

# The President's Annual Report

Spring 2004

EUROPEAN UNIVERSITY INSTITUTE



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Report on calendar year 2003, published in Spring 2004

## Contents

Introduction by the President, Yves Mény	5
The Dean of Studies	11
The Department of History and Civilization	13
The Department of Economics	22
The Department of Law	28
The Department of Political and Social Sciences	42
The Robert-Schuman-Centre for Advanced Studies	53
Archives of the European Communities	65
The High Council	66
The Budget and Finance Committee	67
Funding of the EUI	68



## Introduction

The year 2003 at the Institute was marked by many changes, with consolidation of the reforms started in 2002 and the launch of new initiatives in the areas of teaching, research and administrative reform.

I shall consider these developments in three sections dealing with these respective areas, and conclude with a final section briefly mentioning the most noteworthy visits and events of the last year.

### Teaching

In 2003, the Institute saw outstanding growth in both intake of researchers and post-docs and teacher recruitment. Never has the number of new researchers admitted and of professors present in the departments been as high as in the academic year just past. A total of 144 researchers from 33 nationalities were selected in the four departments. This increase also corresponds to a growth in number of applications, in particular from Central and Eastern Europe. The Polish case is particularly noteworthy, with over 200 candidates applying.

The 1417 applications came from 79 countries, but unfortunately many could not be given consideration due to lack of grants for students from those countries. I wish in this context to emphasise the quite outstanding financial effort made by Italy, which in addition to grants to its own nationals offered seven grants to students from Central and Eastern Europe, two to those from the Mediterranean area and a further one to those from the Balkan countries. To this generous quota should be added the contributions from Luxembourg (two grants), Austria (two grants) and Finland (one grant).

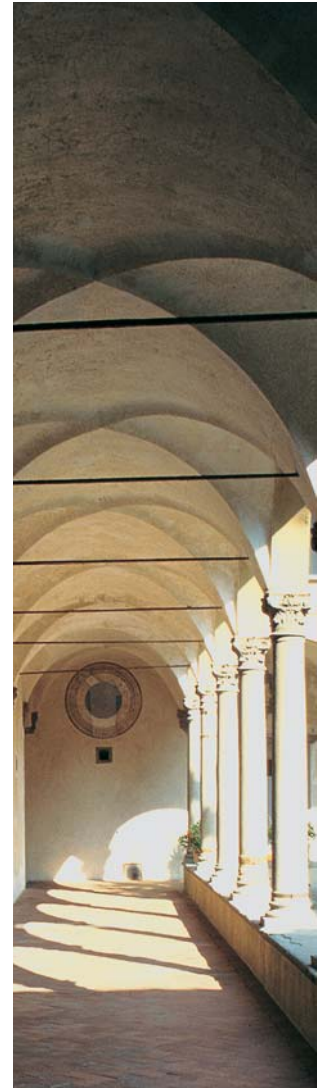
I wish here to express my gratitude to them in a period which while economically delicate has been crucial in political terms. The major medium-term problem will be the progressive elimination of this system of assistance, without prospects of funding by the new Member States being as yet well defined.

One must further note the continuation of quasi-structural phenomena in the flow of applications. Demand is on the one hand greatest in Political Science and Law, while on the other there is a continuing low rate of applications from certain countries, particularly Britain.

At postdoctoral level, the imbalance between the great interest aroused by the Jean Monnet Fellowships and their availability remains particularly marked. Only around 60 fellowships are available, whereas applications are close to 400. In particular, the departments have only four fellowships open each, and it would be desirable, in the prospects set forth in the Strategic Report, for the number of grants to be increased. Particular effort has been made potentially to increase this offer through recourse to the Marie Curie grants scheme. Unfortunately, at European level the same phenomenon is to be noted as at the Institute. Despite a very substantial increase in these grants under the Sixth Framework Programme, it is increasingly hard to cope with the explosive demand. Manifestly, despite recent efforts, Europe is still far from meeting the challenge represented by recruitment of young researchers and the attraction the US presents, for reasons both academic and economic.

As well as the grants offered by the Jean Monnet and Marie Curie programmes there are those offered by the Swedish STINT Foundation (2) and the Polish Academy of Sciences (one). Let us also mention that since 2002 the European Commission has been offering one of its members the chance for a one-year sabbatical, on the model of the existing practice with Harvard University.

During 2003, once again many teachers have been recruited. In total, in 2002 and 2003, 25 chairs will have been filled, highlighting various points deserving attention: first, the great attraction aroused by EUI vacancies, with more than 356 applications for the 25 chairs declared vacant. The following table



Applications for  
professorial vacancies 2002 - 2003  
Candidates by nationality and gender

		%
Austria	7	2,0
Belgium	5	1,4
Denmark	7	2,0
Finland	7	2,0
France	30	8,4
Germany	86	24,2
Greece	—	0,0
Ireland	4	1,1
Italy	57	16,0
Luxembourg	—	0,0
The Netherlands	13	3,7
Portugal	2	0,6
Spain	16	4,5
Sweden	11	3,1
UK	43	12,1
Norway	2	0,6
Switzerland	9	2,5
Cyprus	1	0,3
Bulgaria	1	0,3
Croatia	1	0,3
Czech Republic	2	0,6
Hungary	4	1,1
Poland	5	1,4
Romania	2	0,6
Russia	2	0,6
USA and Canada	24	6,7
Australia & N.Z.	5	1,4
Cuba	1	0,3
Egypt	1	0,3
Guinea	1	0,3
India	1	0,3
Iran	1	0,3
Israel	2	0,6
Mexico	1	0,3
Taiwan	2	0,6
Total	356	100

Female	77	22
Male	279	78
	356	100

presents these applicants broken down by national origin and sex. One may note a relatively persistent imbalance in those origins as well as in gender breakdown. Undeniably, the university market is much more flexible and dynamic in some countries than in others. Second, the size of the turnover emphasises how important mobility is to the Institute. This is both an advantage and a problem. It is an advantage since the Institute is a place where routine and conservatism are continually subjected to the test of change, but also a problem since one has to manage to guarantee quality of supervision for researchers despite the turnover, while nonetheless ensuring the creation and maintenance of an *esprit de corps*.

The Institute, while rejecting a quota policy, has sought to guarantee not just a variety of specialities within its disciplines but also a diversity of national and cultural backgrounds and improvement in female representation on the academic staff. In 2002 four women were recruited among 14 chairs filled, while in 2003 the ratio was two women to nine chairs. These efforts have also been reflected in such procedural measures as the unwritten decision barring a selection board from being more than 60% the same sex. Despite some progress achieved, effort must be kept up, since we still have only eight women among the 50 colleagues on the academic staff.

Important improvements have also been made in the organisation of teaching and in researchers' working conditions. On the first point I shall content myself with mentioning how fundamental the Dean of Studies post has been for the Institute in improving the supply and organisation of academic activities and thesis supervision. Further information on this point can be found in the report by the Dean of Studies, and I wish personally to stress the effective, essential role he has played since his appointment in April 2003. The test of this success emerges from the fact that nine months after the post was created it is now regarded by all as not just fully legitimate but totally indispensable.

Special efforts, though still incomplete, have been made in the area of researcher working conditions. Thanks to the combined efforts of the Buildings Service (Mr G. BRUNDO) and the Logistic Service (Ms K. ESPAÑA), major improvements have been made. On the one hand, an entire floor has been made available to the Library, enabling a one-third increase in storage and reading room area at the Institute. In 2004 work will continue in other areas of the Library, allowing the number of work spaces to be considerably increased. In total, over the last two years the number of spaces available is up 50%, and plans are to make some 70 additional places available in 2004. Work conditions have also been improved, through the installation of high-performance computing tools and the employment of the latest technological advances. In 2004 wireless equipping of all Institute buildings will be completed.



## Research

In 2002 a reform in research funding enabled both simplification of procedures and incentives to secure outside funding. Internal research fund allocations are now distributed on a flat-rate system, reassessed every two years, which accounts for some 40% of resources. The remainder is allotted by the Research Council on a competitive basis, for a restricted number of projects. A

portion of the internal funding also goes to preparing more ambitious projects supported from outside funds (seed money). The harvest has been particularly fruitful in this area, since the Institute has never before col-

supported by The Kokkalis Foundation. The Irish government donated some 65 books on Ireland in the disciplinary fields of the EUI to the Library, which also received books from various institutions in the

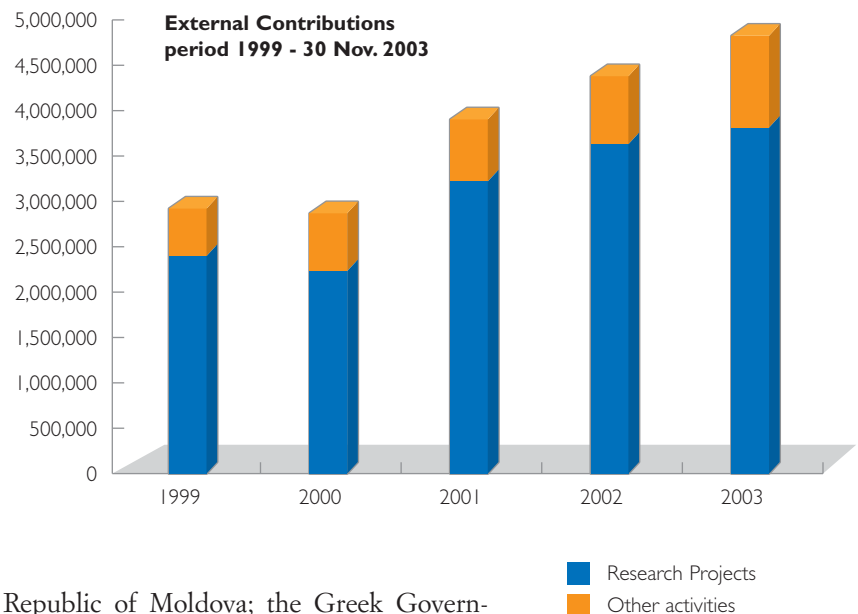
#### External Contributions – FP6 Projects – Year 2004

Acronym	Scientist in charge	Role of the EUI	Amount granted
NEWGOV	Martin Rhodes	Coordinator	550,000
DEMOS	Donatella Della Porta	"	261,900
EMEDIATE	Bo Stråth	"	164,000
EUROREG	Michael Keating	Partner	194,000
POLITIS	Bo Stråth	"	136,000
CIVICACTIVE	Jean Blondel	"	71,700
			1,377,600

lected as much research funding from foundations or national and European public bodies as in this period. The table above shows a list of the major projects supported and funds secured.

The Library, undoubtedly one of the most important tools assisting research, has seen major changes in structure, as we have said, but also in leadership (Ms V. DECKMYN was recruited as the new Librarian as from February 2004) as well as in internal organisation. The new organisation of the collections, expansion of the primary and secondary databases, and access to over 3500 periodicals attest the continuing wish to improve services and performance. The website, under the Librarian's authority, has continued to be reorganised and improved, and it is satisfying to note that it is not only intensively consulted but also very effective, since increasing numbers of both researcher and professor applications are being made thanks to the information offered by this site.

Finally, the Institute has continued to enjoy the generous support of businesses or governments in funding these programmes. Let us note in particular the Pierre Werner Chair, financed by the Luxembourg government, the Chair in Federalism financed by the Swiss government, the Vasco da Gama Chair funded by the Portuguese government and the summer school in European History



Republic of Moldova; the Greek Government made available a sum of more than € 20,000 for the purchase of books from Greece or on Greek topics and more than 700 orders were placed in 2003 using this fund. During 2003 the selection and acquisition of books on Swedish topics continued, financed from an earlier gift of money to the Library. More than 200 orders were placed in 2003 using this fund.

Finally, several programmes or chairs have been made possible thanks only to the generosity of private firms or banks. The chair in Finance and Consumption was supported by CETELEM and Findomestic Banca Spa.





The Mediterranean Programme has enjoyed funding offered by, among others, the Ente CRF, Fondazione MPS, European Investment Bank, Regione Toscana and Capitalia, and the Transatlantic Programme has for the last four years received a generous grant from BP. Unfortunately, continuation of this programme depends on finding new support.

The positive developments in these recent years have encouraged the Institute to convert the biennial diploma-conferring ceremony into an annual one, at the start of the academic year. In 2003, in fact, 86 theses were defended. Plans are to improve these figures thanks to the introduction of the 4th-year grant and to particularly sustained efforts in relation to researchers suffering delays in completing their research. By contrast, for the moment the ceremony for honorary doctorates will continue to be two-yearly.

### Administration and Infrastructure

The year 2003 was marked by great changes in the utilisation and improvement of spaces available or recently acquired. In January 2003 the Economics Department set up in its new premises in Villa San Paolo. Although this building, in contrast with the Badia or the Villa Schifanoia, does not have any noteworthy architectural or historical features, it constitutes a very functional complex favouring the formation of a research community. This first operation has in a second stage enabled the rehousing of many services and units, so that all political scientists have been regrouped in the Badia's north-east wing, and the Academic Service and Logistic Service have moved to the west wing, now fully devoted to services aimed chiefly at researchers; last but not least, the Library's third floor, hitherto occupied by offices, has been refitted as a new, modern,







*Villa Salviati*

functional room for the law collections. In parallel, to meet Library needs, the printshop will be moved to Villa Il Poggiolo, making its old premises available to house part of the Library's reserves, some 40,000 volumes. Other moves, particularly that of the old Bar Fiasco allowing all language teachers to be housed in the Badia, will enable new premises to be allotted to the Law and History Departments.

In spring the Italian government gave the Institute use of the Villa Salviati, which after restoration should be able to house the European Union Historical Archives and two Departments. The Institute is particularly grateful to the Italian authorities for having given it this magnificent building. Nonetheless, many problems of an administrative and financial nature remain to be settled before this fine dream becomes a reality.

The year 2003 has also been marked by a continuation of administrative reforms. In April, the decentralisation of budget administration procedures was brought in, with the creation of five Administrative Site Officer posts, made available to each department or centre. This reform is expected to give faster, more efficient administration of funds, as well as closer collaboration between departments and the administrative structure. It goes hand in hand with the new

budget nomenclature, which should make the budget easier to read and more transparent. In the same spirit of simplification and responsabilisation, new regulations for research, teaching and Library assistants have been adopted.

Finally, a new system for allotting resources in departments will be in force as from 2004. Funds will be allocated on the basis not just of input (number of researchers, professors, etc.) but also of output (number of theses defended in the reference period). This reform has come into force moderately and progressively, since output will be taken into account for only 5% of the budget in 2004, while plans are that in three years this proportion should be 50%. In more strictly administrative terms, the main achievement in 2003 is undoubtedly the signature of an agreement with the trade union representa-



tives, in parallel with the agreement signed at Brussels between the European Union and its own trade unions. This agreement provides, as well as moderation in salary growth, for an overall reform in the statutory provisions and an adjustment to the rules in particular regarding recruitment, assessment and promotion of staff. It is expected that the new texts will be finalised in 2004, and adopted partly in June 2004 and the remainder in December.

