



Foundations in the Study of EU Integration

1st term seminar 2016-2017

Organised by Philipp Genschel

Please register with Adele.Battistini@eui.eu

Description

In this seminar we will (re-)read some of the classic texts of integration theory and apply them to the analysis of the recent crises of the integration project. The focus will be on three broad streams of thought: neo-functionalism, intergovernmentalism, and institutionalism. The purpose of the exercise is two-fold. On the one hand, the seminar familiarizes students with main intellectual traditions of integration theory. What are the basic assumptions? What are the key mechanisms? Where are similarities and differences? What are the major criticisms? The goal here is to provide students with the background knowledge to teach EU integration and to draft the literature section of their PhD theses. On the other hand, perhaps more importantly, the seminar helps students to improve the research question and research design of their PhD projects. The purpose here is to interrogate students' projects from the perspective of different integration theories. Which research question would different theories suggest? What empirical implications do they hold for the empirical case (or cases) under investigation? How can these implications be measured and assessed?

Requirements

For each session, students are asked to post 2-3 questions about readings (or the relationship among the readings), with each question accompanied by an expository paragraph or two explaining the origin and context of the question. Over the course of the seminar, students must submit questions for 5 of the 10 course sessions. You are expected to post questions to the course webpage by 5 pm the previous day, and these guide our discussion in the next day's class. These brief 'question papers' are fundamental for the holding of a good discussion of the materials, as is a thorough reading of the assigned readings.

Schedule

This seminar takes place on **Mondays from 17.00 to 19.00** in **Seminar Room 4**. **The last session, scheduled on December 16th from 10.00 to 12.00, will take place in Seminar Room 2.**

Programme

Session 1 (3 October): Unloved Union

M. Enzensberger (2011) *Brussels, the Gentle Monster or the Disenfranchisement of Europe*. London: Seagull Books.

J Habermas (2015) *The Lure of Technocracy*, Cambridge: Polity, pp. 3-28.

Streeck, W. (2016) 'What about Capitalism? Jürgen Habermas's Project of a European Democracy', *European Political Science*, available at <http://link.springer.com/article/10.1057/eps.2016.3>

Y. Varoufakis (2013) *Lest we forget: The neglected roots of Europe's slide to authoritarianism*. Blog.

Why should we vote leave on 23 June? Blog.

Glucksman, A. (2012) *A Dark Vision of the Future of Europe*. Interview with Spiegel online

Orbán, V. (2016) Interview with Kossuth Rádió 20 May 2016

Soros, G. (2016) Interview with the New York Review of Books

Neo-Functionalism and Intergovernmentalism

Session 2 (10 October): Haas, Hoffmann etc.

Ernst B. Haas, *Technocracy, Pluralism and the new Europe*. In: S. Graubard (ed.) *A New Europe?*, Boston: Houston Migglins, pp. 62-88

Stanley Hoffmann, *Obstinate or Obsolete? The Fate of the Nation-State and the Case of Western Europe*. *Daedalus*, Vol. 95, No. 3, Tradition and Change (Summer, 1966), pp. 862-915

Haas, E. B. (1976) 'Turbulent fields and the theory of regional integration', *International Organization* 30(2): 173-212

Taylor, P. (1982) 'Intergovernmentalism in the European Communities in the 1970s: patterns and perspectives', *International Organization* 36(4): 741-766

Session 3 (17 October): Supranationalism and Liberal Intergovernmentalism

Mattli, Walter (1999) *The Logic of Regional Integration Europe and beyond*. Cambridge: CUP, pp. 41-67

Sandholtz, Wayne & Stone Sweet, Alec (2012) *Neo-Functionalism and Supranational Governance*. In: Eric Jones, Anand Menon, and Stephen Weatherhill (eds.) *The Oxford Handbook of the European Union*. Oxford: OUP, 18-33.

Jabko 2006, *Playing the market*. Ithaca: Cornell University Press, pp. 1-9, 26-41

Moravcsik, A. and Schimmelfennig, F. (2009) 'Liberal Intergovernmentalism', in A. Wiener and T. Diez (eds). *European Integration Theory*. Oxford: Oxford University Press, pp. 67–87

Lewis, J. (2005) 'The Janus Face of Brussels: Socialization and Everyday Decision Making in the European Union', *International Organization* 59(4): 937–971.

Institutionalism

Session 4 (24 October): Integration through law

J. H. H. Weiler (1991), *The Transformation of Europe*. In: *Yale Law Journal*, 100(8), pp. 2403-2483

K. J. Alter (1998), *Who are the "Masters of Treaty"?: European Governments and the European Court of Justice*. In: *International Organization* 52(1), pp. 121-147

D. S. Martinsen (2015), *An Ever more powerful Court? The Political Constraints of Legal Integration in the European Union*. Oxford: OUP, pp. 23-60, 225-240.

Session 5 (7 November) The Joint Decision Trap

Scharpf, F. W. (1988) 'The Joint-Decision Trap: Lessons from German Federalism and European Integration', *Public Administration* 66(3): 239–278.

