

Foundations in the Study of EU Integration

Philipp Genschel

**First term seminar 2014 - 2015
(20 credits)**

Mondays 15:00-17:00 –Meeting Room Villa Sanfelice, Badia Fiesolana

Please register with adele.battistini@eui.eu

In this seminar we will (re-)read some of the classic texts of integration theory. The focus will be on four broad streams of thought: neo-functionalism, intergovernmentalism, institutionalism and constructivism. The purpose of the exercise is two-fold. On the one hand, the seminar familiarizes students with the intellectual traditions of integration theory. What are the main assumptions? What are the key mechanisms? Where are similarities and differences? What are the major criticisms? The goal here is to provide students with the background knowledge to teach EU integration and to draft the literature section of their PhD theses. On the other hand, perhaps more importantly, the seminar helps students to improve the research question and research design of their PhD projects. The purpose here is to interrogate students' projects from the perspective of different integration theories. Which research question would different theories suggest? What empirical implications do they hold for the empirical case (or cases) under investigation? How can these implications be measured and assessed?

The seminar combines two types of sessions: reading sessions in which we discuss assigned texts on their own terms, and application sessions in which students present their projects from the perspective of particular integration theories.

Requirements:

- Read assigned texts
- Prepare short research sketches assessing the implications of particular theories for students' own PhD projects
- Contribute to class room discussions.

Neo-functionalism and Intergovernmentalism

Session 1 Neo-functionalism and Intergovernmentalism – 6 October 2014

Stanley Hoffmann, *Obstinate or Obsolete? The Fate of the Nation-State and the Case of Western Europe*. *Daedalus*, Vol. 95, No. 3, Tradition and Change (Summer, 1966), pp. 862-915

Ernst B. Haas, *Technocracy, Pluralism and the new Europe*, in Gian Bard Stephen R. (eds.), (Boston, Houston Miggilins), pp. 62-88

Session 2 Neo-functionalism 2.0 and Liberal Intergovernmentalism – 13 October 2014

Mattli, Walter, 1999 *The Logic of Regional Integration Europe and beyond*, pp. 41-67

Sandholtz & Stone Sweet 2012 in: Eric Jones et al. (eds.) *Oxford handbook*, 18-33

Andrew Moravcsik and Frank Schimmelfennig, *Liberal Intergovernmentalism*

Institutionalism

Session 3 Integration through law – 20 October 2014

J. H. H. Weiler, *The Transformation of Europe*, *The Yale Law Journal*, Vol. 100 N. 8, Symposium: International Law (June 1991), pp. 2403-2483

Karen J. Halter, *Who are the “Masters of Treaty”? European Governments and the European Court of Justice*, *International Organization* 52, I, Winter 1998, pp. 121-147

Susanne K. Schmidt, *A Sense of Déjà Vu? The FCC’s Preliminary European Stability Mechanism Verdict*, *German Law Journal*, Vol. 14, No. 01

Session 4 The Joint Decision Trap – 27 October 2014

Fritz W. Scharpf, *The Joint-Decision Trap Revisited*, *JCMS* 2006 Volume 44. Number 4. pp. 845–64

B. Guy Peters, *Escaping the joint-decision trap: repetition and sectoral politics in the European Union*, *West European Politics*, Vol. 20, No. 2, ISSN: 0140-2382

Falkner 2011: *In and Out of EU decision traps*. In: Falkner (ed.) *The EU’s decision traps*, 237-258

Session 5 The regulatory polity – 3 November 2014

Giandomenico Majone, 1996 *The Euro Commission as regulator*. In: Majone 1996 (ed.) *Regulating Europe*, ch. 4, 61-79

Andrew Moravcsik, *In Defence of the “Democratic Deficit”: Reassessing Legitimacy in the European Union* Majone 1996 *The Euro Commission as regulator*. In: Majone 1996 (ed.) *Regulating Europe*, ch. 4, 61-79

Genschel & Jachtenfuchs 2014. *Introduction: Beyond Market Regulation. Analysing the European Integration of Core State Powers*. In: Genschel & Jachtenfuchs (eds.): *Beyond the regulatory polity?*, p. 1-23

Session 6 Federalism – 10 November 2014

Jytte Klausen and Louise A. Tilly (eds), *European Integration in Social and Historical Perspective: 1850 to the present*, Rowman & Littlefield, 1997

Sbragia, Alberto M. 1992 *Thinking about the European future: The uses of Comparison* in: Sbragia (ed.) *Euro-Politics*, ch. 8, 257-291

R. Daniel Kelemen 2014: Building the New European State? Federalism, Core State Powers and European Integration, in: Genschel & Jachtenfuchs 2014, chapters 11, 211-229

Daniel J Elazar, *The United States and the European union; models for their epochs*, in *The Federal Vision*, Oxford University Press, 2001

Constructivism

Session 7 Ideas – 17 November 2014

Garrett & Weingast 1993 *Ideas as focal points* in: Goldstein & Keohane (eds.) *Ideas and foreign policy*, ch. 7

Frank Schimmelfennig 2001 *The Community Trap*, *International Organization*, Vol. 55, No. 1 (Winter, 2001), pp. 47-80 Published

Jabko 2006, *Playing the market*, pp. 1-9, 26-41 (ch.1 and 3)

Session 8 Discourses – 24 November 2014 - Guest Speaker: Frédéric Mérand, Université de Montréal

Howorth, Jolyon. 2004. Discourse, Ideas, and Epistemic Communities in European Security and Defence Policy. *West European Politics*. 27(2)

Ian Manners 2002. Normative Power Europe: A Contradiction in Terms? *Journal of Common Market Studies*, Vol. 40, No. 2: 235-258.

Mérand, Frédéric. 2006. Social Representations in the European Security and Defence Policy. *Cooperation and Conflict*. 41(2):131-52.

Rebecca Adler-Nissen, 2014, Symbolic Power in European Diplomacy: The Struggle Between National Foreign Services and the EU's External Action Service. *Review of International Studies*. 40(4): 657-681.

Session 9 - Identities - 1 December 2014

Fligstein 2009. Euroclash ch. 5 & 7

(<http://www.oxfordscholarship.com.ezproxy.eui.eu/view/10.1093/acprof:oso/9780199580859.001.0001/acprof-9780199580859>)

Hooghe Marks 2008 *A Postfunctionalist Theory of European Integration: From Permissive Consensus to Constraining Dissensus*. B.J.Pol.S. 39, 1–23 Cambridge University Press

Implications

Session 10 – The Crisis - 8 December 2014

Schimmelfennig Franz, 2014. *European Integration in the Euro Crisis: The Limits of Post Functionalism*. Journal of European Integration

Edgard Grand and Hanspeter Kriesi, *Conclusions*

Genschel & Jachtenfuchs 2011. 201: *Politics as usual in unusual times, unpublished manuscript: EUI and Hertie School of Governance*