## Visits

In the past year, the Institute was delighted to welcome a number of European figures or delegations. We would make special mention of the inaugural lecture for the Pierre



*Giuliano Urbani*



*Jean-Claude Trichet*

Werner Chair, given on five May by JEAN-CLAUDE TRICHET, then governor of the Bank of France. One month later, the annual Jean Monnet Lecture was delivered by European Parliament President PAT COX, who spoke about the challenges facing the Union in connection with enlargement. On this occasion, for the first time, representatives of the 10 future Member States attended a solemn session of the High Council.

In autumn, in the framework of the Italian EU Presidency, the Institute hosted minister GIULIANO URBANI and the ministers or representatives of the 25 Ministries of Culture of the European Union. This visit was the occasion for the ministers to inaugurate the new Library floor. Finally, the Institute welcomed the Danish ambassador to Rome, Mr POUL SKYTE CRISTOFFERSEN, and representatives of the Polish Education Ministry and the Secretariat for European Integration. A Bundestag delegation was also informed on developments at the Institute.

In connection with the cooperation agreements with Norway, a visit from representatives of that country considered possibilities of strengthening and expanding our frame-

work agreements, while the creation of a chair on Federalism funded by the Swiss federal government was the occasion for a visit by Mr CHARLES KLEIBER, Secretary of State, and Mr COUCHEPIN, current president of the Confederation. In November, Mr FRANK CROGAN, ambassador of the Republic of Ireland, offered the Library a collection of works on legal, historical, and political aspects of his country. Finally, in December the Dutch Ambassador RONALD HENRY LOUDON presented the Institute with a sculpture, a gift from the Dutch government.

Many meetings were held at the EUI in connection with the Constitutional Convention. As we all know, GIULIANO AMATO was Vice-



*Pat Cox and Yves Mény*

Chairman while at the same time teaching part-time at the Institute. This twofold role enabled him to speak as expert and friend about the work done, at a lecture on 28 October, while on the same subject, minister ROCCO BUTTIGLIONE took part in a lecture and debate in April, on the occasion of the presentation of work done at the Institute under the leadership of BRUNO DE WITTE.

YVES MÉNY, President  
of the European University Institute



## The Dean of Studies

Following the endorsement by High Council of a proposal put forward in the 2001 Strategic Review Group Report (*Enhancing and Enlarging: The Future EUI*), the position of Dean of Studies was introduced at the EUI at the beginning of 2003. The first holder of this office is NEIL WALKER, who combines the new function with his role as professor in the Law Department.

The general remit of the Dean of Studies is very broad, involving all matters relating to the co-ordination of studies at the Institute-wide level. As well as chairing various key committees, including the Admissions Committee and the new Doctoral Programme Committee (see below), the Dean has a number of more general responsibilities. He liaises with the Departments, and in particular the departmental Directors of Graduate Studies, to ensure the development and maintenance of best practice in supervision, and, more generally, to develop effective policies and practices on all matters of common academic interest (e.g. grant entitlement, missions and exchanges, promotion of inter-disciplinary seminars). He maintains an overview of the Structured Doctoral Programme, including the evaluation of teaching assessments and the development of teaching provision. He also pro-

vides a reference point for researchers encountering difficulties related to the pursuit of their research and unable to resolve these difficulties through the normal departmental channels.

One of the unique strengths of the EUI is the degree of synergy between doctoral and post-doctoral research. The aim of our post-doctoral programme has always been to ensure that in its research focus and organisation it complements the needs and interests both of our Professors and of the doctoral community as a whole. Another key function of the Dean of Studies, therefore, is to ensure that this unique institutional strength is consolidated and further enhanced.

The first year of the new position has been a busy and productive one. The establishment of the Doctoral Programme Committee, comprising five professors and five researchers and chaired by the Dean, has been an important institutional initiative. Its output includes a new Code of Practice on Doctoral Supervision at the EUI – the first to be produced for the Institute as a whole – a revamping of the system of seminar assessments, and the introduction of a form of assessment of the first year programme. The



Neil Walker



First year researchers 2003/04 with the Dean of Studies and the President of the Institute



purpose of this new framework of evaluation is to ensure the continuous improvement in the range, forms and co-ordination of teaching provided at the Institute. Other important initiatives in which the Dean has been a central figure include the introduction of the Master of Research degree, the securing and provision of the new fourth year grant, the promotion of interdisciplinary teaching initiatives, and the rationalization of the procedures for examining doctoral theses.



These are important beginnings, but the task of maintaining and improving the standard of our doctoral and post-doctoral programmes is an ongoing one. The doctoral and post-doctoral educational environment is changing rapidly under the influence of the Bologna process for the approximation of university educational qualifications across Europe and various other initiatives and pressures towards the creation of a transnational research environment.

Due to the enlargement of the EUI to include researchers from Central and Eastern Europe, the internal composition of our Institute is also changing significantly. We have to be alert to these developments, and

this implies a constant readiness to take new initiatives that will keep us at the forefront of postgraduate education in the social sciences and humanities in Europe. In this spirit, a number of further initiatives are planned by the Dean of Studies, including the development of teaching and other career development skills for doctoral researchers, a review of our entrance requirements for masters and doctoral researchers, and an overall review of our post-doctoral Programme.

In carrying out these new initiatives and in ensuring the effective implementation of our existing framework the Dean is an important figure, but only one of many. Our success in ensuring the continuing excellence of our core activities - the promotion of doctoral and post-doctoral research - has always depended on collective reflection and practice, and will continue to do so. Accordingly, the Dean remains committed to working closely with all key constituencies in the Institute, including the President, Academic Service, the other key central services, and, of course, the Professors, researchers and administrators in the departments themselves.

NEIL WALKER

# Department of History and Civilisation

## Preamble

In his report last year, Professor BO STRÅTH pointed to the Department's search for 'a clearer...profile for research and teaching.' The conclusion was that our Department has a special responsibility and a special role to play in developing new approaches to the study of Europe's history. Our aim is to transcend the limits of traditionally conceived histories of national States, to devise analytical categories suitable for discussions of transnational and comparative histories of Europe, and, where possible, to advance scholarly hypotheses regarding the feasibility of writing a unified history of Europe. Furthermore, the Department must remain committed to studying the history of Europe in the *longue durée*, at least from the end of the Middle Ages to our day.

The Department's principal efforts during 2003 were concentrated in four areas: implementing the previous year's decisions with regard to the Department's research and teaching profile; conducting searches for new members of the Department; devising better procedures for encouraging advanced researchers to complete their dissertations within a reasonable time span; and strengthening the Department's contacts with other European universities and research centres.

## Departmental Profile

Two important issues were the Department's seminar offerings and the organization of the academic year. The proposal to make 'Departmental seminars' available to researchers was especially important. A particular feature of these seminars is that each one is co-taught by at least two Department members, so that researchers benefit from observing and studying two different approaches.

The following departmental seminars were taught in 2003:

P. BECKER and R. SCHULTE, *Cultural History*  
D. CURTO and A. MOLHO, *History and Social Science; Europe and the World*

G. FEDERICO and L. FONTAINE, *Social and Economic History; Economic History*  
A. REES and R. ROMANELLI, *History of the Modern State*.

The Department is greatly indebted to Professor ARFON REES who convened these seminars and coordinated our courses and departmental academic activities.

One of these activities is the annual Colloquium, held in October. This year's theme was Cultural History. 18 researchers organized in six panels, made presentations. The Key Note Lecture *Pigs and Lace: Saving and Spending in Traditional European Societies in the 19th and Early 20th Centuries* was given by Professor OLWEN HUFTON.

## Staff Developments

The professorial composition of the Department was radically renewed during the past year. In 2002 and 2003 five professors left the Department: GÉRARD DELILLE, LAURENCE FONTAINE, ALAN MILWARD, LUISA PASSERINI, and RAFFAELE ROMANELLI. Professor JAIME REIS had left (but not been replaced) in 2001. Six new professors – representing half of the Department's teaching staff – joined us in 2002 and 2003: VICTORIA DE GRAZIA (Columbia University), twentieth-century history; HEINZ GERHARD HAUPT (University of Bielefeld), the history of 19th and 20th century Europe; GIOVANNI FEDERICO (University of Pisa), economic history; MARTIN VAN GELDEREN (Sussex University), the history of ideas; PASCALINE WINAND (Université Libre de Bruxelles), contemporary international relations; BARTOLOMÉ YUN CASALILLA (Università Pablo de Olavide in Seville), early modern Europe.

Following long and distinguished service to the Department, Mrs. ANGELA SCHENK was transferred to a new position in the Institute Library. Her position as Department Assistant was assigned to Mrs. RITA PEERO, while Mrs. IVANA MARCATO joined the Department as Administrative Site Officer.



### Thesis Completion

The Department did not always meet its own expectations in ensuring that our researchers complete their theses, and that they do so in



reasonable amounts of time. This year we took a series of initiatives to encourage researchers to respect the official deadlines for the completion of theses. Numbers are not always accurate indices of a Department's progress in such matters. But in this instance they may convey a picture of a changing situation. 21 theses were defended in 2002, 23 in 2003, and it is expected that at least 25 (and perhaps substantially more) will be completed and defended in 2004.

### Contacts with Other Academic Institutions:

More than 150 scholars visited our Department last year: 46 alone were members of dissertation juries, 118 other scholars (from 16 different countries) participated in our scholarly activities.

We are exploring the possibility of entering into formal arrangements with a small number of outstanding History Departments (or historical research centres) in Europe, so that our researchers can spend a period of time

ranging from a few weeks to a few months studying with local specialists. In exchange, our Department would host small numbers of doctoral students from those institutions. We have started examining such possibilities with the University of Cambridge, the Scuola Normale Superiore in Pisa, and the *Zentrum für Vergleichende Geschichte Europas* (ZVGE) in Berlin.

The Department's Jean Monnet Fellows in 2003 were:

GÉRARD BOSSUAT (Université de Cergy-Pontoise),  
 RITA COSTA GOMES (Universidade Nova de Lisboa),  
 HÉRVÉ GEORGELIN (Rectoral Académie de Versailles),  
 MARTIN GIERL (Max-Planck-Institut für Geschichte),  
 SEBASTIEN JAHAN (Université de Poitiers),  
 TOMASZ KAMUSELLA (Opole University),  
 IRINA OGYANOVA (St. Kliment Ohridski University),  
 RÉGINE PERRON (Université Paris IV),  
 WOLFGANG REINHARD (Universität Freiburg),  
 BRIAN SANDBERG (Millikin University).

The following scholars visited our Department during 2003:

#### Visiting Professors:

HANS-ERICH BOEDEKER (Max-Planck-Institut für Geschichte, Göttingen),  
 LAURA DE MELLO E SOUZA (Universidade de Sao Paulo),  
 STUART SCHWARTZ (Yale University).

#### Salvador de Madariaga Fellow:

GUILLERMO A. PEREZ SANCHEZ (University of Valladolid).

#### Visiting Post-Doctoral Fellows:

VICTORIA BELCO (Stanford University),  
 FIONA DOW (European Parliament),  
 JOSEF EHMER (Universität Salzburg),  
 KAYOKO FUJITA (University of Tokyo),  
 MICHAELA HOHKAMP (FU Berlin),  
 VINCENT VIAENE (Katholieke Universiteit Leuven).



## Researcher Representatives

Throughout the year, the Department benefited from the constant advice and support of its researcher representatives (REPS): SERENA FERENTE, THOMAS FETZER, ROCH HANNECART and ARNOUT MERTENS, were actively involved in several issues concerning the interests of HEC researchers. Their report follows:

*One of the major reforms made with the contribution of the REPS is the 4th year grant. Researchers who started their Ph.D. in year 2001 or later will benefit from a fourth year funding in the case they can present a first full draft at the end of the 3rd year. To the researchers who started in 2000 was secured a completion grant of six months, which goes also for researchers who started in 1997-9 who in the past did not benefit already from a completion grant of 6 months.*

*The system of the missions was made more flexible and therefore also more adequate to the needs of individual research projects. Apart from the 1st year where researchers continue to have a standard 'winter mission' in January and February, missions can now be undertaken at any time of the academic year and it is also possible to spread missions unevenly over the three years of study.*

*There are, however, some outstanding items which the Reps consider necessary to discuss further in the actual academic year.*

## Prospects and Problems

The Department is at an interesting juncture of its history. Its major foreseeable challenge will be to adjust to the changes brought about by the European Community's expansion.

One obvious challenge will be to rethink the meaning of the term 'Europe' that is at the heart of our collective research. Another has already led us to begin reflecting on the full meaning of our Department's name, whose

acronym is HEC, *Histoire et Civilisation*. The Principal's recent invitation to consider the possibility of expanding the Department's scholarly and intellectual coverage to encompass the term 'Civilization' should lead us to a fresh reconsideration of our Department's mission.

Our Department will continue to face the task of devising suitable ways of enhancing the training of new doctoral students, in a field of study which is experiencing a difficult moment.

Perhaps the greatest challenge is to continue to attract outstanding young historians from across Europe. Competition is very stiff. In recent years, universities across Europe have developed doctoral programmes to train their own PhDs. To judge by the quality of our researchers, our Department continues to be seen in Europe as the leading place for training new historians, especially those interested in transnational and comparative approaches.

## Highlights of Academic Activities

A number of important scholarly meetings were held during the year 2003.

February: European social citizenship, Profs. JOERGES, STRÄH, WAGNER; Historical Interpretations of the European Integration – In Honour of ALAN MILWARD.

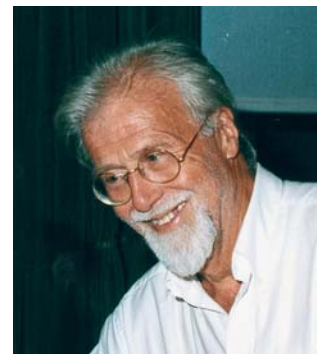
March: Writing, reading and interpreting. Letters as historical evidence, Prof. SCHULTE; Appartenances, identités et actions, Prof. FONTAINE; Religious violence and modernity, JM Fellow B. SANDBERG and S. JAHAN; Images and visual images of Europe, Profs. CURTO, MOLHO, and STRÄH.

April: Europe outside of Europe, Profs. CURTO and MOLHO; Living nobly, JM Fellow BRIAN SANDBERG; The Expulsion of the German speaking population from eastern Europe after 1945, Prof. REES.

