



Theories of European Integration

Fall term seminar 2020-2021

Tuesdays, 17:00-19:00

Organised by Philipp Genschel

Please register [here](#)

Description

In this seminar we will (re-)read some of the classic texts of integration theory and apply them to the analysis of the recent crises of the integration project. The focus will be on three broad streams of thought: functionalist approaches (neo-functionalism and intergovernmentalism), institutionalist approaches and ideational approaches. The purpose of the exercise is threefold.

First, the seminar familiarizes students with main intellectual traditions of integration theory. What are the basic assumptions? What are the key mechanisms? Where are similarities and differences? What do they contribute to the understanding of recent developments in EU integration? The goal here is to provide students with the background knowledge to teach integration theory and to draft the literature section of their PhD theses.

Second, the seminar familiarizes students with the main trends and critical junctures in the historical development of the EU. While some recent analyses give the impression that integration started with the Maastricht Treaty (presumably because that is when the high-quality data starts), the process of integration is much older. Understanding its genesis and pathways helps making sense of the EU's present politics and problems.

Finally, the seminar aims to help students improve the research question and research design of their PhD projects. The purpose here is to interrogate students' projects from the perspective of different integration theories and in light of the EU's historical experience. Which research question would different theories suggest? What empirical implications do they hold for the empirical case (or cases) under investigation? How can these implications be measured and assessed?

Requirements

For each session, students are asked to post 2-3 questions about readings (or the relationship among the readings), with each question accompanied by an expository paragraph or two explaining the origin and context of the question. Over the course of the seminar, students must submit questions for 5 of the 10 course sessions. You are expected to post questions to the course webpage by noon the previous day, and these guide our discussion in the next day's class. These brief 'question papers' are fundamental for the holding of a good discussion of the materials, as is a thorough reading of the assigned readings.

The last session offers the opportunity for students to explore the implications of the theories we discussed during the seminar for their individual projects.

Final note

Due to the COVID-19 situation, the format of the seminar is still a bit unsettled. While I have a preference for holding the seminar in class, be prepared that some sessions may also be held on zoom. Claudia Fanti will let you know about the format of each session the day before class.

Programme

Session 1 (6th October 2020): Pre-history

Richard T. Griffith (1995), *The European Integration Experience*, in: Keith Middlemas, *Orchestrating Europe*. London: Fontana Press, 1-36.

Alan S. Milward (1992), *The European Rescue of the Nation-State*, London: Routledge, 21-45.

Luuk van Middelaar (2013), *The Passage to Europe*, New Haven: Yale University Press, 128-157.

Rationalist approaches – Neo-Functionalism and Intergovernmentalism

Session 2 (13th October 2020): Haas, Hoffmann etc.

Ernst B. Haas (1968), *Technocracy, Pluralism and the new Europe*. In: S. Graubard (ed.) *A New Europe?*, Boston: Houston Migglins, 62-88

Stanley Hoffmann (1966), *Obstinate or Obsolete? The Fate of the Nation-State and the Case of Western Europe*, in: *Daedalus*, Vol. 95, No. 3, 862-915

Leon N. Lindberg (1963), 'Political Integration: Definitions and Hypotheses', *The Political Dynamics of European Economic Integration*, Stanford University Press, pp. 1-13

Taylor, P. (1982) 'Intergovernmentalism in the European Communities in the 1970s: patterns and perspectives', *International Organization* 36(4): 741–766

Session 3 (20th October 2020): Supranationalism and Liberal Intergovernmentalism

Wayne Sandholtz and John Zysman (1989), 1992: *Recasting the European Bargain*, in: *World Politics* 42(1), 95-128.

Sandholtz, Wayne & Stone Sweet, Alec (2012) *Neo-Functionalism and Supranational Governance*. In: Eric Jones, Anand Menon, and Stephen Weatherhill (eds.) *The Oxford Handbook of the European Union*. Oxford: OUP, 18-33.

