with the EUI, many aimed at the master's level. They are often organised in collaboration with partner universities. Due to travel restrictions related to COVID, the Institute's summer school activities were sharply curtailed. Moving to online formats, the Academy of European Law offered its general course on the *Law of the European Union*, and the Department of History held its summer school on *Global and Transnational History: Theories, Methodologies and Case Studies*.

EXCELLENCE IN RESEARCH

Scholars at EUI ask fundamental questions about human society and investigate complex, real-world problems. Our research embraces interdisciplinary, comparative or contextual approaches, and many of our initiatives have a specifically European perspective. Our research strategy promotes interaction between EUI scholars at all career levels and policy-makers the world over to make lasting contributions to research and policy agendas.

RESEARCH RELEVANT TO COVID-19

Given the momentous human, economic, social and political impact of the COVID emergency on society, the EUI mobilised quite early to contribute to knowledge and inform policy-making on the pandemic.

In early spring the EUI launched a competitive selection to promote and fund new research by the academic community. 17 projects received central EUI funding, and departments and programmes across the EUI raised funds or allocated resources for additional COVID-related research. In these [projects](#) EUI researchers, fellows and faculty tackled a broad range of questions, from how governments are making—and remaking—policy, to the effects of public health measures on people's daily lives, to changing discourse on risk, rights and authority, as well as the pandemic's effects on trust and generosity toward neighbours and fellow citizens.

To give greater visibility to social sciences and humanities research in the making of effective policy to address the pandemic, and to provide a platform for the EUI's own contributions on the issue, in April the Institute launched the [EUI COVID-19 Knowledge Hub](#). The Hub brings together the Institute's research projects and publications, but also offers the [COVID-19 Social Sciences and Humanities Data Portal](#). This portal, developed by a cross-departmental team led by Professor David Levine, aims to accelerate discovery and data sharing and re-use. Today nearly 200 datasets are available on the portal.

The Library also facilitated access to EUI research on the pandemic by creating a special collection in the institutional repository, Cadmus. [This collection](#) includes conventional publications, but also blog articles, op-eds, podcasts, videos and more.

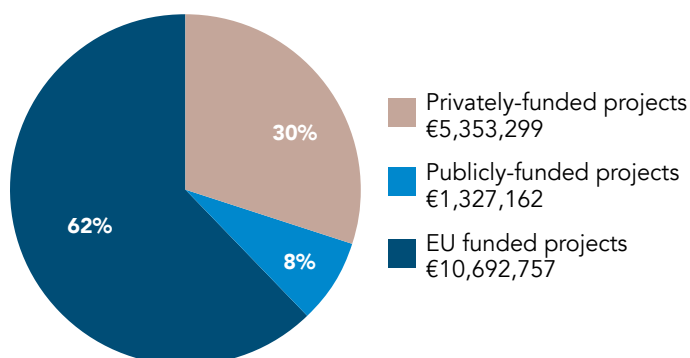
Finally, during 2020, the Max Weber programme and its Academic Careers Observatory (ACO) ran an on-line monitor of [the impact of COVID on the academic job market](#) in the social sciences and the humanities and the knock-off effects on the larger scientific community.

SUCCESS IN RESEARCH FUNDING COMPETITIONS

2020 was an excellent year for the EUI in terms of external funding for research. Approximately 62 per cent of our externally funded projects are EU funded, another 30 per cent are privately funded, and 8 per cent are publicly funded.

Figure 5:

Breakdown of externally-funded research projects 2020



In March, [the European Research Council awarded five prestigious Advanced Grants to EUI applicants](#).

At the Robert Schuman Centre, Professor Youssef Cassis will examine how financial actors remember financial crises, looking for a missing link in the understanding of their recurrence, while Professor Liesbet Hooghe seeks to explain the intensity of polarisation on the issues of immigration, Europe and climate change. In the Department of History and Civilisation, Professor Laura Lee Downs will examine how local non-state actors contributed to shaping welfare systems in three European borderlands, in a reframing of this social history. Political and Social Sciences Professor Anton Hemerijck will assess the returns on social investment in European states facing the complexity of knowledge economies, work/life balance and ageing societies. Finally, Joint Professor Glenda Sluga (Schuman and History) will investigate the eco-

conomic ideas—and their often-invisible authors—that have fashioned alternative paths to globalisation.

In addition to ERC success, the EUI was running more than 90 externally funded research projects that were active during 2020. These range from individual fellowships, such as the prestigious Marie Skłodowska-Curie fellowships, to multi-institution collaborations and networks.

EUI faculty members have also had success at the national level. To mention just two, Professor Thomas Crossley is co-leader on a survey on COVID-19 of Understanding Society, a prestigious household longitudinal study in the UK. Professor Edouard Challe will coordinate the new project *Individual Risks and the Macroeconomy* that is financed by France's Agence National de Recherche.

INTERDISCIPLINARY RESEARCH

Interdisciplinary and programmatic research is a long-established practice at the EUI.

In 2020, the four interdisciplinary research clusters selected by the EUI's Research Council launched their activities. Each cluster addresses a theme of high societal interest and substantial policy relevance, which is broad enough to incorporate diverse approaches across and among disciplines. Scholars and researchers from all academic units and at all levels participate in the clusters. The four clusters established in 2020 are [Technological Change and](#)

[Society, Inequality, Welfare and Social Justice](#), [Democracy in the 21st Century](#), and [Crisis of Expert Knowledge and Authority](#).

Faculty members from different disciplinary backgrounds co-lead each cluster, and compete annually for funding from the Research Council. The initial four clusters have already secured funding to continue in 2021, and in 2020 a fifth cluster successfully applied to start up activities in 2021.

Despite operational challenges due to the COVID-19 pandemic, the clusters mounted 18 internal and external events in their first year. Among these were a November online event together with the Florence Competition Programme on 'The New Competition Tool and the Digital Services Act: EU Competition Policy at a Crossroads' (Technology cluster); a roundtable on 'The 2020 Election and the Crisis of US Democracy' (Democracy cluster); and several critical debates of Thomas Piketty's new work *Capital and Ideology* (Inequality cluster).

Interdisciplinary scholarly exchange and collaboration is the first principle of the Max Weber Programme for Postdoctoral Studies (see also section on Training of ESRs). The programme's formalised 'Thematic Research Groups' bring together Max Weber fellows from multiple disciplinary backgrounds to discuss individual research; each group is under the mentorship of two-three faculty members from the departments and the Robert Schuman Centre. In 2020, the thematic groups included Citizenship and Migration; Governance, Constitutionalism and Democracy; Ideas, Concepts and Theory; Tommaso Padoa-Schioppa: Assessing the Fiscal and Monetary Framework of the EU; Europe in the World: International Relations, International Security, World Politics; and Eastern Europe as a Laboratory of Change.

Interdisciplinarity is also of growing importance to the [working groups](#) that are coordinated by EUI doctoral researchers, on a voluntary basis, within each academic department. In 2020, a full 1/3 of the 45 active working groups were jointly run by ESRs from two or more departments, enriching the peer discussions of work-in-progress.



RESEARCH INFORMING POLICY: NEW DEVELOPMENTS

The [*European Digital Media Observatory*](#) (EDMO) created on the initiative of Professor Madeleine de Cock Buning of the STG, brings together fact-checkers and academic researchers to promote scientific knowledge on online disinformation, advance the development of fact-checking services and support media literacy programmes.

Equality Law in Europe offers an open-access database of CJEU discrimination cases between 1970 and 2018 that is the first of its kind. A project at the [Academy of European Law](#), it is headed by Professor Claire Kilpatrick.