May: Writing Biographies, Prof. BECKER; Stalin and the lesser Gods, Prof. REES;



Anthony Molbo



Alan Milward



Diogo Curto

Definición de identidades en la España moderna: Relaciones externas y Equilibrios internos, Prof. CURTO.

June: PAUL-HENRI SPAAK – The European, Sponsored by the EC Archives.

July: Academy of European History, Profs. MOLHO and CURTO.

October: A European Social Citizenship? Preconditions for future policies in historical light, Prof. STRÄTH, and LARS MAGNUSON; Church and State in Historical Perspective, Profs. STRÄTH and WAGNER, and Visiting Prof. L. HOELSCHER; Challenges after the linguistic turn, Prof. STRÄTH; Images of Europe, Profs. CURTO and MOLHO.

November: The EU as an External Actor: the Role of the Delegations of the European Commission, 1958-2003, Profs. WINAND, and V. DIMIER (Université Libre de Bruxelles); Writing the History of Political Thought/ Ecrire l'histoire de la pensée politique, Profs. STRÄTH and WAGNER; European resistance during World War II, Prof. REES; Figures of Authority. Contributions towards a cultural history of governance from 17th to 19th century, Prof. BECKER with RÜDIGER V. KROSIGK.

December: European Revolutions of the Seventeenth Century in a World Perspective: Portuguese *Restauração* in a Comparative Frame, Prof. CURTO; La scrittura della storia – metodi e scale, Profs. MOLHO, JACQUES REVEL (EHESS) and ALDO SCHIAVONE (Istituto di studi umanistici); The Making of Individual Careers in Colonial Empires, Prof. CURTO; Elites for an Empire, Prof. YUN CASALILLA.

### Academic Activities

PETER BECKER continued his research on the social history of public administration. He also continued work on the *persona* of civil servants and developed a research agenda for a systematic and comparative project on reform projects regarding bureaucratic language and style, 1750-2000. He was involved

in the development of a new, history-of-science-approach to anthropology as an interdisciplinary field of knowledge. Finally, he continued his interdisciplinary collaboration with criminologists and organized a conference on the challenges of biological theories of crime, 1870-2000. He lectured in Berlin, Bielefeld, Essen, Graz, München, Prag, and Wien.

DIOGO CURTO continued his studies on the history of colonial empires, mostly in the early modern era. Together with TONY MOLHO he organized the workshop on the Images of Europe, and the Academy of European History.

VICTORIA DE GRAZIA started teaching full-time in September. She taught two courses, one on Consumer Cultures in Global Perspective, the other with G. FEDERICO and B. YUN-CASILILLA on Cultures of Markets. With visiting professor EDITH SAUER, she initiated the student-run Juliet Shakespeare reading group. In addition to completing her manuscript: *The Market Empire* (Harvard University Press, 2005), she has been organizing an educational website, Calico-World: global perspectives on consumption, with EUI and other local researchers. To that purpose, there was a first meeting in December with colleagues from the Universities of Milan, Bologna, Copenhagen, and Stanford, as well as local researchers. With the author CHRISTIAN JOERGES, she helped organize a book launch of the volume *Darker Legacies of Law in Europe*. She participated as chair and commentator at the bi-annual meeting of SISSCO (the Italian Society for the Study of Contemporary History) in Lecce. In November she gave the keynote address at the McMaster University (Canada) conference on Advertising in German History.

GIOVANNI FEDERICO's major research project is his book *An Economic History of Agriculture 1800-2000*, which will be completed in March. He then plans to write two papers on agriculture (one on technical progress and another on the causes of the Great Crisis) which he will present at confer-

ences next Fall. Before joining the EUI he had been asked by the Banca d' Italia to redo the regional estimates of Italian agricultural output and collect the relevant data. Finally, he hopes to finish an old research project on foreign trade in Italy 1861-1921, overseeing the publication and writing the introduction to a collection of statistics. He lectured in Bielefeld, Brescia, Copenhagen, Groningen, Livorno, Madrid, Napoli, and Pisa.

HEINZ-GERHARD HAUPT is a part-time professor, whose status will change to that of full-time professor in April 2004. He is currently working on three research projects: The political as a room of communication (DFG); Political violence in Europe (1780-1939); and Political conversions in Europe in the 19th and 20th centuries.

ANTHONY MOLHO regrets to acknowledge that he spent more time than he had wished on matters concerning the Department's administration. He plans to soon correct this problem. In the time that he was able to devote to his research, he continued to pursue his two main scholarly interests: a history of the Mediterranean sea in the early modern centuries, with special emphasis on the history of commercial diasporas; and issues regarding historical method and historical knowledge. Together with Professor CURTO he continued working on the research project Images of Europe, as well as on the Academy of European History.

ARFON REES is conducting research on the nature of communist regimes in the twentieth century, with special reference to the USSR and Eastern Europe, analysing communist administration systems, decision-making processes in relation to economic policy, and the nature of leadership politics in these regimes. He is also working on the intellectual and cultural factors that shaped the development of these regimes, and their relation to issues of regime legitimation and legitimation crises.

BO STRÅTH, Joint Chair with the Robert Schuman Centre, led and finished with ANNA

TRIANDAFYLLOIDOU the IAPASIS FP 5 Project Does Implementation Matter? Informal Administration Practices and Shifting Immigrant Strategies in the UK, Germany, Italy and Greece. Together they also continued the coordination of another FP 5 Project on the Representation of Europe and the Nation in Current and New Member States: Media, Elites and Civil Society. They participated, together with ANKICA KOSIC, in the FP 5 project Changing City Spaces: New Challenges to Cultural Policy in Europe, coordinated by ULRIKE MEINHOF, University of Southampton. Together with LARS MAGNUSSON, Swedish Working-Life Institute/the SALTSA Programme, Stockholm, he co-directed a book project on a European social citizenship. Professor STRÅTH is co-director of the interdisciplinary project Modernity of Europe together with Prof. PETER WAGNER (SPS). Prof. STRÅTH's main activity during 2003 was the book project Union and Democracy on the union Sweden-Norway 1814-1905. He organized conferences on the state-church and religion-politics relations in modern European societies and on writing the history of political thought. With THOMAS JORGENSEN he organized a working group on Challenges and Problems of the Linguistic Turn.

MARTIN VAN GELDEREN worked on several projects: the preparation of the new English-language edition of Grotius' *De Iure Belli ac Pacis* for Cambridge University Press; co-edited with Wyger Velema (Amsterdam) the volume *Republic and Republicanism in the Low Countries*, for Amsterdam University Press, 2004; co-edited with Georg Schmidt (Jena) the volume *Kollektive Freiheitsvorstellungen im frühneuzeitlichen Europa (1400-1800)*, proceedings of a conference held at the University of Jena, June. He is developing the research project on *Liberty in Europe: Historical, Philosophical and Religious Perspectives*, in cooperation with ANNABEL BRETT (Cambridge), IAIN HAMPSHER-MONK (Exeter), GEORG SCHMIDT (Jena) and QUENTIN SKINNER (Wissenschaftskolleg, Berlin). The principal aim of the project is to explore the riches of the



Heinz-Gerhard Haupt



Martin Van Gelderen





Pascaline Winand

major European debates on liberty, with particular emphasis on the early modern period; to envisage the historical, philosophical and religious controversies on freedom from a comparative perspective as a vital part of European culture; and to discuss the relevance of these controversies for contemporary debates within the new Europe. A related objective is to explore the visual representation of freedom in early modern European art. He lectured in Budapest, Cambridge, Jena, Madrid, and Washington D.C.

PASCALINE WINAND is engaged in a number of interrelated projects, all of which address issues regarding the recent history of the European Community. The first of these, *The EU as an External Actor in Historical Perspective*, consists of two parts: 1. US-EU Relations and Global Governance: A Complex Network of Interdependence. The project will analyse United States/EC/EU relations from World War II to the present as a complex network of interdependence among public and private actors. 2. In collaboration with VÉRONIQUE DIMIER (Université Libre de Bruxelles), she is studying the second part of this project, on the EU as an external actor: The role of the delegations of the European Commission, 1958-2003. Prof. WINAND's second project is devoted to European Associations and Promotion groups for Europe. In this project, besides investigating the role of the EU as an external actor, she will continue her study of public

and private interest groups in the EC/EU, including European associations and promotion groups for Europe.

BARTOLOMÉ YUN-CASALILLA's research aims at explaining how aristocratic networks were the base both for a process of international integration and for the emergence of national and local identities, which characterized the making process of Europe from the 15th century onwards. The project also deals with the American projection of European culture and civilization. Professor YUN finished the manuscript of *Marte contra Minerva. El precio del Imperio, 1450-1600*, that will appear in 2004 in Editorial Crítica and that constitutes the result of more than a decade of research. The book intends to be a new interpretation of 16th-century Spanish economic and social development from a political and institutional point of view. He also finished the edition (with P. JANSSENS) of *Elites, Patrimonial Management Strategies and Economic Development in Europe and America, 1500-1800*. This work is a collection of articles in which a new and controversial view of the aristocratic management strategies and their effects on economic development is proposed. Together with F. COMIN, F. PIOLA CASELLI, P. O'BRIEN he submitted for publication to Cambridge University Press a first draft of *The Formation and Efficiency of Fiscal States in Europe and Asia 1500-1914*. He lectured in Barcelona, Bielefeld, and Madrid.



## Theses Defended in 2003

LUCIANO AMARAL (P)

How a Country Catches up: Explaining Economic Growth in Portugal in the Post-War Period (1950s to 1973)

*Supervisor* JAIME REIS

BARBARA ARMANI (I)

Il confine invisibile. Famiglia, identità e ricchezza di un'élite ebraica nell'Italia dell'emancipazione. Gli Ebrei a Firenze 1840-1914

*Supervisor* RAFFAELE ROMANELLI

MARIA ISABEL BARTOLOME RODRIGUEZ (E)

La industria electrica en España (1880-1936). Tecnologia, recursos e instituciones

*Supervisor* JAIME REIS

FILIPPO BENFANTE (I)

Carlo Levi a Firenze e la Firenze di Carlo Levi (1941-1945). Vita quotidiana e militanza politica dallo scoppio della guerra alla Liberazione

*Supervisor* GÉRARD DELILLE

PAOLO BROGGIO (I)

Le missioni dei gesuiti nel mondo iberico in età moderna. La circolazione delle strategie di evangelizzazione tra Europa e Nuovi Mondi (Spagna, Perù, Cile, 1581-1705)

*Supervisor* Gérard Delille

VICTORIA CORDUNEANU (Romania)

Remembering State Socialism in 1970's-1980's Romania. From Official Memory to the Memories of the 'Dominated'

*Supervisor* LUISA PASSERINI

AUGUSTINA DIMOU (GR)

Paths Toward Modernity: Intellectuals and the Contextualization of Socialism in the Balkans

*Supervisor* BO STRÄTH

GIUSEPPE FINALDI (UK)

Italy's 'Culture of Colonialism' and the Prima guerra d'Africa

*Supervisor* RAFFAELE ROMANELLI

ROSALIA FRANCO (I)

Le Italie degli Italiani. Le celebrazioni del 1911

*Supervisor* RAFFAELE ROMANELLI

DAVID ALEXANDER GILGEN (D)

Entsehung und Wirkung des deutschen Patentsystems im Kaiserreich. Eine neoinstitutionalistische Analyse

*Supervisor* ALAN MILWARD

RENA TE HUBER (A)

Regionale und nationale Identitäten in Vorarlberg 1945-1965. Geschlecht, Migration und Besatzung als Interaktionsfelder zwischen Zugehörigkeit und Differenz

*Supervisor* BO STRÄTH

KIRSTEN WINTHER JØRGENSEN (D)

Between Spirit and Matter. An Ethnographic History of British Zoology and Zoologists, ca. 1660-1800

*Supervisor* PETER BECKER

JAMES KAYE (USA)

No One Such Place. 'Home' in Austrian and Swedish Landscapes

*Supervisor* BO STRÄTH

MARTIN KOHLRAUSCH (D)

Der Monarch im Skandal. Die Logik der Massenmedien und die Transformation der wilhelminischen Monarchie

*Supervisor* REGINA SCHULTE

ANNE MONTENACH (F)

Une économie de l'infime. Espaces et pratiques du commerce alimentaire à Lyon au XVIIe siècle

*Supervisor* LAURENCE FONTAINE

JOHANNA JANNEKE MOUTHAAAN (NL)

The Divine Wings of Tragedy. Perceptions of Natural Disasters in the Kingdom of Naples and the Dutch Republic, 1630 - 1735

*Supervisor* PETER BECKER

ÉVA MARTONFFY-PETRÁS (H)

A Splendid Return. The intellectual reception of the catholic social doctrine in Hungary, 1931-1944

*Supervisor* MICHAEL MÜLLER





MARIA CRISTINA OSSWALD (P)  
From 'Modo Nostro' to the 'Modo Goano':  
Jesuit art in Goa between 1542 and 1655  
Supervisor KIRTI CHAUDHURI

ROLF-HAGEN SCHULZ-FORBERG (D)  
London-Berlin. Authenticity, Modernity and  
the Metropolis in Urban Travel Writing,  
1851-1939  
Supervisor BO STRÅTH

SILVIA SEBASTIANI (I)  
'Razza', donne, progresso. Tensioni ideologi-  
che nel dibattito dell'illuminismo scozzese  
Supervisor JOHN BREWER

XENIA CHARLOTTE VON TIPPELSKIRCH (D)  
Sotto controllo. Letture femminili in Italia  
all'inizio dell'epoca moderna  
Supervisor JOHN BREWER

TOBIAS MICHAEL WITSCHKE (D)  
Gefahr für den Wettbewerb? Die Fusions-  
kontrolle der Europäischen Gemeinschaft für  
kohle und stahl (EGKS) und die 'Rekon-  
zentration' der Ruhrstahlindustrie 1950-1963  
Supervisor ALAN MILWARD

ANGELA XAVIER (P)  
A invenção de Goa. Poder imperial e conver-  
soes culturais nos séculos XVI e XVII  
Supervisor KIRTI CHAUDHURI

### Publications

Becker, Peter, *Normalising Diversity*. EUI  
Working Paper HEC 2003/05  
- 'Un nouveau langage pour l'administration:  
Joseph von Sonnenfels et la création d'une  
chair de langage et prose bureaucratique à

Vienne à la fin du 18e siècle'. In: O. Ihl et. al.  
(eds): *La formation des sciences de gouverne-  
ment en Europe XIXè-XXè siècles*. Paris:  
Economica, 89-107

- 'Überlegungen zu einer Kulturgeschichte  
der Verwaltung', in: *Jahrbuch für Europäische  
Verwaltungsgeschichte* 15, 311-336

Curto, Diego (ed.) Charles Boxer's *Opera  
Minora*, vol. I – *Erudition*; vol. II –  
*Orientalism*; vol. III – *Historiography*, Lisbon:  
Fundação Oriente, c. 1500 pp.