Andrew Moravcsik (1999), A new Statecraft? Supranational Entrepreneurs and International Cooperation, in: *International Organization* 53(2), 267-306.

Moravcsik, A. and Schimmelfennig, F. (2009) 'Liberal Intergovernmentalism', in A. Wiener and T. Diez (eds). *European Integration Theory*. Oxford: Oxford University Press, pp. 67–87

Institutionalist Approaches

Session 4 (27th October 2020): The Constitutionalization of the European Union

J. H. H. Weiler (1991), *The Transformation of Europe*. In: *Yale Law Journal*, 100(8), pp. 2403-2483

Scharpf, F. W. (1988) 'The Joint-Decision Trap: Lessons from German Federalism and European Integration', *Public Administration* 66(3): 239–278.

Session 5 (3rd November 2020): The Transition to Majority Voting

Luuk van Middelaar (2013), *The Passage to Europe*, New Haven: Yale University Press, 36-126

Pierson, P. (1996) 'The Path to European Integration A Historical Institutionalist Analysis', *Comparative Political Studies* 29(2): 123–163.

Session 6 (10th November 2020): Institutional Change since Maastricht

C.J. Bickerton, D. Hodson, and U. Puetter (2015), The New Intergovernmentalism. in *Journal of Common Market Studies* 53 (4), 703-722.

Genschel, P. and Jachtenfuchs, M. (2016) "More Integration, less Federation: The European Integration of Core State Powers". In *Journal of European Public Policy* 23(1), 42-59.

Kreuder-Sonnen, C., 2018, "An Authoritarian Turn in Europe and European Studies?" in *Journal of European Public Policy* 25(3), 452-464.

Winzen, T. (2016) 'From capacity to sovereignty: Legislative politics and differentiated integration in the European Union', *European Journal of Political Research* 55(1): 100–119

Ideational Approaches

Session 7 (17th November 2020): Ideas

Parsons, Craig, 2003, *A certain Idea of Europe*. Ithaca: Cornell University Press, 1-34.

Jabko 2006, *Playing the market*. Ithaca: Cornell University Press, pp. 1-9, 26-41

Geoffrey Garret and Barry R. Weingast (1993), Ideas, Interests and Institutions: Constructing the European Community's Internal Market. In: Judith Goldstein and Robert O. Keohane (eds.), *Ideas and Foreign Policy*. Ithaca: Cornell University Press, 173-206.

Session 8 (24th November 2020): Socialization

Checkel, Jeffrey T., 2005. "International Institutions and Socialization in Europe: Introduction and Framework", in: *International Organization* 59(4), 801-826.

Lewis, J. (2005) 'The Janus Face of Brussels: Socialization and Everyday Decision Making in the European Union', *International Organization* 59(4): 937–971.

Sergio Fabbrini (2016), From Consensus to Domination: The Intergovernmental Union in a Crisis Situation. In: *Journal of European Integration* 38(5), 587-599.

Session 9 (1 December): Identity – Postfunctionalism

Hooghe, L. and Marks, G. (2009) A Postfunctionalist Theory of European Integration: From Permissive Consensus to Constraining Dissensus. In: *British Journal of Political Science* 39 (1), 1-23.

Liesbet Hooghe, Tobias Lenz and Gary Marks (2019), *A Theory of International Organization*, Oxford: Oxford University Press, 9-25.

José Casanova (2006), Religion, European secular identities, and European integration. In: Timothy A. Byrnes and Peter Katzenstein (eds.), *Religion in an expanding Europe*. Cambridge: Cambridge University Press, 65-90.

Björn Bremer, Philipp Genschel and Markus Jachtenfuchs (2020), Juncker's Curse? Identity, Interest and Public Support for the Integration of Core State Powers. In: *Journal of Common Market Studies* 58(1), 56-75.

Session 10 (15th December 2020): What have we learned? Student Presentations