The *Media Pluralism Monitor*, based at the Schuman's [Centre for Media Pluralism and Media Freedom](#) and led by Pier Luigi Parcu, is a scientific and holistic effort to document the health of media ecosystems, detailing threats to media pluralism and freedom in EU member states and some candidate countries. The project is funded by DG COMM; the 2020 report (MPM2020) was mentioned in the [EC's Rule of Law Report](#).

Law Professor Giovanni Sartor's ERC Horizon2020 project *Computable Law* provides epistemic, technical and normative guidance for the development of computable laws and law compliant computations.

In the autumn of 2020 the EUI'S School for Transnational Governance was designated [the European hub](#) for an international initiative, [Reform for Resilience \(R4R\) Post-Pandemic Policy Commission](#). The R4R brings together leaders from politics, business, health sectors and the sciences to frame practical recommendations for more resilient economies and societies in a post-COVID world. Its other regional hubs are India, Asia and North America. The hub is chaired by STG Director Alexander Stubb.

HISTORY OF EUROPEAN INTEGRATION: THE HISTORICAL ARCHIVES AND THE ALCIDE DE GASPERI CENTRE

Increasing scholarly research on EU historical archives is a core mission of the Historical Archives of the European Union (HAEU). The Archives has advanced its immersion in the research landscape with the establishment, in 2015, of the Alcide De Gasperi

Research Centre (ADG), which is co-directed by the Chair on European integration history of the History Department and the Director of the Historical Archives. With the Centre, the HAEU has accelerated its role in attracting scholars to consult archives in Florence, and has become member of consortia and research projects.

In 2020, the HAEU took an active role in the management of the oral history interviews for the project *Histcom3 – The European Commission (1986-2000) – History and Memories of an Institution*, and published a set of 229 interviews in the oral history online platform. Moreover, during 2020 the results of the EPP Group research project *The European Ambition: The Group of the European People's Party and European integration* were published as an [edited volume](#). The HAEU and the ADG also teamed up for six academic seminars concerning research from the Archives holdings, four of which were online.

2020 RESEARCH PUBLICATIONS AND DATA – HIGHLIGHTS

Over 1300 research outputs [published in 2020](#) were added to the Institute's research repository Cadmus, to date. These include 503 peer-reviewed journal articles; 80 books; 95 theses; 131 book chapters; 154 working papers, 110 research reports; and well over 200 policy briefs and items of public commentary. Ten original research datasets were also added in 2020.



TRAINING OF CURRENT AND FUTURE POLICY MAKERS

Hand-in-hand with the EUI's efforts to inform policy with research are its actions in training policy makers and practitioners via executive education, policy workshops and summer schools. The EUI is extending its range in this area via new programmes and outreach to new audiences, reinforcing the education dimension with a strong focus on training for leadership, communications, and policy-making. In 2020, the EUI organised nearly 80 online events to reach thousands of participants in the policy sphere.

EXECUTIVE EDUCATION

The COVID pandemic in 2020 fundamentally altered the environment for the delivery of training courses. The programmes had to rapidly adapt to the new situation and transform their courses into an on-line format. The EUI invested heavily in equipment and on-line expertise to support this important development. During 2020, the Schuman Centre ran 26 on-line courses, more than double the number in 2019. The pandemic also prompted the STG to shift its focus towards offering online or hybrid trainings as well as a series of webinars.

The Florence School of Regulations's Energy cluster ran a large number of courses on the EU Clean Energy Package, Energy Systems, Electricity and Gas, linking emissions trading systems, sustainable development and electric cars. Two of the courses were tailor-made for DG Energy in the Commission and the World Bank. The communications and competition cluster ran courses for competition judges on EU Competition Law and Regulation in the Telecom, Energy and Pharmaceutical Markets, an annual training on Competition and Regulation in the Digital Era, Spectrum Policy and Access and Regulation of Electronic Communications Networks.

The School of Banking and Finance offered courses on recovery and bank resolution, financial regulation and supervision, Fintech, panel data for banking sector analysts, and anti-money laundering.

The Global Governance Programme ran nine Massive Online Courses on cultural diversity and heritage, governing religion, interfaith dialogue, religion and radicalisation and on why people migrate.

THE MASTER IN TRANSNATIONAL GOVERNANCE

The key development for the STG in 2020 was the launching of the Master's Programme in Transnational Governance. Out of the many applicants to the new programme, half were extra-European. The



two-year degree started in September with the registration of 35 students. The multidisciplinary curriculum for first year students includes an introduction to transnational governance, courses in methods, policy design and negotiation, economics, and politics. The skills element of the training included subjects such as leadership, project management, CV development and job interviews, MS Excel, rhetoric, argument, and debate, gender mainstreaming, and more. The second year of the programme instead foresees mobility arrangements, internships, as well as specialisation tracks. The groundwork for these placements was prepared in 2019 and 2020 through numerous partnership agreements signed between the EUI and external organisations.

POLICY FELLOWSHIPS AND DIALOGUES

In 2020 the Policy Leader Fellowship programme brought 39 early and mid-career policy practitioners from around the world to the Institute for stays of three, six or nine months. During their fellowship, participants engaged in targeted professional skills development workshops in the areas of leadership, communications and policy-making. The 2020 cohort has produced several policy relevant outputs for the local as well as global contexts on a variety of themes, using the EUI venues and networks. These include book monographs, journal articles, podcasts, webinars, interviews and op-eds.

Despite COVID 19, the EUI was very engaged with its stakeholders and managed to broaden its audience. It addressed major policy dilemmas and challenges. A number of policy dialogues were hosted in presence and—mostly—online, bringing together hundreds of participants. Events included the ‘European Cyber Diplomacy Dialogue’, a policy dialogue on the Donbas, and a policy dialogue on the implementation of the EU Recovery Plan, which brought together key stakeholders to discuss the preparation of the implementation of national recovery and resilience plans of EU institutions and member states on COVID. Moreover, attention was paid to the challenges raised by the pandemic in relation to fiscal policy, Europe’s banking system, the role of migrant workers during the pandemic and trade policy coherence in times of COVID.

The work of the Transformation of Global Governance Project continued, with a policy dialogue co-organised with Bruegel on ‘Health: Crisis Governance for a Vital Global Public Good’. The ‘EUI Forum on Democratic Participation and the Future of Europe’ was launched, and convenes monthly to explore the current transformations of democracy within the European and global political sphere.

In July the School of Banking and Finance held a debate on the topical issue of ‘Consolidation in the EU Banking Sector’ that involved a member of the ECB Supervisory Board, a former member of Bundesbank and a Director of Unicredit. The MEDirections programme organised a series of roundtables on the political economics of the Middle East and North Africa and on the Arab uprisings and the EU. The Green Deal and sustainability featured strongly in workshops and webinars that focused on carbon leakages, water management, electricity pricing, decarbonising the energy sector and sustainable transport.

In May 2020, #FlorenceLive was launched, a weekly live-streamed webcast with conversations on the implications of COVID-19 in a number of thematic and policy areas. Topics included democracy, the digital world, state vs. market and the impact on migration. In May-June 2020, the format welcomed 30 participants including high-profile speakers such as Mo Ibrahim, Ylva Johansson, Cecilia Malmström, Alexander Schallenberg and Anne-Marie Slaughter, to name but a few, and garnered almost 30,000 views. Following the first edition’s success, the second season of #FlorenceLive launched in September 2020, with a broader thematic focus on policy issues in the world today. Topics included a conversation on ‘Women at 2020’ (marking the 25th anniversary of the Fourth World Conference on Women) and a US election special.