- with, Angelo Cattaneo and André Ferrand  
de Almeida (ed), *La Cartografia Europea tra  
primo Rinascimento e fine dell'Illuminismo*.  
*Atti del Convegno Internazionale The Making  
of European Cartography*, Firenze: Olschki  
- with Anthony Molho, 'Réseaux marchands à  
l'époque moderne', *Annales. Histoire et  
Sciences Sociales*

- 'The Jesuits in China: a New Perspective',  
*Portuguese Studies*, Modern Humanities  
Research Association, vol. 19, 213-219

- 'A Restauração de 1640: nomes e pessoas',  
*Península. Revista de Estudos Ibéricos*, vol. 0  
(2003) – *Entre Portugal e Espanha. Relações  
Culturais (séculos XV a XVIII. In Honorem  
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Federico, Giovanni, 'L'agricoltura italiana:  
successo o fallimento?' in P. Ciocca e G.  
Toniolo (eds) *Storia economica d'Italia 3  
Industrie, mercati istituzioni. 1 Le strutture  
dell'economia*, Laterza Bari, 71-98

- 'A Capital-Intensive Innovation in a Capital-  
scarce World: Steam-threshing in 19th  
Century Italy', in *Advances in Agricultural  
Economic history*, vol. 2, 75-114

- 'La globalizzazione in prospettiva storica', in  
A. Addobbati (ed.) *Islam e Occidente: la sto-  
ria e il mondo che cambia*, Edizioni Plus, Pisa,  
117-126

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lordism*, *Sericulture: technical change*, *Silk  
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*Silk industry: technological change*, *Silk indus-  
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J. Mokyr (ed.) *The Oxford Encyclopedia of  
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- 'Le nuove stime della produzione agricola  
italiana, 1860-1910: primi risultati ed impli-



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- 'La struttura industriale (1911-1996)', in R. Riannetti and M. Vasta (eds) *L'impresa italiana nel Novecento*, Mulino, 41-88

- with Pierangelo Toninelli, 'Le strategie delle imprese dall'Unità al 1973' in R. Riannetti and M. Vasta (eds) *L'impresa italiana nel Novecento*, Mulino, 299-370

- 'Heights, Calories and Welfare: a New Perspective on Italian Industrialization, 1854-1913' in *Economics and human biology* 1, 289-308

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- with C. Benninghaus, J. Requate, 'Social Structure in the Twentieth Century', in: S. Ogilvie (ed), *Germany. A New Social and Economic History*, vol.3, London, 279-319

Molho, Anthony, 'Comunità e identità nel mondo mediterraneo', in M. Aymard e F. Barca (ed.), *Conflitti, migrazioni e diritti dell'uomo. Il Mezzogiorno laboratorio di un'identità mediterranea*, Maison des Sciences de l'Homme

- 'Il Mediterraneo', in M. Tangheroni (ed), *Pisa e il mediterraneo. Uomini, merci, idee dagli Etruschi ai Medici*, Skira

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- with Quentin Skinner (eds), *States and Citizens. History, Theory, Prospects*, Cambridge UP

- with Anna Triandafyllidou (eds) *Representations of Europe and the Nation in Current and Prospective Member States: Media, Elites and Civil Society. The Collective State of the Art and Historical Reports*, Brussels: European Commission Research Directorate, EUR 20736

Van Gelderen, Martin, 'The State and its Rivals in Early Modern Europe' in Q. Skinner and B. Stråth (eds), *States and Citizens*, Cambridge, 79-97

- 'Hugo Grotius und die Indianer: Die kulturhistorische Einordnung Amerikas und seiner Bewohner in das Weltbild der Frühen Neuzeit' in R. Schulz (ed) *Aufbruch in neue Welten und neue Zeiten: Die grossen maritimen Expansionsbewegungen der Antike und Frühen Neuzeit im Vergleich der Europäischen Geschichte*, Historische Zeitschrift, Beihefte, 51-78

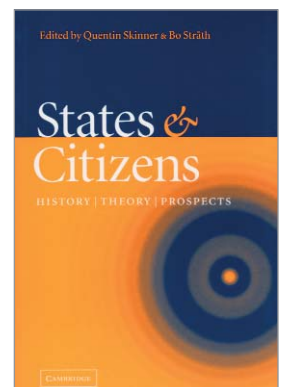
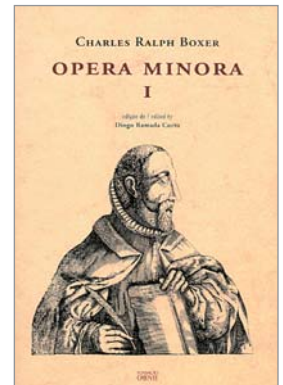
- *The Dutch Revolt*, new paperback edition, University of China Press.

Winand, Pascaline with E. Philippart (eds), *Ever Closer Partnership. Policy-Making in US-EU Relations*, PIE/Peter Lang, Bruxelles

Yun-Casalilla, Bartolomé, with J. Torras and E.Llopis (eds), *El consumo en la España Pre-industrial*. Número especial de la *Revista de Historia Económica* and author of Introduction and ch. 'Historia del consumo e historia del crecimiento. El consumo de tejidos en España, 1750-1850'

- 'Crisis del Antiguo Régimen y crisis de la aristocracia', *Revista Ayer*

- 'Mal avenidos pero juntos. Oligarquías urbanas y Corona en la Castilla del siglo XVI' in A. Vaca Lorenzo (ed) *Homenaje a Angel Rodríguez Sánchez*, Salamanca



## Department of Economics

### Staff Developments

In January the Economics Department moved from the Badia Fiesolana to Villa San Paolo. MASSIMO MOTTA has been Chairman of the department since January 2003.

RICK VAN DER PLOEG (University of Amsterdam) joined the department in January (joint chair with the Robert Schuman Centre). In September, three more professors joined the department: GIANCARLO CORSETTI (University of Rome III, joint chair with the Robert Schuman Centre), PASCAL COURTU (London Business School), and SALVADOR ORTIGUEIRA (Cornell University). GIUSEPPE BERTOLA left the department in August to go back to the University of Turin, also leaving responsibility for the Finance and Consumption Chair, now coordinated by PASCAL COURTU. ROBERTO PEROTTI too left in August to join Università Bocconi, Milan. MIKE ARTIS (joint chair with the Robert Schuman Centre) ended his contract at the end of December.

The teaching programme was enriched with courses by part-time professors, mentioned below.

*The department's Jean Monnet Fellows in 2003-04 were:*

RALF BRUEGGEMANN (Humboldt University Berlin), LAURENCE LASSELLE (University of St. Andrews), JAN MAGNUS (CentER Tilburg University), CLAUDIO MEZZETTI (University of North Carolina), JUAN ROJAS (Universidad Carlos III).

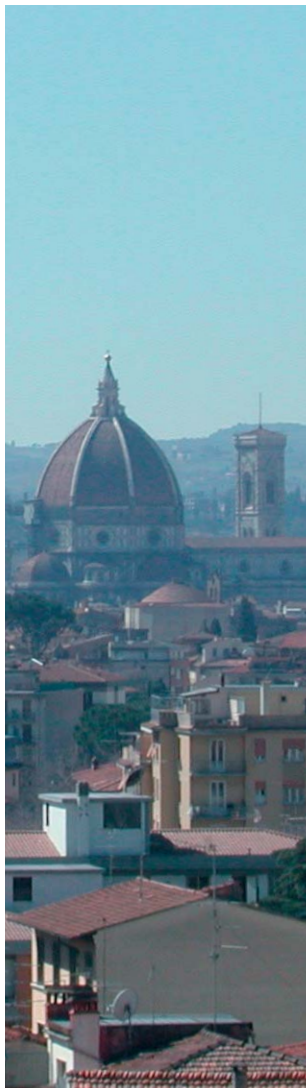
### Academic Activities

In the first two years of the Ph.D. programme the department provides a series of taught courses by permanent faculty members and part-time professors. During 2003 the following teachers gave full credit courses: FABRICE COLLARD (GREMAQ Toulouse), RUSSELL COOPER (Boston University), VINCENZO DENICOLÒ (University of Bologna), ROGER KLEIN (Rutgers University) and JAMES MARKUSEN (University of Colorado).

In addition, the department organises seminars and regular workshops. The workshops – in Microeconomics, Macroeconomics and Econometrics – allow for presentation of work-in-progress by faculty members, students and external speakers. There is also a seminar, organised by MIKE ARTIS and funded by the Robert Schuman Centre for Advanced Studies, which treats issues related to European Monetary Union. The department holds various informal working groups: in Monetary Economics (The Money Group) organised by MIKE ARTIS; the Competition Working Group organised by MASSIMO MOTTA (until Summer 2003); the Applied Microeconomics Working Group organised by PASCAL COURTU and MASSIMO MOTTA (since September) the Labour Working Lunch by ANDREA ICHINO, the Working Group on Macroeconomics organised by OMAR LICANDRO. Faculty members also participate in the annual Second and Third Year Students' Forums, where research students present papers.

The Economics Working Paper Series added 24 new working papers during 2003. Since 2002 the Economics Working Papers are an 'on-line only publication'.

The Economics Department is pleased to announce that 19 PhDs were successfully defended during 2003. During the period 1995-2003 a total of 153 PhDs were defended in the department, with an average of 17 per year. Increased attention has been paid to providing career advice, and to helping our students 'present themselves' properly in their critical first labour market contacts. Since 1998, 'Mock Interview' sessions are held for researchers actively entering the current year's job market. The department organizes yearly recruitment visits from major international institutions and banks (including World Bank, IMF, European Investment Bank and Bank of England). More than half of the outgoing research students find employment in the academic sector, and roughly a quarter in international organizations, while the remainder is placed in public administration and in the private sector.



### Special Lectures and Prizes

The Annual European Investment Bank Lectures (two lectures in 2003) were given by DANIEL MCFADDEN (University of California at Berkeley) and JERRY HAUSMAN (MIT). KLAUS ADAM (University of Frankfurt) received the Rotary prize for the best PhD thesis in Economics for 1999-2003.

### Ranking of the Economics Department: Third in the World?

In a recent ranking of European centres in terms of economic publications carried out by P.P. Combes and L. Linnemer and published in the *Journal of the European Economic Association*, the EUI appears to be one of the best centres for economic research in Europe. Indeed, in the period 1996-2000, the *per-member research output* index shows that the EUI is ranked 3rd in Europe. A more disaggregated index which considers only economics departments (rather than whole universities), particularly pertinent when comparing economics publication outputs with the US (since in the US all rankings are carried out at the level of economics departments, not universities), is even more striking: when the *per-member performance of European and US subcentres* is looked at, the EUI Economics Department is ranked 3rd in the world, after Harvard and MIT's Economics Departments, using an index based on all the publications in economics journals (weighted according to their importance).

### Staff Members' Research Activities

MICHAEL ARTIS, joint chair with the Robert Schuman Centre continued research along two main lines: investigation of the business cycle in Europe and the tracking of the process of monetary integration and monetary policy in Europe. MICHAEL ARTIS was appointed to the CEPR's newly established Euro Area business cycle dating panel in October. He participated in conferences in Brussels, Kiel, Luxembourg, Cambridge, Victoria (Canada), Venice and Crete and gave seminars in Brussels and Victoria.

ANINDYA BANERJEE continued his work on forecasting using factor methods, integrated panel data models and estimating mark-up models. His research was presented at different fora around the world, including invited addresses in Oxford, Universidad Carlos III, Bank of France, Eurostat (Luxembourg), Norges Bank and the Brazilian Econometrics Congress in Porto Seguro. He also continued to act as editor of *Oxford Economic Papers* and the *Oxford Bulletin of Economics and Statistics*. He is preparing a conference organised with the Robert Schuman Centre to discuss the economic, econometric and cross-disciplinary aspects of accession. He will also pursue research in the newly inaugurated project on policy analysis in a global economy (PAGE).

GIANCARLO CORSETTI joined the department in September, on a Joint Chair with the Robert Schuman Centre and Pierre Werner Chair. He works on the development of open macro models for policy analysis and design. In 2003, he developed two general equilibrium models of the international transmission of real and monetary shocks, discussing the source of exchange rate volatility and (the lack of) international risk-sharing. Empirical analysis led to novel evidence on the response of the US exchange rate to productivity shocks. He developed an empirical model of the US current account, as well as a model of liquidity provision by an international lender of last resort, and moral hazard distortions, as a theoretical basis of IMF interventions in a financial crisis.

PASCAL COURTY joined the EUI in September. He pursued his work on responsive pricing. Two papers of his appeared as Discussion Papers at the Centre for Economic Policy Research, London. He also began a new project on responsive pricing, trying to identify whether fairness concerns influence the demand for Internet access. This research completes previous work and gives a broader perspective to policy makers interested in introducing such pricing schemes elsewhere (i.e. electricity market, road pricing). In addition, Pascal took over the coordination of the



Klaus Adam



Pascal Courty





Massimo Motta,  
Head of Department



Salvador Ortigueira

Finance and Consumption in the European Union Chair from GIUSEPPE BERTOLA under a new convention covering the next four years.

ANDREA ICHINO continued research on the topics of family networks and unemployment in Europe; education and labour market outcomes; labour relations inside firms; and evaluation of public programs for the labour market. He worked with various co-authors on the following papers, now under evaluation for publication in academic journals: 'How Often Should You Open the Door? Optimal Monitoring to Screen Heterogeneous Agents', 'Gender Wage Gap in Expectations and Realizations', 'Clean Evidence on Peer Pressure', 'Unemployment and Consumption: Why Are Job Losses Less Painful Than Expected Near the Mediterranean?', 'Does Parental Employment Affect Child Care? Evidence from Time Use Data in Three Countries', 'Job Insecurity and Children's Emancipation: The Italian Puzzle', 'The Effect of Employment Protection on Worker Effort – A Comparison of Absenteeism During and After Probation'. He also completed a research project for the Italian Ministry of Labour: '*Il lavoro interinale in Italia: trappola del precariato o trampolino verso un impiego stabile?*'.

OMAR LICANDRO worked mainly on growth theory, human capital formation and technological progress. He co-organised the conference on Lumpy Investment, Durable Purchases and Technical Change in Madrid, the VIII Workshop on Dynamic Macroeconomics in Vigo and the First Annual Conference of the Euro-Latin Study Network on Integration and Trade in Barcelona. Omar Licandro is a member of the Editorial Board of *Investigaciones Economicas* and *Annales d'Economie et de Statistique*; he is scientific advisor of the Royal Swedish Academy of Sciences and of the Spanish Ministerio de Ciencia y Tecnología.