Pierson, P. (1996) 'The Path to European Integration A Historical Institutional Analysis', *Comparative Political Studies* 29(2): 123–163.

F. W. Scharpf, *The Joint-Decision Trap Revisited*. In: *Journal of Common Market Studies*, 44(4), pp. 845–64

B. G. Peters, *Escaping the joint-decision trap: repetition and sectoral politics in the European Union*, *West European Politics*, Vol. 20, No. 2, ISSN: 0140-2382

G. Falkner 2011: *In and Out of EU decision traps*. In: G. Falkner (ed.) *The EU's decision traps*. Oxford: OUP, pp. 237-258

Session 6 (14 November): Federalism

Moravcsik, Andrew, 2001. "Federalism in the European Union: Rhetoric and Reality", in Nicolaidis, Kalypso and Robert Howse (eds.) *The Federal Vision. Legitimacy and Levels of Governance in the United States and the European Union*, Oxford: OUP. pp. 161-187.

Sbragia, A.M. 1992 *Thinking about the European future: The uses of Comparison*. In: A.Sbragia (ed.) *Euro-Politics. Institutions and Policymaking the 'New' European Community*, pp. 257-291.

Marks, G. (1997) 'A third lens: comparing European integration and state building', in J. Klausen and L. Tilly (eds), *European Integration in Social and Historical Perspective: 1850 to the Present*, Lanham, MD: Rowman and Littlefield, pp. 23–43.

R. D. Kelemen 2014: *Building the New European State? Federalism, Core State Powers and European Integration*. In: P. Genschel and M. Jachtenfuchs (eds.) *Beyond the Regulatory Polity? The European Integration of Core State Powers*, pp. 211-229.

Crises, Crises

Session 7 (21 November): The EU after Maastricht

C.J. Bickerton, D. Hodson, U. Puetter, and F. Schimmelfennig (2015), The New Intergovernmentalism: A debate. in *Journal of Common Market Studies* 53 (4), 703-736.

Genschel, P. and Jachtenfuchs, M. (2016) More Integration, less Federation: The European Integration of Core State Powers. In *Journal of European Public Policy* 23(1), 42-59.

Hooghe, L. and Marks, G. (2009) A Postfunctionalist Theory of European Integration: From Permissive Consensus to Constraining Dissensus. In: *British Journal of Political Science* 39 (1), 1-23.

Winzen, T. (2016) 'From capacity to sovereignty: Legislative politics and differentiated integration in the European Union', *European Journal of Political Research* 55(1): 100–119

Session 8 (28 November) : The Eurozone Crisis

Schimmelfennig, F. (2014) 'European Integration in the Euro Crisis: The Limits of Postfunctionalism', *Journal of European Integration* 36(3): 321–337,.

Moravcsik, Andrew (2012). *Europe After the Crisis*. In: *Foreign Affairs* 91(3), pp. 54-68.

Scharpf, F.W. (2016) The Costs of Non-Disintegration: the Case of EMU. In: D. Chalmers, M. Jachtenfuchs and C. Joerges (eds.) *The End of the Eurocrat's Dream. Adjusting to European Diversity*. Cambridge: CUP, 29-49.

Mattijjs, M. (2015) *Reading Kindleberger in Washington and Berlin: Ideas and Leadership in a Time of Crisis*. Bologna: Johns Hopkins University – SAIS, mimeo.

Jones, E., Kelemen, R. D. and Meunier, S. (2015) 'Failing Forward? The Euro Crisis and the Incomplete Nature of European Integration', *Comparative Political Studies*, available at <http://cps.sagepub.com/content/early/2015/12/11/0010414015617966>

Session 9 (5December) : The Refugee Crisis Or Brexit

Maarten Den Heijer, Jorrit Rijpma and Thomas Spijkerboer (2016) Coercition, Prohibition, and Great Expectations: the continuing failure of the common European Asylum System, in *Common Market Law Review* 53: 607-642

Menon, Anand and Fowler, Brigid, Hard or Soft? The Politics of Brexit, in *National Institute Economic Review* No. 238 November 2016

Hobolt, Sara B., The Brexit vote: a Divided Nation, a Divided Continent in *Journal of European Public Policy*, Volume 23-2016

Session 10 (16 December): Disintegration?

Zielonka, J. (2014): *Is the EU doomed?* Cambridge: Polity Press, 21-72.

Vollaard, H. (2014) 'Explaining European Disintegration', *Journal of Common Market Studies* 52(5): 1142–1159.

Webber, D. (2013) 'How likely is it that the European Union will disintegrate? A critical analysis of competing theoretical perspectives', *European Journal of International Relations*, available at <http://ejt.sagepub.com/content/early/2012/12/14/1354066112461286>.

Genschel, P. and Jachtenfuchs, M. (2014): *Beyond the Regulatory Polity. The European Integration of Core State Powers*. Oxford: OUP, pp. 16-19, 264-266.