THE STATE OF THE UNION CONFERENCE

The State of the Union Conference, organised each year at the beginning of May, offers a first-class opportunity to showcase the Institute’s contribution to European policy-making.

2020 also saw the online hosting of the conference, now in its tenth year. The event brought together experts from all over the world to discuss the implications of COVID-19 for three key policy sectors: public health, the economy and global cooperation. Among the 23 prominent speakers were Charles Michel, President of the European Council; Christine Lagarde, President of the European Central Bank; Kristalina Georgieva, Managing Director of the IMF; Paolo Gentiloni, the European Commissioner for Economic and Financial Affairs; and Giuseppe Conte, Prime Minister of Italy. Over 9000 people around the world followed the live-streaming of the conference.



ENGAGING GLOBALLY

The EUI acknowledges the increasing internationalisation of national higher education and therefore recognises that it can no longer limit its parameters to Europe if it is to compete in the international arena and uphold research impact at the global level. Engaging globally and internationalising our programmes are therefore an explicit priority enshrined in the EUI Strategy 2019-2024.

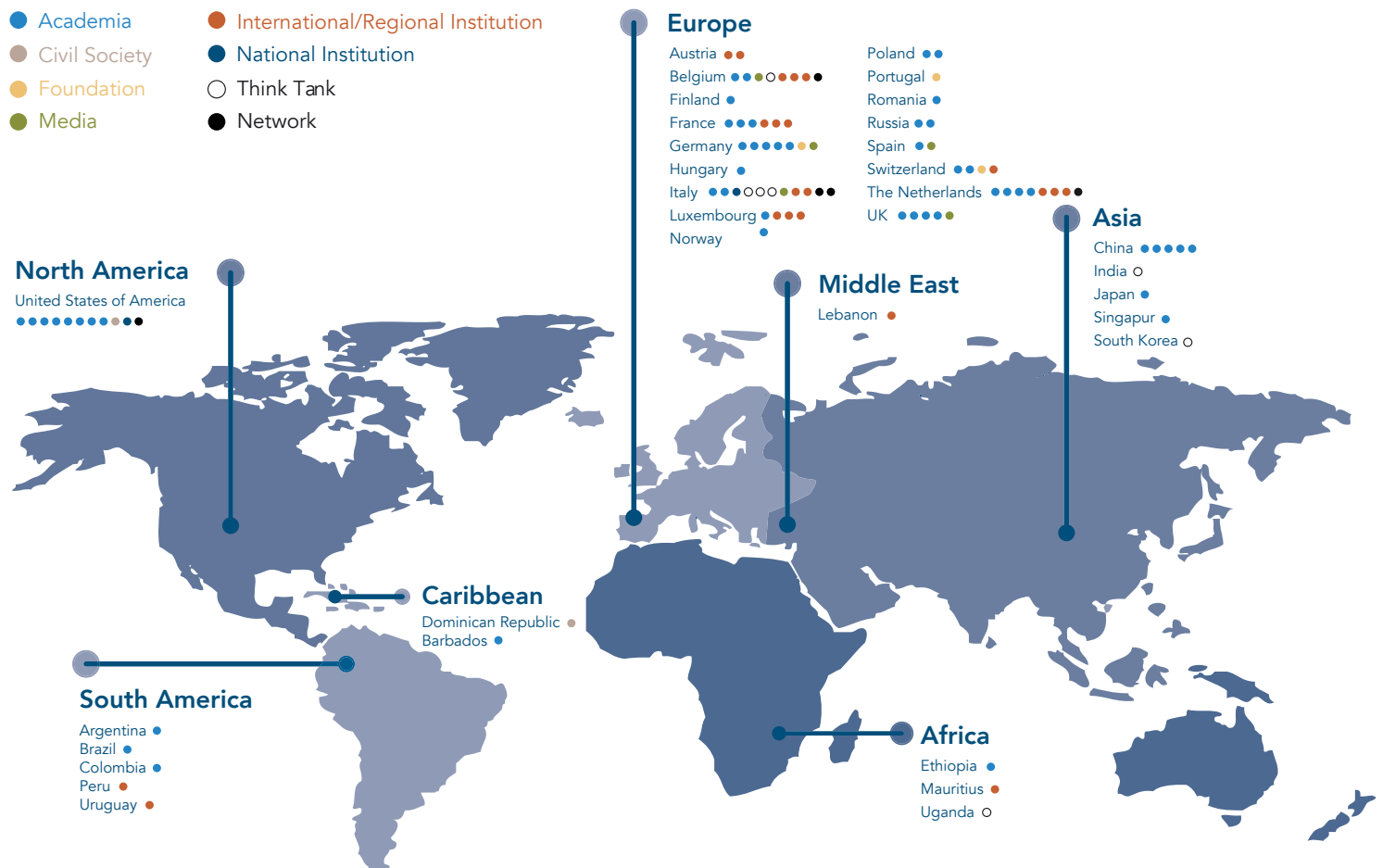
The COVID-19 pandemic has had an inevitable impact on the pace of EUI engagement with external actors, as well as on the opportunities for networking and outreach events. That said, the pandemic has stimulated engagement with international partners to shift to the digital domain, with many EUI partner institutions contributing to EUI online events and vice versa. In the long term, despite the pandemic's initial hindrance, this is a positive shift that will in fact facilitate the EUI's external relations, increasing the speed and frequency of international dialogue.

The main channels towards the internationalisation of the EUI are targeted action in external relations; policy-driven research; training on transnational governance; and diversification of the academic body of students, researchers and fellows.

DEVELOPING INTERNATIONAL PARTNERSHIPS

External relations activities in 2020 aimed at further internationalising the EUI, with a view to bolstering connections to the world and enhancing the visibility of the EUI as a leader in higher education and research in the social sciences and humanities, relating to European integration as well as global societal challenges. The focus of external relations engagement has been to support and develop relationships with partners globally, strengthening existing links and developing new strategic partnerships to undertake collaborative research, teaching and international capacity development cooperation projects.

Geographical distribution of EUI Partners



The EUI currently has 98 active partnership agreements with different categories of actors. Half of these (53 per cent) are with higher education institutions; 21 per cent with international/regional organisations; and the remainder span civil society groups, foundations, think tanks, the media, research networks and national authorities. Two-thirds (67 per cent) of the EUI's partners are located in Europe; one-third are outside Europe.

Overlapping the EUI's second strategic priority to bridge the capability gap in European higher education with a focus on enlargement in the EU and a focus on the Western Balkans, EUI external relations have opened constructive dialogue with authorities and universities in the Western Balkans, and with the European Commission (DG EAC and DG NEAR) and EU Delegations in the region. Action in this area aims to identify relevant academic partners, funding opportunities and raise awareness for EUI engagement in the region.

INTERNATIONALISATION THROUGH POLICY-DRIVEN RESEARCH

The Robert Schuman Centre continued to increase the EUI's international footprint, as the Mediterranean Chair has developed into the Middle East Directions Programme (MEDirections) and other programmes, including the Florence School of Regulation (FSR), have begun to extend their outreach globally. Now, four Schuman programmes focus on Europe and the wider world: The Global Governance Programme (GGP); Migration Policy Centre; MEDirections; and the FSR.