HELMUT LÜTKEPOHL continued work on statistical tests for unit roots and co-integration that allow for structural shifts in the time series. Progress was made in particular for the

case where a structural break has occurred at an unknown time. He also continued his analysis of the transmission mechanism of German monetary policy in the pre-EURO period. Although the responsibility for monetary policy has now shifted to the European Central Bank (ECB), understanding the Bundesbank's policy is still of importance, because the ECB uses a similar monetary policy. In 2003 he again chaired the German Econometrics Group within the *Verein für Socialpolitik* and he organised a meeting of the group in Germany. In addition, he organised a conference on Computational Aspects of New Approaches to the Study of Economic Fluctuations at the EUI in March. Moreover, he served on the editorial boards of the journals *Econometric Theory*, *Econometric Reviews*, *Macroeconomic Dynamics*, *Journal of Applied Econometrics*, *Empirical Economics* and *CESifo Economic Studies*.

MASSIMO MOTTA continued work on projects related to competition policy and regulation issues. In 2003 he finished work on his book *Competition Policy. Theory and Practice*, which has just appeared for Cambridge University Press. Together with co-authors, he studied such issues as cartels, merger policy, exclusive dealing, price controls.

SALVADOR ORTIGUEIRA joined the department in September, and continued research on the two topics: *Labour market institutions and unemployment*. The main objective of this analysis is to show that the recent breakdown in centralized wage bargaining observed in most European economies, and especially in Scandinavian countries, is related to technological progress; *Markovian optimal taxation*. This project aims at characterizing and computing optimal income taxes when the government lacks commitment to future policies. He served as placement officer in the Department and as Associate Editor for the *Journal of Economic Dynamics and Control*.

KARL SCHLAG continued work on the project Learning Boundedly Rational Agents. Research focused on understanding how agents make decisions if they want to avoid

regret once they have made a decision. Three papers were started that consider (a) the connection between imitation and regret, (b) how firms price goods if consumers try to avoid regret, and (c) how firms price goods if firms themselves want to avoid regret. Additional attention was given to the analysis of the laboratory experiments run in 2002 as joint research with FABIAN BORNHORST (researcher), ANDREA ICHINO and EYAL WINTER (former EUI member). As a new challenge along with the usual refereeing KARL SCHLAG joined the board of editors of *Mathematical Social Sciences*.

RICK VAN DER PLOEG presented 'Do Social Policies Harm Employment and Growth?' at Humboldt University, EUI, Siena, Rome II, CESifo in Venice and the EC, 'Prudent Monetary Policy' in Tilburg and Milan, 'Rolling Back the Public Sector' at CESifo in Munich, 'Role of Employers in Transforming Disability into Ability: Learning from Dutch Mistakes' at UN, Vienna, and the plenary lecture 'Space and Value' at the 130th Anniversary Conference of the Royal Society of Dutch Geographers. At the Schuman Centre he organised the Werner Chair conference Fiscal Aspects of the EMU. He took an active part in the Dutch policy debate and writes a bi-weekly newspaper column. The paper on fixed book prices has been accepted for *Journal of Cultural Economics* and 'De Informatierevolutie' came out in *Amsterdams Sociologisch Tijdschrift*. He is Coordinator of Public Sector Economics at CESifo and CEPR Research Fellow in International Macroeconomics. He was awarded the Piersonpenning from the President of De Nederlandsche Bank. He was elected to the Unesco World Heritage Committee and is Chairperson of the Board of De Appel, Centre for Contemporary Arts in Amsterdam.

FRANK VELLA continued his research, organised under the following topics: identification and estimation of models with heteroskedasticity; semi-parametric estimation of static and dynamic discrete choice panel data models; and examining the determinants of worker mobility.

### Theses Defended in 2003

ANTONIO FILIPPIN (I)  
Discrimination and Workers' Expectations  
*Supervisor* PIERPAOLO BATTIGALLI,  
*Co-Supervisor* ANDREA ICHINO

NICOLA GIAMMARIOLI (I)  
Essays on Dynamic General Equilibrium Models  
*Supervisor* ROGER FARMER, *Co-Supervisor* OMAR LICANDRO

ISSAM HALLAK (F)  
Essays on Sovereign Loan Contract Designs  
*Supervisor* JAMES DOW, *Co-Supervisor* ANINDYA BANERJEE

THOMAS HINTERMAIER (A)  
Four Essays in Dynamic Macroeconomics  
*Supervisor* ROGER FARMER, *Co-Supervisor* OMAR LICANDRO

SIMON HOUGH (UK)  
Priceless or Worthless? Four Essays on Art as an Investment  
*Supervisor* SØREN JOHANSEN

RAINER KIEFER (D)  
Essays on Corporate Distress  
*Supervisor* JAMES DOW, *Co-Supervisor* ANDREA ICHINO

PANAGIOTIS KONSTANTINOU (GR)  
Essays in Economics: What can we Infer from the Data  
*Supervisor* SØREN JOHANSEN, *Co-Supervisors* ANINDYA BANERJEE, ROGER FARMER

CHRYSOVALANTOU VASILIKI MILLIOU (GR)  
Vertical Relations, Competition and Innovation  
*Supervisor* MASSIMO MOTTA, *Co-Supervisor* KARL SCHLAG

PIETER HERMAN OMTZIGT (NL)  
Essays on Cointegration Analysis  
*Supervisor* SØREN JOHANSEN

RUDOLF OOMEN (NL)  
Three Essays on the Econometric Analysis





Andrea Ichino



of High Frequency Financial Data  
*Supervisor* SØREN JOHANSEN

CHIARA OSBAT (I)  
 Searching for Purchasing Power Parity: a  
 Methodological and Empirical Analysis of  
 Equilibrium Real Exchange Rate  
 Determination  
*Supervisor* GRAYHAM MIZON, *Co-Supervisor*  
 ANINDYA BANERJEE

MICHAEL PEDERSEN (DK)  
 Essays on Applications of I(1) and I(2)  
 Cointegrated VAR Models on Issues in  
 International Price Parities  
*Supervisor* SØREN JOHANSEN, *Co-Supervisor*  
 ANINDYA BANERJEE

TUOMAS JUHANA PEKKARINEN (FIN)  
 Job Complexity and Wages  
*Supervisor* ANDREA ICHINO

JUANA MARIA SANTAMARIA GARCIA (E)  
 Markets with Imperfections: Essays on  
 Bargaining and Search  
*Supervisor* KARL SCHLAG, *Co-Supervisor*  
 PIERPAOLO BATTIGALLI

ANA MARIA SANZ DE GALDEANO (E)  
 Essays on Labour Economics, Health  
 Economics and Gender  
*Supervisor* ANDREA ICHINO

THOMAS STEINBERGER (A)  
 Macroeconomic consequences of imperfec-  
 tions in financial markets  
*Supervisor* GIUSEPPE BERTOLA

NATACHA VALLA (F)  
 Learning and Distribution in Monetary  
 Economics  
*Supervisor* GIUSEPPE BERTOLA

DANIELA VURI (I)  
 Fertility and Divorce  
*Supervisor* ANDREA ICHINO

MIRKO JULIAN WIEDERHOLT (D)  
 Markets for Information, Allocation of  
 Capital and Growth in Open Economies  
*Supervisor* GIUSEPPE BERTOLA

## Publications by Professors

Michael Artis, 'Reflections on the Optimal  
 Currency Area Criteria in the Light of EMU',  
 in *International Journal of Finance and  
 Economics*, Special Issue October  
 - with Christopher Allsopp 'EMU Four Years  
 On – the Assessment', in *Oxford Review of  
 Economic Policy*, Spring  
 -, *Analysis of European and UK Business  
 Cycles and Shocks*, EMU study for HM  
 Treasury  
 -, 'The Evolution of EMU's 'Fiscal Con-  
 stitution' (comment on a paper by Buti and  
 Giudice), in Weller, J.H.H., Begg, I. and J.  
 Peterson (eds), *Integration in an Expanding  
 European Union*. Oxford: Blackwell  
 -, 'Discussion (of a paper by Elena Carletti  
 and Phillip Hartmann, "Competition and sta-  
 bility: what's special about banking?")' in P.  
 Mizen, (ed.), *Monetary History, Exchange  
 Rates and Financial Markets*, Essays in  
 Honour of Charles Goodhart, Vol 2.  
 Cheltenham: Edward Elgar

Giancarlo Corsetti, Bernardo Guimaraes,  
 and Nouriel Roubini, 'International Lending  
 of Last Resort and Moral Hazard: a Model of  
 IMF's Catalytic Finance', *NBER Working  
 Paper* 10125

Pascal Courty and Mario Pagliero, 'Respon-  
 sive Pricing', *CEPR Discussion Paper* 4036.  
 – and Mario Pagliero, 'Does Responsive  
 Pricing Increase Efficiency? Evidence from  
 Pricing Experiments in an Internet Café',  
*CEPR Discussion Paper* 4149

Andrea Ichino, Michele Polo and Enrico  
 Rettore, 'Are Judges Biased by Labor Market  
 Conditions?', *European Economic Review*  
 –, Sascha Becker and Giovanni Peri, 'How  
 Large is the Brain Drain from Italy?', *Gior-  
 nale degli Economisti e Annali di Economia*  
 – Fabrizia Mealli and Tommaso Nannicini,  
 'Il lavoro interinale in Italia: trappola del pre-  
 cariato o trampolino verso un impiego sta-  
 bile?', *Italian Ministry of Welfare*

Omar Licandro, Raouf Boucekkine and  
 David de la Croix, 'Early Mortality Declines



at the Dawn of Modern Growth', *Scandinavian Journal of Economics*, 105(3), 401-418  
 –, Raoul Boucekkine and Fernando del Rio, 'Embodied Technological Progress, Learning and the Productivity Sowdown', *Scandinavian Journal of Economics* 105, 87-98

Helmut Lütkepohl, Pentti Saikkonen and Carsten Trenkler, 'Comparison of Tests for the Cointegrating Rank of a VAR Process with a Structural Shift', *Journal of Econometrics*, 113, 201-229  
 – and Jürgen Wolters, 'Transmission of German Monetary Policy in the Pre-euro Period', *Macroeconomic Dynamics*, 7, 711-733  
 –, Markku Lanne and Pentti Saikkonen, 'Test Procedures for Unit Roots in Time Series with Level Shifts at Unknown Time', *Oxford Bulletin of Economics and Statistics*, 65, 91-115

Massimo Motta and Michele Polo, 'Leniency Programs and Cartel Prosecution', *International Journal of Industrial Organization*, 21, 347-379  
 –, Michele Polo and Helder Vasconcelos, 'Merger Remedies in the European Union: An Overview', *Antitrust Bulletin*. Also in F. Lévêque and H. Shelanski (eds), *Guidelines for Merger Remedies: Prospects and Principles*, Edward Elgar  
 – and Michele Polo, 'Beyond the Spectrum Constraint: Concentration and Entry in the Broadcasting Industry', in M. Baldassarri and L. Lambertini (eds), *Antitrust, Regulation and Competition*, New York: Palgrave MacMillan

Salvador Ortigueira, 'Equipment Prices, Human Capital and Economic Growth', *Journal of Economic Dynamics and Control*, 28, 307-329

Rick van der Ploeg, 'Prudent Monetary Policy: Applications of Cautious LQG Control and Prediction', *CEPR Discussion Paper* 4222  
 –, 'Rolling Back the Public Sector -Differential Effects on Employment, Investment and Growth', *CESifo Working Paper* 890  
 –, 'Do Social Policies Harm Employment and Growth?', *CESifo Working Paper* No. 886  
 –, 'Blindstaren op staatsschuld brengt grote schade', 13-15. *Jaarboek 2002/2003*, Konink-

lijke Vereniging voor Staathuishoudkunde, Lemma/ESB, Utrecht  
 – and Mei Li Vos, 'De informatierevolutie: Gevolgen voor politiek en burger', *Amsterdams Sociologisch Tijdschrift*, 140-16. Also in G. Kuipers, J. de Kloet and S. Kuik (eds), *Digitaal Contact*, Amsterdam University Press

Frank Vella, Mitali Das and Whitney Newey, 'Non-parametric Estimation of Sample Selection Models', *Review of Economic Studies*, Vol. 70, 33-58

### Publications by Researchers

Bergljot Barkbu, Ragnar Nymoen and Knut Røed, 'Wage Coordination and Unemployment Dynamics in Norway and Sweden', *Journal of Socio-Economics*, 32:1, 37-58

Nicola Giammarioli, 'Indeterminacy and Search theory', ECB Working Paper 271  
 – and Natacha Valla, 'The Natural Real Rate of Interest in the Euro Area', ECB Working Paper 233

Lusine Lusinyan and Claudia Buch, 'Determinants of Short-Term Debt: A Note', *Journal of International Financial Markets, Institutions and Money* 13(2): 157-170

Alexander Muravyev and Paul Hare, 'Privatization in Russia', in: D. Parker, D. Saal (eds.), *International Handbook on Privatization*, Edward Elgar, Cheltenham  
 –, 'Turnover of Senior Managers in Russian Privatised Firms', *Comparative Economic Studies*, 52

Ekaterina Vostroknutova, 'Polish Stabilization: What Can We Learn from the I(2) Cointegration Analysis?', *Economics of Planning*, 36:177-199

–, 'Shadow Economy, Rent-Seeking Activities, and the Perils of Reinforcement of the Rule of Law', William Davidson Institute Working Paper 578



Rick van der Ploeg



Frank Vella

## Department of Law



It was an important year for the Law Department, with the arrival of three new professors: Prof. SILVANA SCIARRA's contract expired in March and Prof. MARIE-ANGE MOREAU succeeded her on the Chair of European Labour and Social Law in September. Prof. FRANCESCO FRANCIONI took up the Chair of International Law of Human Rights in September and Prof. HANNS ULLRICH took up the new Chair of Competition Law and Intellectual Property Law in October. In April, Prof. NEIL WALKER took up the new post of Dean of Studies of the EUI, and in November, Prof. WOJCIECH SADURSKI succeeded Prof. JACQUES ZILLER as Head of Department.

For the first time in many years the Department operated at its 'full professorial capacity'. The Department is committed to ensuring a balanced recruitment of professors, students and post-graduate Fellows, in order to cover not only the broadest possible range of national legal systems as well as European and international law, but also a broad enough variety of sub-disciplines. The doctoral programme, the LL.M. programme and the premises of a post-doctoral scheme are structured in order to reinforce cultural and academic diversity, best evidenced by the range of academic backgrounds represented by our departmental community: 13 professors, 138 researchers, 10 Jean Monnet and Marie Curie Fellows and six administrative staff, all from 29 countries.

Our educational activities are inseparable from our research, culminating in several workshops and conferences organized by our professors (see below). The Department hosted some eminent visiting scholars, such as Professors NEIL MACCORMICK, LAURENCE BOY, RUTI TEITEL and DIRK VOORHOOF.

### Major Research Areas

The Department feels that concentrating on only a small number of principal fields would be to the detriment of available expertise in research and supervision and more generally of cultural pluralism. Its professors are

engaged in a number of cooperation activities that seek to broaden the scope of participants in a project by supporting multi-disciplinarity and multi-culturalism in all its meanings.

The Department's research priorities were organized around three main common areas, reflecting the Department's profile (European and International in its character, comparative in its approach and contextual in its methods).

**The Impact of Constitutionalisation and Enlargement on the Law of the European Union:** Several members of the Department have continued to conduct research in this field, more particularly in relation to: the current constitutional reform of the European Union, the implications of enlargement, the processes of incremental constitutional change and the theoretical and historical dimensions of European constitutionalism. The detailed output can be found in the publications list.

**The Europeanisation of Private Law.** The European Private Law Forum, directed by Professors CAFAGGI, JOERGES and ZILLER and coordinated by Dr. CHRISTOPH SCHMID, seeks to emphasize the importance, theoretical and practical, of the Europeanisation process for substantive law and the transnational disciplines. A first project on the comparison of National tenancy laws and procedures in the European Union was supported by the Grotius Programme for Judicial Co-Operation in Civil Matters, with Dr. SCHMID as rapporteur and the participation of the co-directors of the European Private Law Forum. A second project on Real Property was accepted for financing by the same programme for 2004. Within the forum and in collaboration with the RSCAS, two conferences on self-regulation and European law were organised by Prof. CAFAGGI.

**The Impact of Globalisation of International Law and Human Rights: a European Perspective.** Prof. P.M. DUPUY launched a new working group on International Criminal Law, composed of researchers who,

under his supervision, are currently working on a Ph.D. in international criminal law.

Members of the group took part in the December Colloquium organised by the International Criminal Court on the principle of complementarity (between national and international criminal jurisdictions).

The Department remained very involved in the field of legal theory and philosophy of law, with seminars and research programmes by Prof. SADURSKI and several other professors, as well as a very active researcher-run Working Group on Legal Theory.

#### Academic Staff

FABRIZIO CAFAGGI taught in the area of private law and regulation, governance (interdisciplinary seminar with Prof. CROUCH, SPS), and comparative law. He is co-director of the European Private Law Forum. In October, he organised a conference with JACQUES ZILLER on the Europeanisation of Contract Law. His research interests are focused on (1) European private law, particularly on the role of soft law, self-regulation in European legal integration; (2) local development, governance and networks of firms (3) private actors, communities and social rights; (4) Law and economics. He coordinates both a research project on the comparative analysis of self-regulatory regimes in Europe, and a project on the law and economics of Italian industrial districts. He presented papers on organisational loyalty and theories of the firms (Cegla Conference at University of Pennsylvania Law School).

GRÁINNE DE BÚRCA's primary research involved a number of projects on law and 'new governance' in the EU, with particular focus on the 'open method of coordination'; a number of smaller projects on the EU Constitutional Convention; and several papers on the human rights policy of the EU. She gave the Annual John Randolph Tucker Lecture on The Drafting of a Constitution for Europe at Washington and Lee Law School in October and presented papers at the

Center for European Studies at Harvard Law School, NYU Law School, Yale Law School, Columbia Law School European Reunion, and at the WG Hart Workshop in London. She also gave the General Course on The Constitutional Limits of EU Action at the Academy of European Law and co-organized the Academy of European Law Summer Courses in EU Law and Human Rights Law with Prof. DE WITTE. She was a Visiting Professor at Columbia Law School in the Spring semester and was elected a Member of the Executive Board of the European Union Studies Association, 2003-2007.

PIERRE-MARIE DUPUY continued his research on the unity of the international legal order at a time of globalisation and on the legal statute and functions of Non-State Organizations within the International legal order. He participated in the annual colloquia of the French Society of International Law (Geneva), the Executive Board of which he is a member, and of the Italian Society of International Law (Verona) of which he is a member, a colloquium at the Max-Planck-Institut für Völkerrecht und Öffentliches Recht (Heidelberg) and one on the Participation of NGOs in Proceedings before International Courts and Tribunals at the University of Milan. P.M. DUPUY organized two symposia. The first, in cooperation with the RSCAS gender studies programme and Prof. SILVANA SCIARRA on the participation of women judges in the functioning of international tribunals, was sponsored by the Program on International Courts and Tribunals (PICT – NYU and Univ. London). P.M. DUPUY has been a member of the Steering Committee of the PICT since the beginning of its activities. The second symposium on the Actuality of Kant's Vision of International Peace through his project on Perpetual Peace (1796) was organized in the context of a seminar on the evolution of the law of collective security.

FRANCESCO FRANCIONI's research-related activities centred on the coordination of a large research project on Biotechnology, Biodiversity and International Law, on indi-



*Pierre-Marie Dupuy*



*Neil MacCormick, MEP and member of the Convention*





Francesco Francioni



Christian Joerges

vidual research on the impact of customary international law on Human Rights Adjudication, and on his research on the interaction between human rights and cultural rights in international law. He continued his work as Editor in Chief of the *Italian Yearbook of International Law* and served during the year as elected Chairman of the Legal Working Group of the Antarctic Treaty, as a member of the Consultative Meeting, bringing to a successful outcome the long-standing negotiation on the adoption of a set of international normative instruments for the establishment of a permanent secretariat for the Antarctic Treaty. He participated in various UNESCO activities within the 2003 General Conference and, in particular, the drafting and adoption of the UNESCO Declaration on Intentional Destruction of Cultural Heritage, the adoption of a new Convention on the Safeguarding of Intangible Cultural Heritage and the launching of a new negotiation on Cultural Diversity. As a representative of the Department in the RSCAS's Mediterranean Programme he participated in the EU-Turkey 2003 Meeting and provided advice and support for the preparation of the Euro-Mediterranean Master (MEMA).

Professor CHRISTIAN JOERGES's research activities involved a workshop on The Forging of Deliberative Supranationalism at the RSCAS, organized with ERIK O. ERIKSEN (Oslo) and JÜRGEN NEYER (Berlin) in the context of the project on Citizenship and Democratic Legitimacy in Europe – CIDEL, a joint research project between nine partners in six European countries and co-ordinated by ARENA (Univ. Oslo) and financed by the Fifth Framework Programme. He collaborated with HEINZ-GÜNTHER HAUPT, WOJCIECH SADURSKI, BO STRÅTH, and PETER WAGNER in the project The Shadows of the Past(s) over the Construction of Europe. He co-directed a project (with E.-U. PETERSMANN) on Legal Patterns of Transnational Social Regulation. During a leave of absence (April to July) he pursued this issue also in a project prepared with JOSEF FALKE and CHRISTINE GODT (both Bremen) on Sozialregulierung und Welt-

handel which is financed by the German Science Foundation. The Europeanisation of Private Law is a core concern of the EUI European Private Law Forum and also of a Working Group on Private Law and Social Justice organized by Hugh Collins (London) and Martijn Hesselink (Amsterdam). Contributions to conferences *inter alia* in Paris, Cambridge and Mannheim, London, Wittenberg, Giessen, Catania, Berlin, Paris. Contributions to EUI events include the two Private Law Forum conferences and the concluding conference of the project on A European Social Citizenship?

MARIE-ANGE MOREAU's activities on the theme of globalization and labour law included participation in two symposia organized by the CRIMT (Centre de recherches Interuniversitaires sur la mondialisation et le travail) in Montreal, Canada and in the symposium on Ethics, Equality, Efficiency: Which Social Regulation in a Globalised Company? At the October meeting of the CRIMT, she presented a paper with the Prof. GILLES TRUDEAU (Univ. Montreal). She took part in a working group on a comparative analysis of fundamental social rights in South Africa and in the EU; in a working group headed by JEAN-YVES CHEROT, University of Aix-Marseille and Tobias Van Reenen, University of the Western Cape (South Africa) and in a colloquium on Comparative Analyses at the University of the Western Cape. She also participated in a conference organized at the EUI by XAVIER PHILIPPE, (Univ. Aix-Marseille). In the field of domestic labour law, she made a report for the European Commission (EMPL) on the 'Evolution of labour law in France between 1992 and 2002' and on 'The evolution of labour law in Luxemburg between 1992 and 2002'.

ERNST-ULRICH PETERSMANN, Joint Chair with the Robert Schuman Centre, continued to work on international trade issues and transatlantic political relations. He organized a conference on Challenges to the Legitimacy and Efficiency of the World Trading System - Democratic Governance and Competition

Culture in the WTO', in which WTO ambassadors and other WTO negotiators served as commentators on policy papers prepared by leading academics on major problems of the Doha Round of multilateral trade negotiations in the WTO. He continued to work as co-editor of the *Journal of International Economic Law* and of the *Swiss Review of International Economic Relations* (*Aussenwirtschaft*). He gave lectures at the universities of Macerata, Siena, Stellenbosch (S. Africa), Bern, Amsterdam and Dresden. He presented a consultant report on EU-UN relations to the European Parliament's Committee on Foreign Affairs, chaired the annual meeting of the International Trade Law Committee of the International Law Association at the University of Stellenbosch, presided over a WTO dispute settlement proceeding at Geneva, and continued to advise less-developed WTO member countries in WTO dispute settlement proceedings.

WOJCIECH SADURSKI completed his research project on the relationship between constitutional justice and the principles of democratic legitimacy in the post-communist systems of Central and Eastern Europe, finishing the manuscript of a monograph. In December, he presented this work to the doctoral seminar on constitutional justice at the Faculty of Law, University of Pisa. He also continued to work on issues related to EU Eastward enlargement, including the role of the EU Charter of Rights in the process of enlargement. He presented papers at the European Centre in Natolin, Poland, at Columbia Law School, New York, and at the University of Montreal. He began working on the project on the impact of enlargement upon the understanding of democratic principles and the rule of law in the accession States. In the context of this project, he convened a workshop on Implications of Enlargement for the Rule of Law, Democracy and Constitutionalism in Post-Communist Legal Order. He was also co-director of the European Forum held at the Robert Schuman Centre and was responsible for the theme The 'East' Side of European Constitutionalism. He presented papers at workshops and seminars held at the

EUI, including the workshop on Values in the Constitution of Europe in December.

SILVANA SCIARRA chaired the EUI Committee and drafted the text for a new EUI Code on Harassment. She started editing a volume on labour law in *A Treaty on European Law* to be published by Giappichelli Turin; she co-ordinated a group of experts of the European Commission on The Evolution of Labour Law (1992-2003), for which she wrote the Report on Italy and is writing the General Comparative Report. She directed a research project co-financed by the European Commission and the University of Florence on: The Evolving Structure of Collective Bargaining with experts from 25 Member and candidate States. Her research interests were in the area of the Open Method of Coordination, both in employment policies and in social inclusion. She also worked on the Constitutionalisation of fundamental rights in the EU, taking account of the Draft Constitution.

HANNS ULLRICH finished his former work on Globalisation and Economic Law, publishing the contributions to the Tunis conference on Mondialisation et droit économique: vers un nouvel ordre public économique. He is Editor-in-Chief of the *Revue internationale de droit économique*, and member of the Executive Board of the newly established Academic Society for Competition Law. His research projects relate to Promoting and Protecting Information and Innovation of Intellectual Property: National Strategic Policies Between Regional Dominance and Global Governance and to the Challenges of Competition Law: Conceptual Changes and Global Control.

NEIL WALKER continued his research on the constitutional form and implications of the development of the EU as a post-State Polity and on the emergence of the EU as an Area of Freedom, Security and Justice. He organized a seminar at the Institute on Agonistic Democracy in the Context of European Constitutionalism and participated in a number of workshops and conferences on various



Marie-Ange Moreau



Wojciech Sadurski,  
Head of Department



Catherine Dupré



Giuliano Amato

aspects of European constitutional development, including the drafting of a Constitutional Treaty, the place of values within the EU constitutional framework, the EU as an international actor, and self-regulatory processes within EU law. He is co-director of the EUI Forum on Constitutionalism for the academic year 2003-4. He delivered public lectures or conference papers on various aspects of his research agenda in Glasgow, London, Amsterdam, Lisbon, Brussels, Helsinki, Parma and Bologna. He is a member of various transnational academic networks and of several editorial boards for book series and journals, including the *European Law Journal*.

JACQUES ZILLER continued his work on the Consolidation of European Public Law and participated in the initiatives in the field of European Private Law (with Profs. CAFAGGI and JOERGES). He organised a workshop on The Europeanisation of Constitutional Law in May, the proceedings of which were published in December. He served as an expert to the Delegation of the Committee of Regions at the Convention on the Future of Europe and held several consulting activities in this field. He was a Keynote Speaker at the Conference of the European Group of Public Administration in Oeiras, Portugal, on Public Law and the Modernising State, and presented several papers at conferences in Barcelona, Montpellier, Paris, Rennes, Speyer, Trento and Tunis, and at Pennsylvania State University.

In addition to the EUI's permanent professors, a number of other academics were members of the Department during 2003:

#### Part-Time Professor

LUIGI CONDORELLI (Univ. Firenze)

#### Visiting Professors

GIULIANO AMATO, Senator, Rome  
ANTONIO CASSESE, Univ. Florence  
KARL-HEINZ LADEUR, Univ. Hamburg:  
Mitchell Lasser, Univ. Utah  
CHIARA ZILIOLI, European Central Bank, Frankfurt

#### Visiting Fellows

SILVINA ALVAREZ, Universidad Autónoma de Madrid;  
Rainer Arnold, Universität Regensburg  
JULIA CHRYSOSTALIS, University of Essex  
MICAELA FRULLI, University of Florence  
MAXIMILIAN FUCHS, Universität Eichstätt-Ingolstadt  
MARIA EDUARDA GONCALVES, Instituto Superior de Ciências do Trabalho e da Empresa, Lisboa  
REGINA GRAYCAR, University of Sydney: Carol Harlow, LSE  
HELEN HERSHKOFF, New York University School of Law  
FUMIHIKO ISHIYAMA, Daito Bunka University, Tokyo  
STEPHEN LOFFREDO, City University of New York Law School  
EMIKO NAKASAKA, University of Hiroshima; Pål Wrangé, Stockholm

#### Marie Curie Fellows

SEGOLENE BARBOUS DES PLACES, Université de Nancy 2  
JAROSLAW LOTARSKI, University of Sheffield

#### Jean Monnet Fellows 2002-03

CATHERINE DUPRÉ, University of Wales, Aberystwyth  
PATRICK HANAFIN, Birkbeck College, Univ. London  
ANDREA OTT, T.M.C Asser Institute, The Hague  
STEPHEN SKINNER, University of Wales, Aberystwyth

#### Jean Monnet Fellows 2003-04

DAMIAN CHALMERS, LSE  
THOMAS MERTENS, Univ. Nijmegen  
MATHIAS SIEMS, Bucerius Law School, Hamburg  
LUISA VIERUCCI, Univ. Florence



## PhD Degrees Awarded in 2003

23 Doctoral degrees were awarded

ANNELI ALBI (Estonia)

Central and Eastern European Constitutions and EU Integration. In a decade from 'sovereignism' to 'federalism'?