In 2020, the Centre had 28 projects running in the thematic area '21st Century Global Politics and Europe'. All of these projects involved academic and policy partnerships beyond Europe. The 'International Economics' strand in the GGP has built up niche excellence in global trade and was therefore an appropriate partner for DG Trade (EU Commission) for the launch in 2020 of the EU's Trade Policy Review. The public consultation for the review was unveiled in June 2020 by Commissioner Phil Hogan at the EUI. The event received 3700 views on YouTube. The invitation to host the launch stemmed from the GGP's H2020 RESPECT project *The Strategic Potential of EU External Trade Policy*, which pursues four overarching objectives dealing with EU trade policy with valuable input from its numerous consortium members.

The MEDirections programme hosts a major programme funded by DGNear on *Challenges and Policy Options in Wartime and Post-Conflict Syria* and the EU's Instrument contributing to Stability and Peace funds the *Libya Dialogue Platform*. Regular roundtables are organised with diplomats and policymakers, international NGOs and international organisations to discuss the research outputs. In 2020-2021, a series of policy briefs published on international and local dynamics in the city of Sirte raised the interest of the United Nations Special Representative to Libya and of international organisations working in the field of mediation between armed groups. This has led to official requests for advice and support. Formal cooperation is also in place with the United Nations Support Mission in Libya's Joint Analysis Hub. In addition, MEDirections hosted a Workshop on 'New Players and Dynamics in the MENA Region and Africa' at the Policy Centre of the New South, Morocco.

In February, the Global Governance Programme organised together with Professor Ken Endo from Hokkaido University a workshop on 'Europe and Japan under the Shadow of Sino-American Rivalries'. There are a cluster of projects working on global citizenship law and on a Global Citizenship Observatory that requires engagement with country specialists across the globe.

In India, the Florence School of Regulation (FSR) is becoming the official partner of the EU Embassy to implement the new EU-India energy cooperation platform. Furthermore, DG Energy requested assistance to create a comprehensive programme of cooperation in China, which will be integrated in the new EU-China energy cooperation platform. This international outreach builds on the FSR's legacy in Europe to facilitate the development and delivery of effective energy policy and regulation in Asia, Africa, Latin America and the Caribbean.



GLOBAL OUTREACH THROUGH TRAINING ON TRANSNATIONAL GOVERNANCE

In line with the EUI External Relations Strategy, the STG has pursued its objective to become a hub for academic and policy-making institutions. In 2020, it continued establishing and consolidating collaborations with renowned higher education institutions, as well as international and regional organisations, think tanks and civil society organisations in Europe and worldwide. The STG has worked with 24 diverse policy-making institutions worldwide, offering a curricular internship placement to all the Master students in the academic year 2020/21. For the Master' mobility programme, the STG has worked with nine academic institutions, offering 20 mobility places to students (academic year 2021/22). In a reciprocal and complimentary way, 20 mobility placements will be created for partners' students.

In 2020, the STG tightened its relationships with the European Commission and the European Parliament in the global context. First, under the renewed EU-Africa Partnership, the STG worked with the European Commission and its Directorate-General International Partnerships (DG INTPA), to build the Young African Leaders Programme. The Programme aims to deliver tailored-made training to future African leaders focusing on transnational perspectives. The STG has also worked with the Joint Research Centre (JRC) of the European Commission on its programme related to values in policy-making, and on an initiative to identify innovative modalities to deliver a training on *Evidence-Informed Policy-Making (EIPM)* to Western Balkans policy-makers. In this framework, the JRC has asked the STG to conceive and implement a pilot training on EIPM together with the Hertie School.

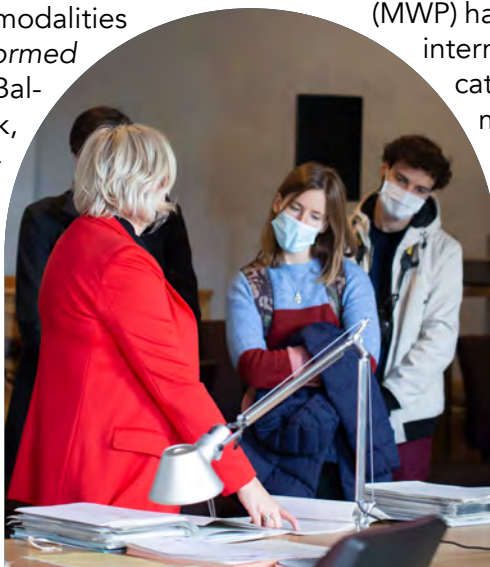
The STG also collaborated with UNHCR-Italy throughout 2020 to join the 'University Corridors' programme, which aims to provide young refugees residing in Ethiopia with access to master's programmes in Italy. 'University Corridors' is a multi-stakeholder partnership between UNHCR, the Italian Ministry of Foreign Affairs and International Cooperation, universities in Italy, and civil society organisations in Italy and Ethiopia.

INTERNATIONALISATION OF THE DOCTORAL AND FELLOWSHIP PROGRAMMES

The EUI's academic body is steadily internationalising. Students, PhD candidates, researchers, faculty and support staff come from inside and outside Europe, complemented by a globally diversified cohort of fellows, both recent post-graduate researchers and established academics, and young global policy leaders from Europe and beyond. One of the consequences of this is an intense connectedness of EUI departments with the best universities worldwide.

Most researchers in the EUI doctoral programmes hosted in the four departments are from the grant-awarding countries, but some international scholarships are available. Each year, the Italian Ministry of Foreign Affairs and Cooperation funds six researchers with applications from Albania, Bosnia and Herzegovina, People's Republic of China (PRC), North Macedonia, Montenegro, Kosovo, Russian Federation, Serbia, Turkey and the USA. In addition, the EUI provides one special doctoral fellowship per department (total of four) that welcomes international applications, and one grant is awarded by the Fundación Carolina for Latin American researchers. The EUI continues to negotiate new resources to expand its programmes to additional ESRs from outside of the EU.

The Max Weber Programme for Postdoctoral Studies (MWP) has long been a source of the EUI's internationalisation. Fellowship applications for each cohort are from more than 90 countries and the 2019/20 cohort represented 29 nationalities. The STG's MTnG and Policy Leader Fellowship Programme, equally with no nationality requirement, will further reinforce the internationalisation of the academic body. After an intense global campaign, the first year of the MTnG attracted 135 applications from 46 countries worldwide, half of which were from outside Europe. In the first cohort of students (2020/21), 18 nationalities from across the globe are represented.



SUPPORT SERVICES: KEY CONTRIBUTIONS

While it cannot be denied that COVID-19 hindered the Institute's capacity to fully realise all planned objectives, a series of achievements can be illustrated as follows:

ALIGNING THE ADMINISTRATION WITH THE EUI STRATEGY 2019-2024

Throughout 2020, the management carried out its planned review of administrative processes, systems and practices towards a formal revision of the administrative organisation of the EUI via a Presidential Decision. The review aims to modernise and restructure some areas of the administration in order to better support academic priorities of the EUI Strategy 2019-2024.

In line with strategic priorities, new regulations were adopted concerning teaching staff by the High Council in June 2020, as a way to improve gender equality, to adapt to developments in the global academic employment market and to maintain the attractiveness of the EUI for top scholars.

DIGITAL MODERNISATION

The pandemic fast-forwarded modernisation initiatives as it became immediately necessary to redevelop processes, shifting to digital means of communication and collaboration for the sake of effective business continuity. The crisis also accelerated the optimisation of administrative processes towards digital solutions.