*Supervisor* JACQUES ZILLER

ISABELLE BOUCOBZA (F)

La fonction juridictionnelle. Contribution à une analyse des débats doctrinaux en France et en Italie

*Supervisor* JACQUES ZILLER

MARIA BYSTRÖM (S)

Advocacy Groups and Multilevel Governance: The Use of EC Law as a Campaigning Tool

*Supervisor* GRÁINNE DE BÚRCA

ERIC PIERRE CARPANO (F)

Etat de droit et droits européens: L'évolution du modèle de l'Etat de droit dans le cadre de l'eupéanisation des systèmes juridiques

*Supervisor* JACQUES ZILLER

SUSANA DE LA SIERRA MORON (E)

La tutela cautelar contencioso-administrativa: una perspectiva comparada: los ordenamientos español, francés y alemán en el contexto del Derecho Administrativo Europeo

*Supervisor* JACQUES ZILLER

SAMUEL ENGBLOM (S)

Self-Employment and the Personal scope of Labour Law. Comparative Lessons from France, Italy, Sweden, United Kingdom and the United States

*Supervisor* SILVANA SCIARRA

Frederic Andre Gabriel Fourtoy (F)

L'impact du droit communautaire secondaire sur le droit international privé français

*Supervisor* Christian Joerges

FRANÇOIS LAFARGE (F)

La souveraineté patrimoniale de l'Etat en question. L'évolution du rôle de l'Etat dans les systèmes juridiques français et italien de

protection des biens culturels

*Supervisor* JACQUES ZILLER

KOEN KARL ROSALINDE LEMMENS (B)

La presse et la protection juridique de l'individu : Attention aux chiens de garde! Pour un exercice responsable de la liberté de presse à l'aune de la Convention européenne des droits de l'homme et du droit belge.

*Supervisor* WOJCIECH SADURSKI, *Co-*

*Supervisor* SERGE GUTWIRTH

MARIA ANGELES MAZUELOS BELLIDO (E)

Non-Binding Acts in the European Community Legal Order: Soft Law?

*Supervisor* GRÁINNE DE BÚRCA

MARIA DOLORES MORONDO TARAMUNDI (E)

Equal opportunity and the dilemma of difference at the European Court of Justice: a Gendered Approach

*Supervisor* YOTA KRAVARITOU, *Co-Supervisor*

GRÁINNE DE BÚRCA

SIOBHAN ANN MULLALLY (IRL)

Reclaiming Universalism: Feminism, Difference and Human Rights

*Supervisor* PHILIP ALSTON, *Co-Supervisor*

NEIL WALKER

FEDERICO ORTINO (I)

Basic Legal Instruments for the Liberalisation of Trade: A Comparative Analysis of EC and WTO Law

*Supervisor* CLAUD-DIETER EHLERMANN

SEJAL PARMAR (UK)

Situating the EU Human Right System in an International Human Rights Context

*Supervisor* GRÁINNE DE BÚRCA

KRISTINA RIEDL (A)

Europäisierung des Privatrechts: Die 'Recht-Fertigung' wissenschaftlicher Vereinheitlichungsprojekte

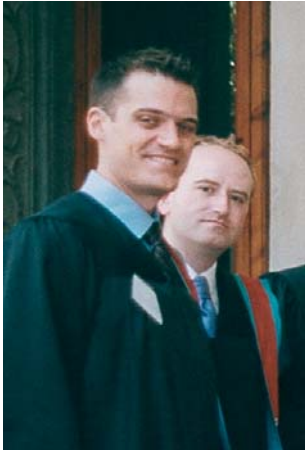
*Supervisor* CHRISTIAN JOERGES, *Co-Supervisor*

GEORG GRAF

AINE MICHELE RYALL (IRL)

Effective Judicial Protection and the Environmental Impact Assessment Directive





in Ireland

*Supervisor* JACQUES ZILLER, *Co-Supervisor*  
GRÁINNE DE BÚRCA

HARM JAN CORNELIS SCHEPEL (NL)

The Constitution of Private Governance.  
Product Standards in the Regulation of  
Integrating Markets

*Supervisor* CHRISTIAN JOERGES, *Co-Supervisor*  
FRANCIS SNYDER

Nina Spaelti (CH)

Exclusive Staatsangehörigkeit- Staatsmit-  
gliedschaftskriterien in Deutschland und der  
Schweiz gemessen an philosophischen Lö-  
sungsansätzen

*Supervisor* Massimo La Torre

SIGRID ANNEMIEKE STROUX (NL)

EC Oligopoly Control

*Supervisor* GIULIANO AMATO

TZEHAINESH TEKLE (I)

Human Rights in the Evolving Constitutional  
Structures of Sub-Saharan Africa

*Supervisor* GIULIANO AMATO

STEFAN CORNEEL GEORGES VAN DEN  
BOGAERT (B)

From Wembley to San Siro: regulation of the  
mobility of sportsmen in the EU post Bosman

*Supervisor* GRÁINNE DE BÚRCA

SANDRINE SOLANGE VAN DER VELDE (NL)

The Development of the EU Right to Family  
Reunification in the Context of International  
Human Rights Protection

*Supervisor* GRÁINNE DE BÚRCA

Galina Zukova (Latvia)

How Free is Free? Free Trade in goods  
between the EU and its candidate states: the  
case of Latvia

*Supervisor* Gráinne De Búrca

### LLM Degrees Awarded

12 Master's Degrees were awarded

EDWARD J. BALSAMO (USA)

A Comparison of Environmental Impact

Assessment in the United States and  
European Union: The Case Study of Italy

*Supervisor* GRÁINNE DE BÚRCA

KATHRIN BLANCK (A)

The Questions of Coherence, Accountability  
and International Responsibility in EU-Led  
Crisis Management Operations

*Supervisor* BRUNO DE WITTE

MICHIEL BRAND (NL)

Affirming and Refining European Constitu-  
tionalism. Towards the Establishment of the  
First Constitution for the European Union

*Supervisor* NEIL WALKER

MARLIES DESOMER (B)

Reform of the European Union's Legal  
Instruments

*Supervisor* BRUNO DE WITTE

ANDREAS FISCHER-LESCANO (D)

Odious Debts. Soziale Differenzierung in der  
Weltgesellschaft und das Ius gentium

*Supervisor* CHRISTIAN JOERGES

KARIN FLØISTAD (N)

Fundamental Rights and the EEA Agreement

*Supervisor* GRÁINNE DE BÚRCA, *External Co-*  
*Supervisor* FREDRIK SEJERSTED (Office of the  
Attorney-General, Oslo)

THORSTEN KEISER (D)

'Eigentumsrecht und Lebensverhältnisse'.  
Franz Wieacker und Enrico Finzi auf der  
Suche nach dem dritten Weg zwischen  
Liberalismus und Sozialismus.

*Supervisor* CHRISTIAN JOERGES

PAOLA ANDREA LOMBARDI (RA)

Sin lugar donde esconderse. Un análisis com-  
parativo de las bases de jurisdicción sobre  
crímenes internacionales. Hacia una jurisdic-  
ción universal ?

*Supervisor* PIERRE-MARIE DUPUY

RICHARD STEPHEN JAMES MUMFORD (UK)

The Primary Law Conditions of the Optional  
Instrument in the Area of European Contract  
Law

*Supervisor* FABRIZIO CAFAGGI

MARIA INÈS RIBERA (P)

Issues on Euthanasia and Assisted Suicide in a Comparative Perspective  
Supervisor WOJCIECH SADURSKI

CLAUDIA SCHMIDT (D)

Der Wandel des allgemeinen Diskriminierungsverbots vom Marktbürger zum Unionsbürgerrecht. Zugleich ein Beitrag zur Auslegung von Art. 12 S. 1 EG.  
Supervisor CHRISTIAN JOERGES

CATHERINE WELLS (UK)

Mental Illness: Discrimination at Work in the UK and the EU  
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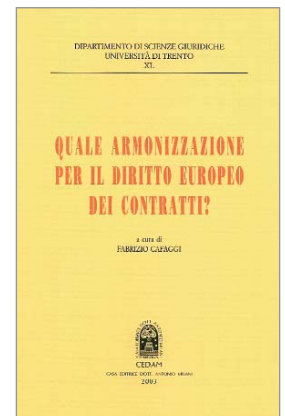
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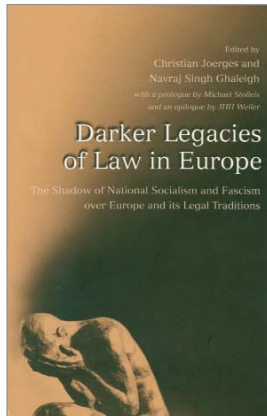
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- 'From Grossraum to Condominium: A Comment' in C. Joerges and N. Ghaleigh (eds) *Darker Legacies of Law in Europe* (Hart) 193-204 (earlier published as *Europe: A Grossraum?* EUI Working Paper Law 2002/2, together with C. Joerges 'Europe: A Grossraum? Rupture, Continuity and Re-Configuration in the Legal Conceptualization' - 'Freedom, Security and Justice' in B. de Witte (ed) *Reflections cit.* 163-186

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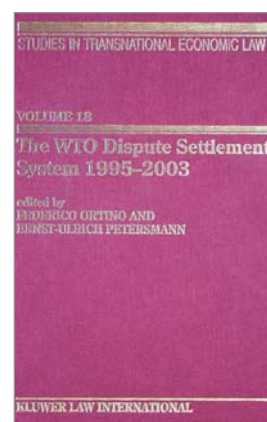
#### **Publications by Jean Monnet and Marie Curie Fellows**

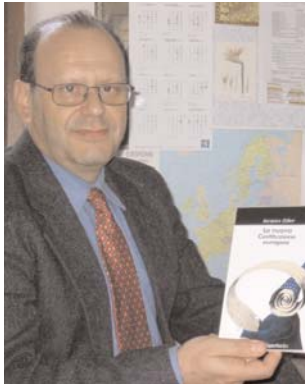
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- with Bruno Deffains, 'Cooperation in the Shadow of Regulatory Competition. The case of Asylum legislation in Europe', *International Review of Law and Economics*, 23: 4

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- 'Food for Thought: The European Food Safety Authority and the Reconciling of European Risks and National Habits' in





Jacques Ziller

Bourrinet and Snyder (eds) *La Sécurité Alimentaire dans l'Union Européenne*

- 'The Reconstitution of Europe's Public Spheres' 9 *European Law Journal* 127-189
- 'Reconciling European Risks and Traditional Ways of Life' (2003) 66 *Modern Law Review* 532-562
- with Martin Lodge 'The Open Method of Coordination and the European Welfare State' CARR Working Paper 23

Dupré, Catherine, *Importing the Law in Post-Communist Transitions: The Hungarian Constitutional Court and the Right to Human Dignity*, Oxford, Hart

- 'La dignité dans l'Europe constitutionnelle: entre inflation et contradictions', in: J. Ziller (ed), *L'eupéanisation* cit.

Lotarski, Jaroslaw with Jacques Ziller, 'Institutions et organes judiciaires' in B. De Witte (ed) *Ten Reflections* cit. 67-84

- with Françoise Tulkens, 'La confiance et la Convention européenne des droits de l'homme', in A. Garapon (ed) *Les juges - un pouvoir irresponsable ?*, éditions Nicolas Philippe, Paris

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Skinner, Stephen, 'Death in Genoa: The G8 Summit Shooting and the Right to Life', *European Journal of Crime, Criminal Law and Criminal Justice*, 11, 233-252

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- with Chryssa Papathanassiou, *Theoretical and Empirical Evidence of the International*



*Proposals and Domestic Approaches Throughout the European Union in the Case of Corporate Governance: a First Attempt at Legal Systematisation and Categorisation*, , EUI Working Paper LAW 2003/8

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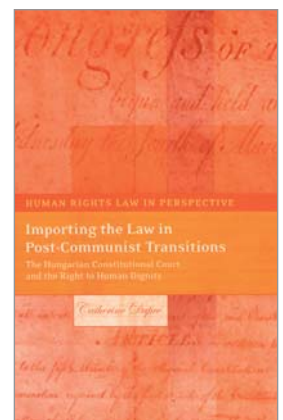
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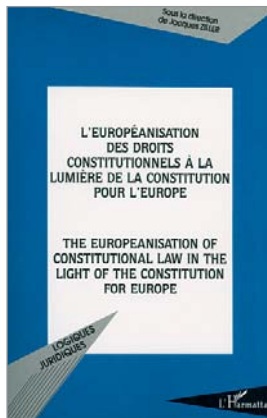
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Toggenburg, G.N., with F. Palermo (eds), *European Constitutional Values and Cultural Diversity*, Bolzano: Accademia Europea

Törö, Csaba, 'Multinational Praetorians on the Hindukush: the International Security Assistance Force in Kabul in Harvez Langholtz, in B. Kondoch, A. Wells (eds): *International Peacekeeping: The Yearbook of International Peace Operations 2002*, Vol. 8, Kluwer International

Wilkinson, Michael 'Civil Society and the Re-imagination of European Constitutionalism' *European Law Journal*, 9:4

## The Academy of European Law

### Academic Directors:

Professors GRÁINNE DE BÚRCA and BRUNO DE WITTE

### Administrative Directors:

ANNY BREMNER and BARBARA CIOMEI

The clock starts ticking for the Academy of European Law's summer courses as early as wintry January each year, when the first enrolment applications begin to arrive from all over Europe and beyond. After more than a decade of activities, the Academy has made a name for itself as one of the most attractive and intellectually exciting institutions offering summer courses in human rights law and European Union law – and not only because they take place in one of the world's most beautiful cities and at one of the most prestigious international universities. The summer courses are not intended to provide training or general orientation, but rather they are designed as advanced courses to provide sophisticated and original intellectual perspectives on important and topical legal issues of EU and human rights law. They bring a number of young academics and practitioners to the EUI, and many of these return to visit the EUI in later years, as PhD students, postdoctoral fellows or guest lecturers.