Communications was thrown into the spotlight by the pandemic. The EUI had to rethink and find immediate solutions to the way the community communicates internally and externally, as well as how it communicates its outputs. To this end, digital communication and collaboration platforms were installed for virtual meetings (internal and external) and seminars, online conferences and workshops, and internal interactions. The ICT service worked

relentlessly to ensure that every member of the EUI had the necessary tools to work remotely during the initial lockdown. A new remote desktop service was released, and a new IP telephony was installed. New online collaboration tools were installed to ensure continuity in internal communications and in the production of academic events. They will become long-term solutions, given that the pandemic has caused a permanent shift in the way that education is delivered, that research outputs are communicated, and that meetings take place. For the first time in the EUI's history, the regular meetings of the governing bodies composed of contracting-state representatives (High Council, Budget Committee, Research Council, Strategic Standing Committee) took place online. Thanks to the online collaboration tools and the staff's adaptability to the tools, external communications with these stakeholders was uninterrupted during the pandemic.

In addition, the administration continued to implement its communications strategy, developed in collaboration with the academic units.

The Communications Service launched an ambitious project to completely redesign the main EUI website in line with the pillars of activity of the EUI. Important technical features include new website architecture, a new Web Information System (database integration), a new EUI-wide research database, a new catalogue of EUI educational programmes, an interactive people database and a new photo bank with related workflows.



HEALTH, SAFETY, SECURITY AND SUSTAINABILITY

The administration felt the weight of responsibility for the safety and security of its community during the pandemic. Health and safety staff worked around the clock to ensure the safety of the EUI community at all times. All services and facilities on campus were reviewed and adapted in line with the safety provisions of the relevant protocols of the local territory. New policies and provisions were implemented in a timely and effective manner, which succeeded in preventing any cases of contagion on campus.

The Academic Service's Counselling and Wellbeing Unit played a crucial role in mitigating the impact of the pandemic on the mental wellbeing of the EUI community by offering workshops on dealing with the impact of the pandemic, in addition to individual support from the EUI's counsellors and psychologists. Despite restrictions imposed by the pandemic, the EUI strove to ensure continued opportunities for extra-curricular activities where possible to maintain the community's physical wellbeing.

The Data Security Officer and ICT Service provided an incident response in collaboration with CERT-EU, which provides monitoring tools to detect and identify cyber-attacks, to perform security incident analysis and to respond and mitigate the impact of attacks. A Registry of Information Security Incidents was developed and maintained, which recorded an increase of 36 attacks between the 2018 and 2020 figures. IT security measures were also implemented to support remote working. An online cybersecurity awareness course was launched for the EUI community.

A President's Decision established an Environmental Management System Steering Committee, which began working towards obtaining EMS certification. This will assist the EUI in managing its impact on the environment and in developing environmental sustainability policies.

TOWARDS MORE ACCOUNTABILITY

The EUI Strategy identifies the improvement of accountability as one of the key strategic objectives. This has been the object of constant improvements over the years, and 2020 has seen notable progress.

In an increasingly complex operations environment, the need for robust internal controls is ever-more apparent. The administration completed the first full cycle of its risk assessment exercise implemented in December 2019. This represented an important step in a formalised and serious approach to mitigating risk to safeguard the continuation of core activities and the achievement of objectives.

Important steps were also made in the revision of the EUI's Accounting and Reporting Framework.

The Secretary General and the Management Team began work towards the establishment of an institutional crisis management plan in order to improve the Institute's resilience and preparedness in the face of future crises.

Moving into 2021, the pandemic will still be very much alive and will continue to test us. The EUI will continue to implement appropriate measures to ensure campus safety and duty of care towards its community, including solutions for accessing the COVID-19 vaccination. Many shifts in the way we work will be here to stay and the administration will be required to maintain this resilience and adaptability. Moving into 2021, there are many lessons learnt, to which the administration will dedicate special attention.

This was also the final year of the mandate of Secretary General Vincenzo Grassi, to whom the Institute and its community want to express their gratitude for his commitment, most notably in facing the outbreak of the pandemic. In 2021, Marco Del Panta took up the position.

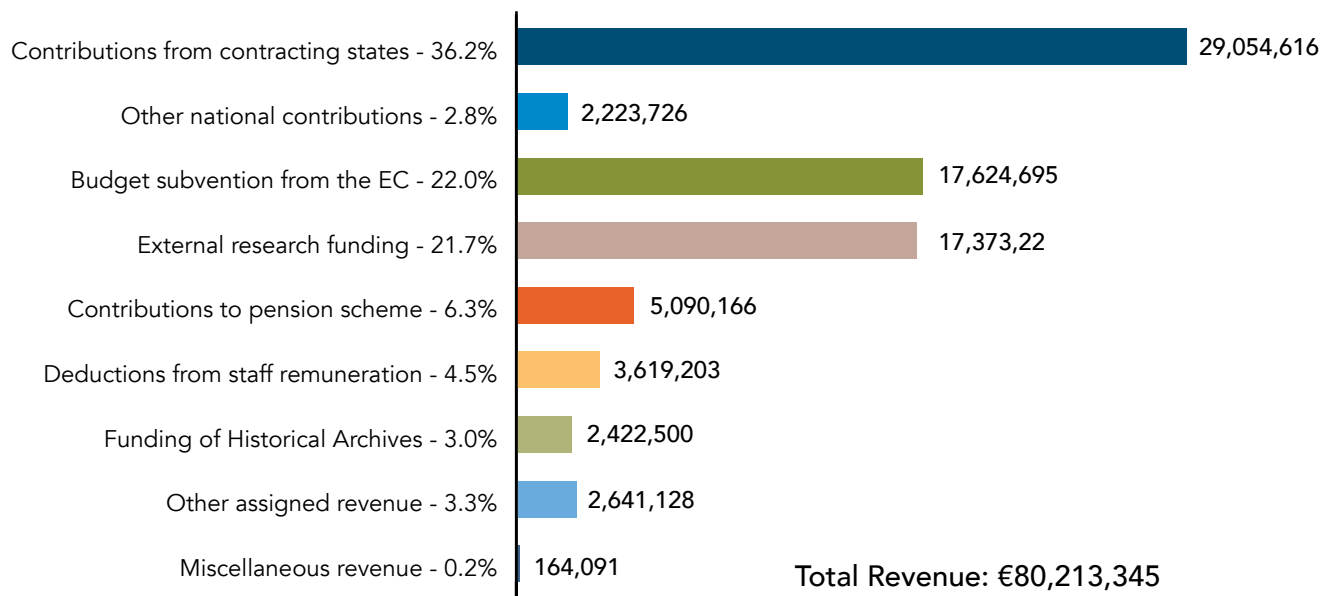


BUDGET FIGURES

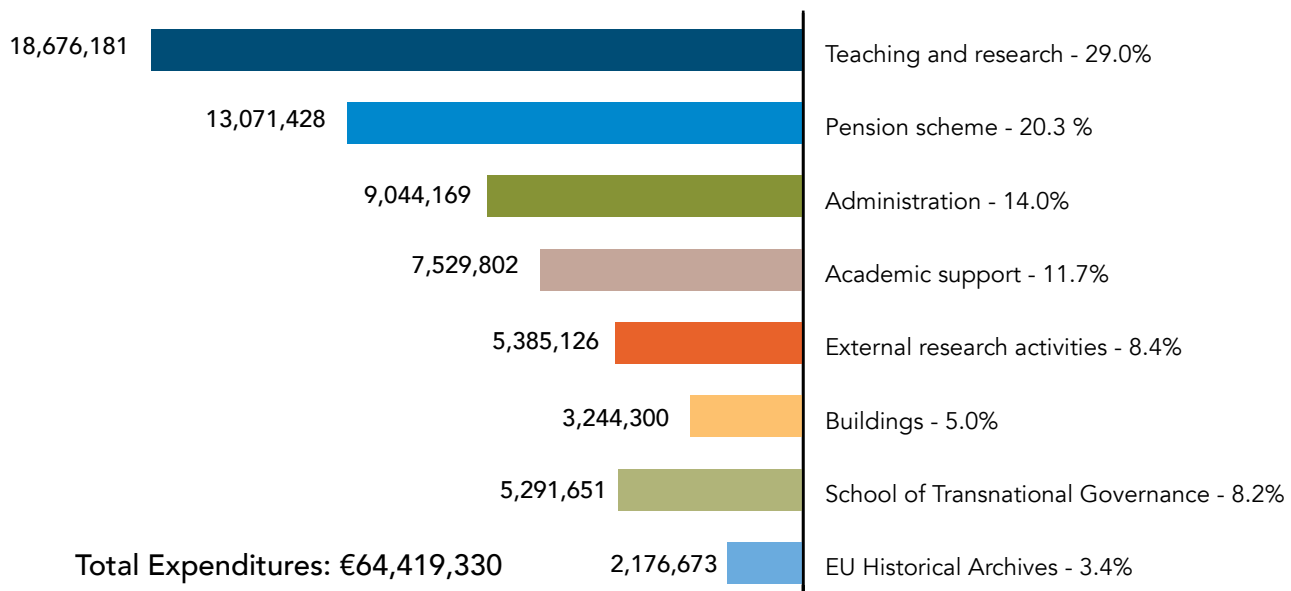
Figure 6:

The funding of the Institute: Revenue and expenditure for the 2020 financial year (in €)

Where the money comes from...



... and how it is used.



* The difference between total revenue and total expenditures is mainly related to pluriannual externally funded research projects (earmarked budget appropriations to be carried over to the following financial year)

Figure 7:

Breakdown of the usage and appropriations by sector for the 2020 financial year and those carried over from the previous year

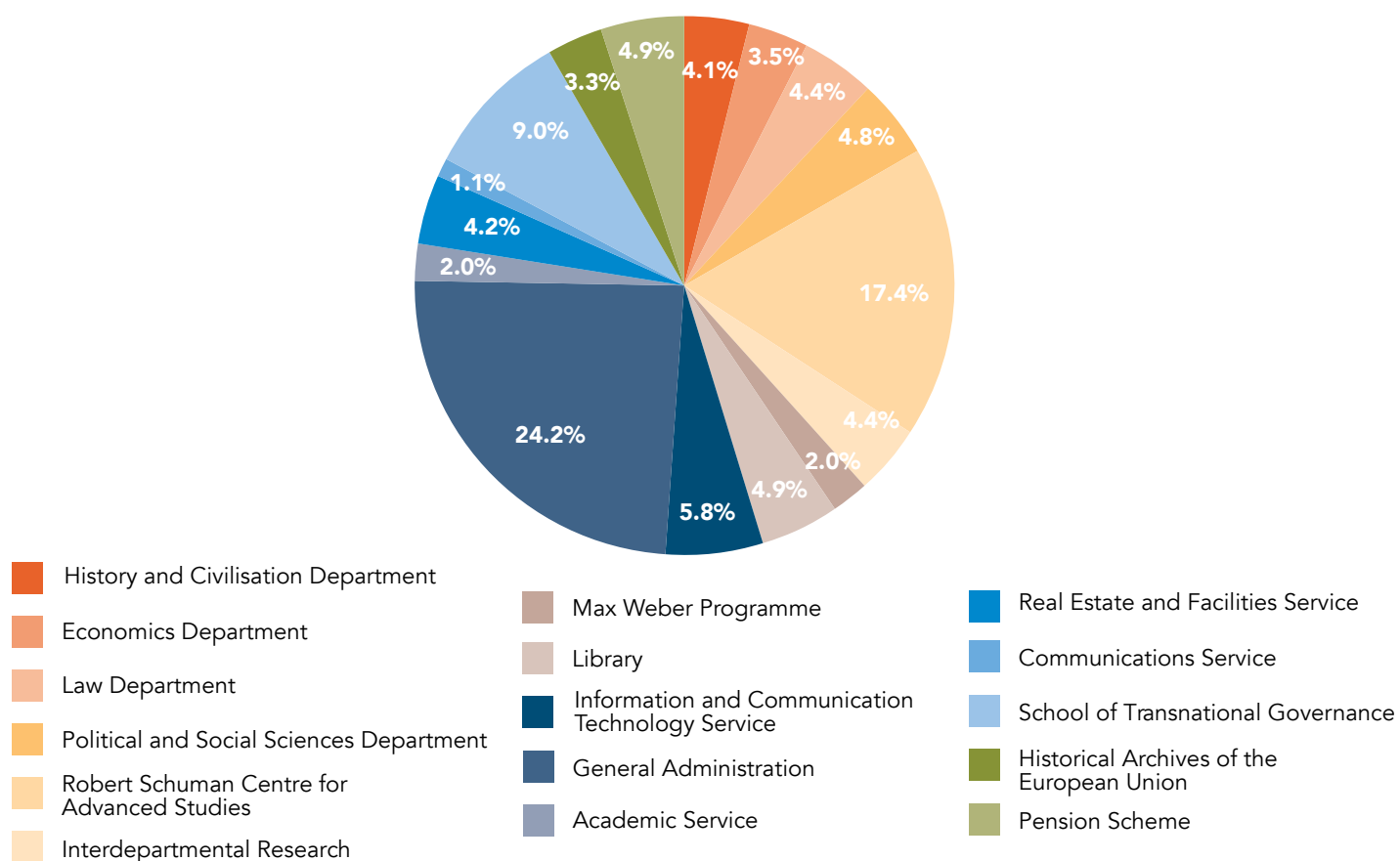
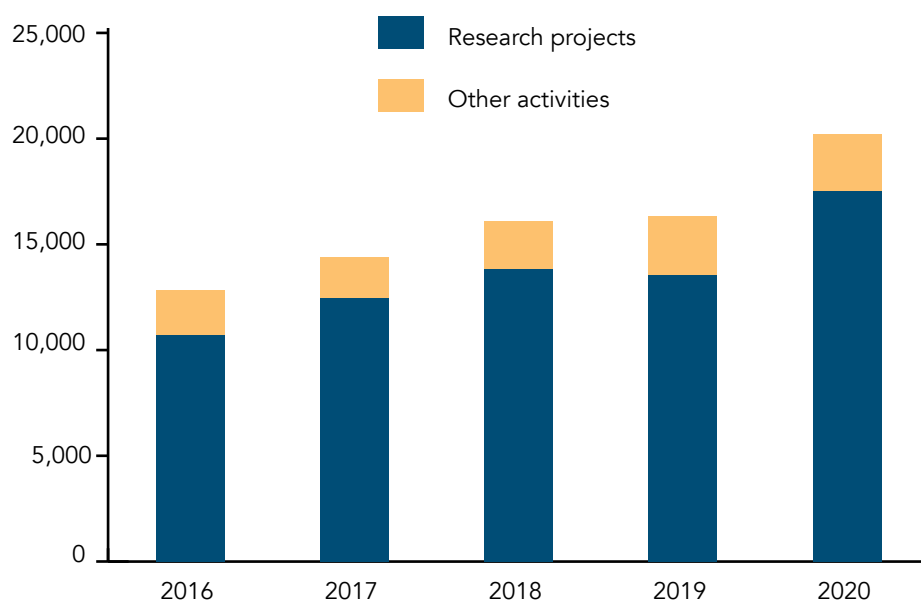


Figure 8:

External resources 2016-2020



External funds for research activities in 2020: €17,373,220
 Resources from other activities in 2020: €2,641,128
 Total external resources in 2020: €20,014,348

Figure 9:

Evolution of contributions to the EUI budget, 1975-2020

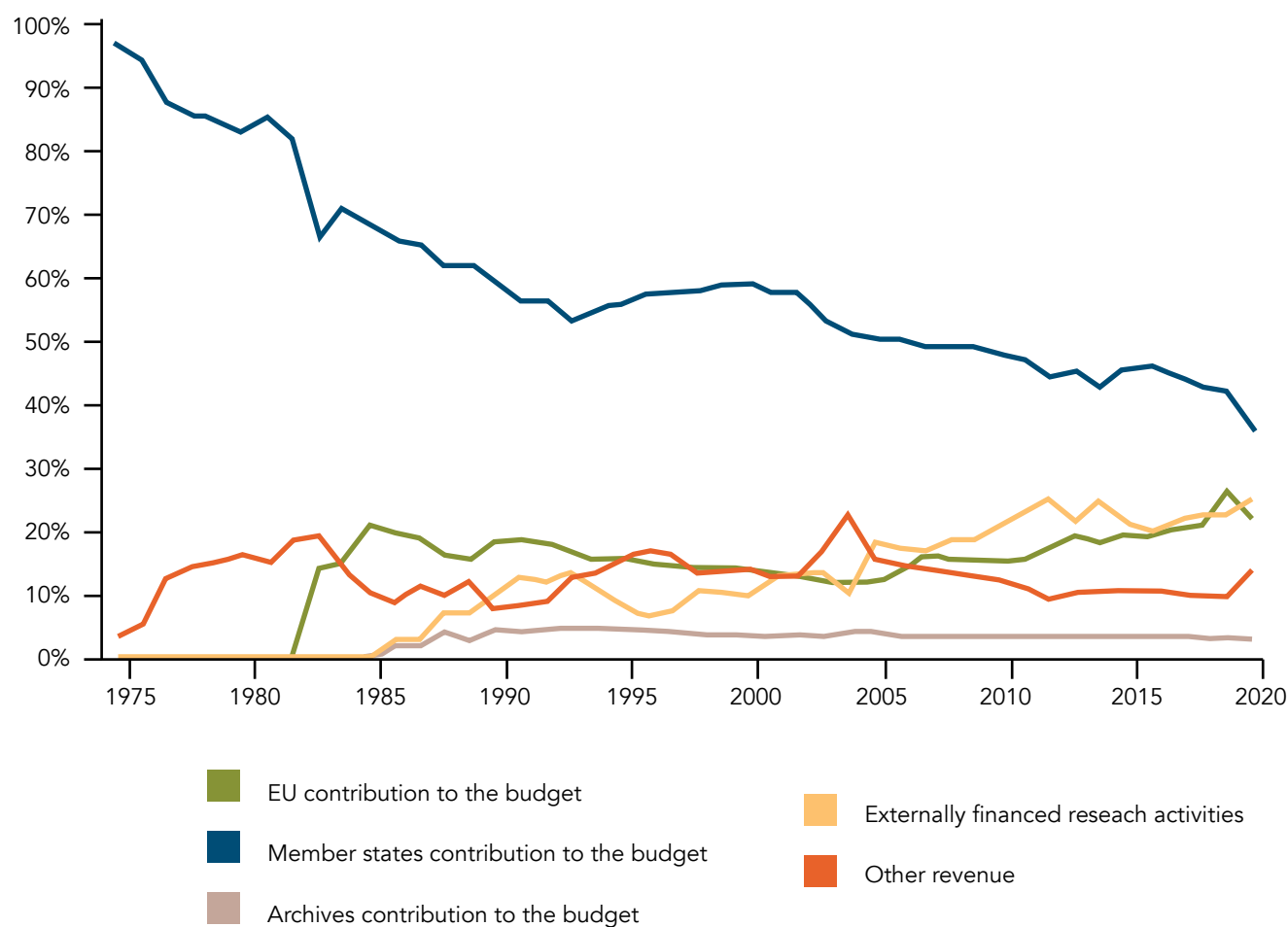
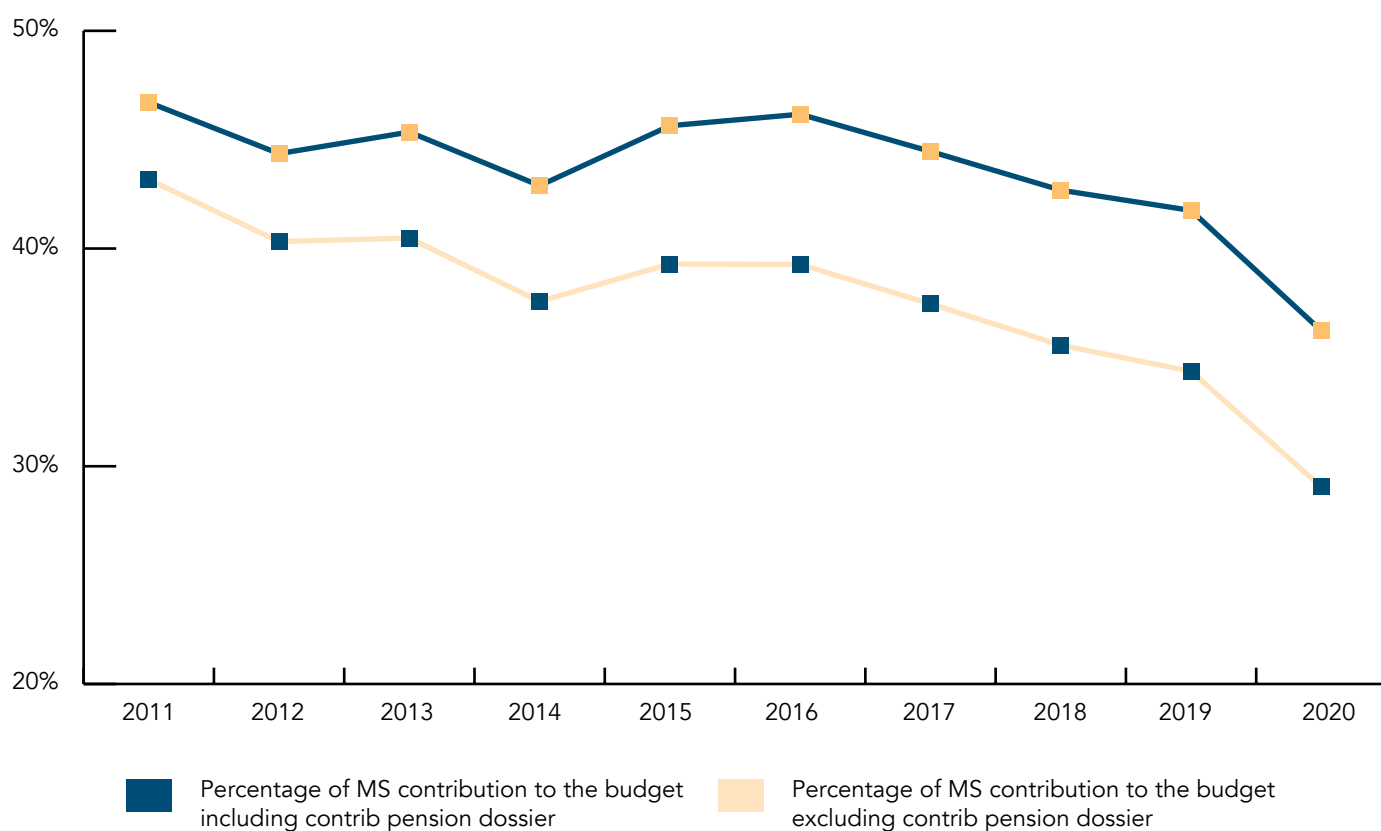


Figure 10:

Evolution of member states' (MS) contribution to the budget



COMPARISON OF EUI SOURCES OF FUNDING, 1976 AND 2020

Figure 11:
EUI sources of funding 1976

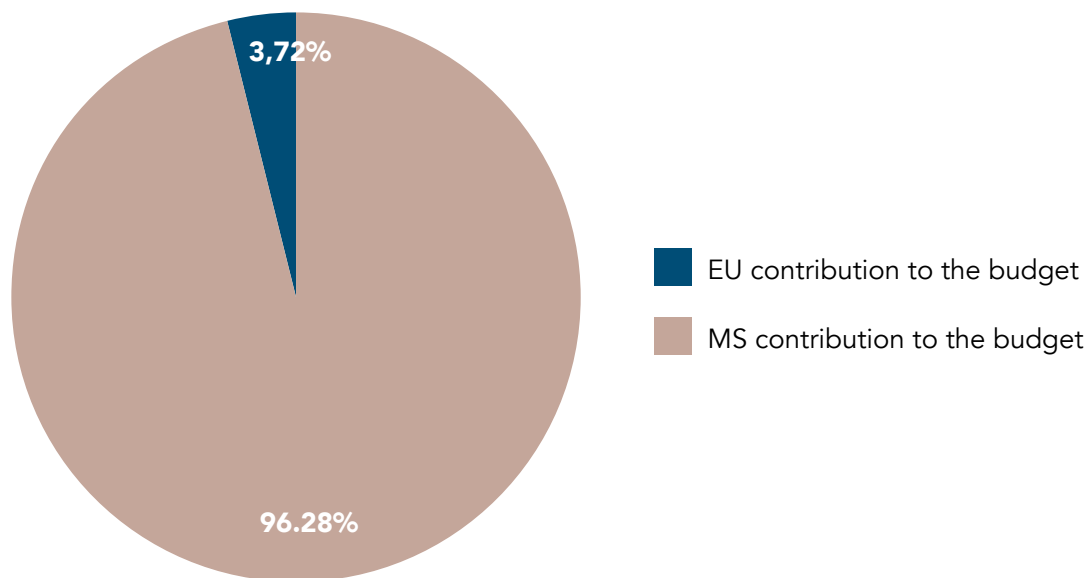
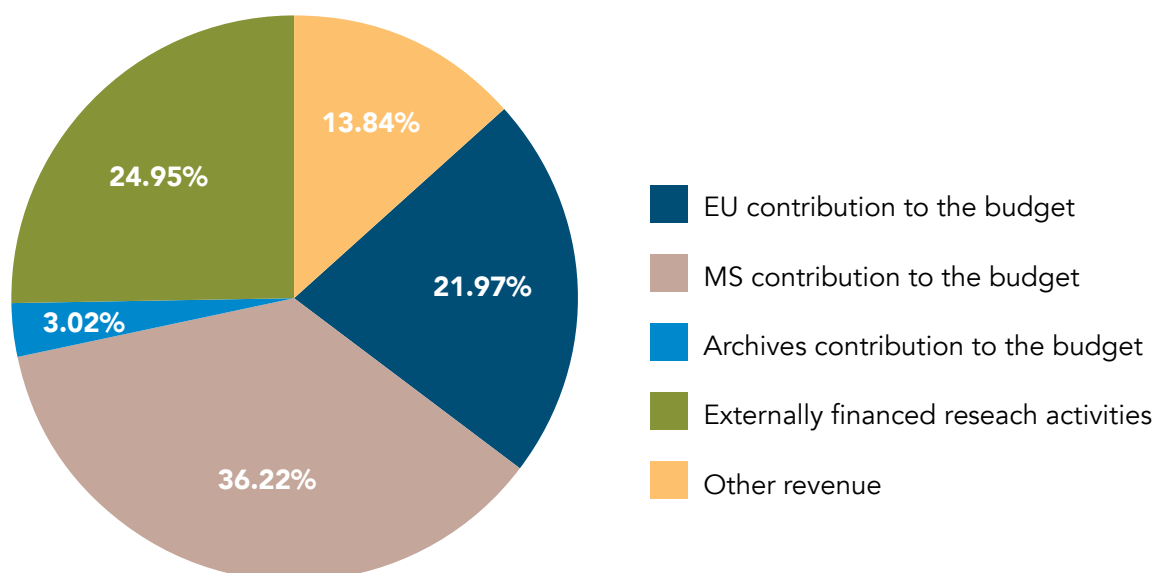


Figure 12:
EUI sources of funding 2020



LEADERSHIP, FACULTY AND GOVERNANCE



Renaud Dehousse
President



Vincenzo Grassi
Secretary General
(through 12/2020)



Marco del Panta
Secretary General
(from 01/2021)

Deans



Dorothee Bohle
Dean of Postdoctoral Studies



Claire Kilpatrick
Dean of Graduate Studies



Bernard Hoekman
Dean of External Relations



Federico Romero
Dean of Research

Academic Units



Dorothee Bohle (Director)
Max Weber Programme



Brigid Laffan (Director)
Robert Schuman Centre for Advanced Studies



Deirdre Curtin (Head of Department)
Department of Law



Andrea Mattozzi (Head of Department)
Department of Economics



Regina Grafe (Head of Department)
Department of History and Civilisation



Dieter Schlenker (Director)
Historical Archives of the European Union



Ellen Immergut (Head of Department)
Department of Political and Social Sciences



Alexander Stubb (Director)
School of Transnational Governance

Support Services



Kathinka España (Director)
Real Estate and Facilities Service



David Scott (Director)
Information and Communication
Technology Service



Marco Incerti (Director)
Communications Service



Julia Serrano (Chief Accountant)
Accounting Service



Mathias Neukirchen (Director)
Academic Service



Josep Torn (Director)
Library



Roberto Nocentini (Director)
Budget and Financial Affairs Service
EUI Administrative Coordinator



Lukasz Wiczerzak (Internal Auditor)
Internal Audit Office



Jette Pedersen (Director)
Human Resources Service

FULL-TIME FACULTY (12/2020)

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Sule Alan
Jesus Bueren
Giacomo Calzolari
Edouard Challe
Russell Cooper
Thomas Crossley
Andrea Ichino
David Levine (Joint Chair with RSC)
Ramon Marimon (Joint Chair with RSC)
Andrea Mattozzi

History and Civilisation

Giancarlo Casale
Alexander Etkind
Regina Grafe
Nicolas Guilhot
Pieter Judson
Lucy Riall
Giorgio Riello
Glenda Sluga (Joint Chair with RSC)
Corinna Unger

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Gábor Halmai
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Martin Ruhs
Maarten Vink

School of Transnational Governance

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Diane Stone
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Former Minister of Education

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European Commission
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European Commission

European Parliament

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European Parliament
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ONLINE RESOURCES

Complete, up-to-date, information on all of the EUI's activities may be found on the EUI website:

www.eui.eu

Academic unit webpages

Department of Economics (ECO): www.eui.eu/eco

Department of History and Civilisation (HEC): www.eui.eu/hec

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