The Specialized Courses in each of the two fields focus each year on a particular theme, enabling the lecturers to collaborate with each other and to explore the theme from differing perspectives. In 2003, the Specialized Courses were on the subjects of 'Labour Rights as Human Rights' and 'EU Law and the Welfare State: In Search of Solidarity'. The General Courses, which are intended to provide a thematic overview of the whole field, were presented by Professor PHILIP ALSTON (a former director of the Academy) on 'Neglected Rights: Economic and Social Rights in a Globalized World' and by Professor GRÁINNE DE BÚRCA on 'The Constitutional Limits of EU Action'.

Equally important to the Academy's *raison d'être* is its highly active publications pro-

gramme. *The Collected Courses of the Academy of European Law*, which emerge from the summer courses and are published by Oxford University Press, continue to grow in number and international stature. This past year saw the publication of Christian Tomuschat, *Human Rights: Between Idealism and Realism* and of Marise Cremona (ed), *The Enlargement of the European Union*.

The editorial work and management of the *European Journal of International Law* is based in the Academy. This journal, published five times a year by Oxford University Press, has firmly established itself as the international leader in its field. The Academy's *European Foreign Policy Bulletin Online* is a widely used, officially recognized, and comprehensive source of documents issued in the framework of the common foreign, security and defence policy of the European Union.

The Academy of European Law also supported, as in previous years, some additional research projects, including the publication of B. de Witte (ed), *Ten Reflections on the Constitutional Treaty for Europe*, and the organization of a workshop by Professor FABRIZIO CAFAGGI (Law department) on Self-Regulation in the European Union.

Finally, the Academy started preparations, in the Autumn of 2003, for a major event that it will host in May 2004, namely the founding conference of the European Society of International Law.



Gráinne de Búrca



Bruno de Witte



## Department of Political and Social Sciences

### Chairman's Report

In April the Department moved into new offices in the Badia. For the first time all professors (except those attached to the Schuman Centre) have offices close together and close to those of their secretaries. The move brought an increase in the total space available to the department. This will make future expansion of the post-doctoral programme possible and has enabled us to provide some much needed workspaces for researchers and research assistants within the department. It has also given us a common-room area and a small meeting room for researchers' use.

Retirement and recruitment brought several changes of professors. DONATELLA DELLA PORTA and FRITZ KRATOCHWIL, appointed in 2002, took up their chairs. Prof. DELLA PORTA, professor and head of the department of political science and sociology of the University of Florence, became professor of sociology. Prof. KRATOCHWIL, professor of international relations at the Ludwig-Maximilians-Universität, Munich was appointed to a chair in international politics. Two other appointments were to chairs held jointly with the RSCAS. Prof. ADRIENNE HÉRITIER, co-director of the Max-Planck-Projektgruppe for the law of common goods, Bonn, became professor of European public policy. Prof. RIKARD STANKIEWICZ, professor of science and technology policy at Lund University became professor of the governance of science and technology. ALEXANDER TRECHSEL (University of Geneva) served for four months as the first holder of the part-time chair in federalism established by the Swiss government. JAN ZIELONKA completed his full eight years with the department at the end of 2003, and left for a post at St Anthony's College, Oxford.

Further new appointments were made of professors who did not begin their period of service until after 2003: Prof. LASZLO BRUSZT, of the Central European University, Budapest, was appointed first holder of the chair in social and political transformations, with particular reference to central and Eastern

Europe. He will take up the post in April 2004. Dr. PASCAL VENNESSON, Sciences Po, Paris, was appointed first holder of the chair in Security in Europe. He will start in September 2004. Prof. MARTIN KOHLI, professor of sociology at the Free University, Berlin, was appointed to a chair in sociology from October 2004, succeeding Prof. PHILIPPE SCHMITTER. Prof. PETER MAIR, professor of politics at the University of Leiden, was appointed a chair in comparative politics, succeeding Prof. STEFANO BARTOLINI. He will start in September 2005. Prof. JÜRGEN STEINER (University of Bern and of North Carolina at Chapel Hill) was appointed second holder of the part-time Swiss chair in federalism, from January 2004. At the end of the year a new chair was defined and advertised in social and political theory, with particular reference to political economy, or economic or political sociology. The stress on theory was chosen to rebalance the department following the pattern of other recent appointments.

During the year, administrative support in the department was strengthened too. Mr. GINO FABBRINI was appointed administrative site officer, with responsibility for financial matters. Mrs. GABRIELE UNGER-GENTILE was appointed as a temporary secretary, primarily in support of the Swiss Chair.

### Teaching, Researchers and Theses

Following changes in the rules for allocating funds to departments, which now take full account of researcher numbers, the department was able to respond positively to a further increase in the number of applicants for researcher places. In all, 37 new researchers entered the department. A notable feature of the 2003 entry was that the largest single national group was from Poland.

After full introduction of the structured first year during Academic Year 2002-03, the department began an evaluation of its implementation. In doing so, it also made use of evidence from the Institute's survey of researchers' responses to the various seminar



programmes. This evaluation had not been completed by the end of 2003, but concentrated in particular on certain points: the teaching of research design and methods; the opportunities given to researchers to present their work at seminars, colloquia and workshops; the teaching of theory; and the assessment of researchers' work for seminars.

During the year 21 SPS researchers successfully completed their dissertations and were awarded doctorates.

### Events and Visitors

The Swiss Chair in Federalism, funded by the generosity of the Swiss Federal Office for Education, was launched on June 2 and 3 with an inaugural conference *Towards a Federal Europe?* organised by Prof. ALEXANDER TRECHSEL. We were able to welcome the participation of various Swiss authorities (CHARLES KLEIBER, Prof. ARNOLD KOLLER, Ambassador ALEXIS LAUTENBERG). Other participants were Prof. ANDREAS AUER, BERTUS DE VILLIERS, Prof. BENIAMINO CARAVITA DI TORITTO, JEAN-CLAUDE EECKHOUT, Prof. JOACHIM JENS HESSE, SIMON HUG, Prof. JAN-ERIK LANE, Prof. DAVID MCKAY, Prof. KALYPSO NICOLAIDIS, Prof. YANNIS PAPADOPOULOS, JEAN-CLAUDE PIRIS, Dr. LORI THORLAKSON, Prof. KLAUS VON BEYME, Prof. UTE WACHENDORFER-SCHMIDT.

Prof. WAGNER organised a conference on *The Languages of Civil Society*, funded by the EC under the 5th framework programme as part of the network for research on civil society, 'CiSoNet', 6-8 November.

*The Department's Jean Monnet Fellows in 2002-03 were:*

MAGALI GRAVIER (Univ. de Paris 1); AUSTIN HARRINGTON (Univ. Leeds); LINA MUNGIU-PIPPIDI (UN development Programme, Romanian Office); YASEMIN NIEPHAUS (Univ. Leipzig); PETER ROBERT (Eötvös Lóránd Univ., Budapest)

*Jean Monnet Fellows 2003-04:*

LUIGI BURRONI; PETR DRULAK; ROBERT HANCKE; ANGELOS MOUZAKITIS; PETER

HALLBERG (STINT Fellowship)

*Visiting Fellows:*

MARTIN BULL (Salford Univ.); GAIA DI LUZIO (Univ. Göttingen); HELENA FLAM (Univ. Leipzig) LAURENCE MOREL (Univ. Lille); RAMON RAMOS TORRE (Univ. Complutense de Madrid); IVETE SIMIONATTO (Univ. S. Catarina, Brasil); JUAN TRIAS VEJARANO (Univ. Complutense de Madrid); RICHARD WANNER (Univ. Calgary)

### Prizes, Distinguished Lectures etc.

On 3 October Prof. KRATOCHWIL delivered the inaugural lecture 'Reflections on Theory and Practice' on the occasion of the Degree Conferring Ceremony.

NATHALIE KARAGIANNIS (PhD 2002) and ALANA LENTIN (PhD 2002) were awarded Marie Curie Fellowships at the Universities of Sussex and Oxford respectively.

The thesis defended by OLGA GIL GARCIA (supervised by C. CROUCH and chaired by PH. SCHMITTER) was awarded the annual prize of the Spanish Association of Political Science 2003 (AECPA). The thesis was published by ICS, Madrid.

### Publications and Research

The department's policy of giving increased prominence to its series of refereed working papers, initiated in 2002, continued. Whereas only seven papers had been published in 2001, this rose to 13 in 2002 and to 19 in 2003. Eleven of these had SPS researchers as authors or co-authors. For a complete list consult: [www.iue.it/PUB/WorkingPapers.shtml](http://www.iue.it/PUB/WorkingPapers.shtml)



*Ambassador Alexis Lautenberg and Yves Mény*



*Pascal Vennesson and Fritz Kratochwil*

### Staff Members' Research Activities

STEFANO BARTOLINI drafted a lengthy manuscript devoted to the interpretation of the European integration process: *'Re-Structuring Europe. Centre formation, system building and political structuring between the nation state and the European Union'*. Publication is expected in 2004.



Colin Crouch,  
Head of Department

COLIN CROUCH finished work on a project called Hidden Institutional Legacies and Economic Innovation. This contests the growing determinism of recent neo-institutionalist accounts of economic and political institutions by considering how social actors combine elements of the institutions which they find around them or in their past in order to innovate. To enable neo-institutionalism to deal with this requires a considerable theoretical reorientation. He also began work on a new project The Sociology of Europe. This is extending to the countries of central and part of Eastern Europe the comparative analysis of social structures that he carried out for western European countries in *Social Change in Western Europe* (1999).



Donatella Della Porta

DONATELLA DELLA PORTA continued research in five main directions. First, on the basis of activist surveys and focus groups, the research project on social movements and global conflicts continued with empirical attention to the organizational network structures of the movements and their internal practices of democracy, the paths of political participation and institutional trust of activists, as well as communicative strategies from below (in particular, via Internet). A second stream of research addressed 'poor people movements', in particular the contested politics of unemployment and immigration, combining case studies of protest campaigns with discourse analysis of mass media and organizational surveys. On the basis of similar methodologies (but with more emphasis on a cross-media comparison), the research on the Europeanization of the public sphere constituted a third main domain of empirical investigation. Fourth, a research project on the transformation of environmental activism was

completed with the writing of a book-length manuscript. Last but not least, research continued on political corruption with specific attention to neo-institutional approaches to the field. She also acted as convenor of the International Conference on Transnational Social Movements (Bellagio, July) and of the special part of the Second General Conference of the European Consortium for Political Research (Marburg, September).

JAAP DRONKERS completed the coding of the German nobility of the 20th century, while the different analyses of the Dutch 20th-century nobility and high bourgeoisie (obtaining elite positions; inter-marriage) were presented for the first time at various conferences and seminars. The *EUI Community on Line* was set up and promised to be an important source of data on EUI-alumni and their life-courses. The second conference of the European Network for Empirical and Comparative Research on the Sociological Aspects of Divorce in Tilburg, co-organized by DRONKERS, was held in November. At the conference three new papers were presented (effects of divorce on children in Italy; the effects of family policy and demography on intergenerational effects of divorce within the OECD; effects of divorce on children in Hungary), the first two written together with EUI researchers. The major analysis and paper within the theme 'sociology of education' were done in co-operation with the Fellow, PETER ROBERT (Hungary). They focused on analyses of differences between public and private schools in 19 OECD countries with the PISA data. DRONKERS also participated in the public debate in the Netherlands on religious and public schools, quality of Dutch education and causes of divorce, using his current EUI research.

ADRIENNE HÉRITIER worked on the following projects: 1 *Institutional change*: change and development of political institutions in Europe investigate intentional negotiated change (Intergovernmental Conferences), but also the emergence of informal institutional rules arising from the everyday application of existing constitutional rules. The



research is conducted jointly with HENRY FARRELL, University of Toronto and CARL FREDRIK BERGSTRÖM, University of Stockholm, and is funded by the Swedish Institute for European Policy Research in Stockholm. 2 *New Modes of Governance*: new modes of governance in Europe, (defined as policy formulation with the inclusion of private actors and an only marginal role of legislation). It seeks to conceptualise and theorize their emergence, their operation and their impact in terms of policy outcomes, but also in terms of the impact on existing more traditional forms of government and administrative structures, including patterns of democratic legitimisation. This research is conducted in the context of the New Gov Integrated Project of Framework 6 funded by the Commission. 3 *Regulation*: the processes of liberalization of the utilities at the European and Member State level. This research is conducted jointly with DAVID COEN, University College London. It was funded by the Anglo-German Foundation and the Max Planck Society. It is also linked to the Project on Deregulation of transport systems in the Mediterranean Countries (Euromed-project) financed by the Commission.

MICHAEL KEATING worked on two principal projects, Devolution in the United Kingdom (supported by the Economic and Social Research Council and by the Leverhulme Trust); and European Integration and the Nationalities Question (supported by the Carnegie Corporation). He was a consultant to the Committee of the Regions in the Convention on the Future of Europe.

FRIEDRICH KRATOCHWIL joined the EUI full-time in March, taking the chair of international relations. He continued to serve during that year as editor of the *European Journal of International Relations* and as board member of *International Studies Quarterly*, of the *Journal of International Relations and Development*, as well as of *International Relations of the Asia Pacific*. He is currently conducting research for a book on Praxis: On Acting and Knowing in (Inter)national Politics and (together with Prof. ROCHE of the LMU

Munich) on a project on internet-based learning. In November he held the chair of an international commission, evaluating for the government of Flanders the United Nations University Institute in Bruges.

MARTIN RHODES coordinated the successful 40-institutional partner Integrated Project bid to the European Commission's Sixth Framework Programme on New Modes of Governance in Europe (3.8 million euro raised). He organized two panels on The Political Economy of European Pension Reforms at the Conference of the Society for Advanced Socio-Economics, Aix-en-Provence, June and launched an EUI Political Economy Working Group, in October.

PHILIPPE SCHMITTER accomplished the following research objectives: 1) He wrote the final three chapters of the book that will end the Democracy Promotion and Protection Project funded by the Volkswagen Stiftung. 2) He wrote and co-edited with Alexander Trechsel the report on the impact of electronic technology (ICT) upon political parties and legislatures in the 15 Member and 10 Candidate States of the EU for the European Parliament. 3) He made some progress on his long-overdue book on *Essaying Democracy*, specifically the chapters on alternative future outcomes of the consolidation of democracy. 4) He began with a group of nine 'eminent persons' to write a Green Paper for the Council of Europe on The Future of Democracy in Europe. 5) He began in collaboration with GUILLERMO O'DONNELL and others a research project, so far funded by Stanford University's Institute for International Studies and the UNDP, on Measuring and Assessing the Quality of Democracy.

RIKARD STANKIEWICZ's research-related activities have concentrated on four areas: (i) development of a theoretical model of research regimes and its application to 'new' biotechnology; (ii) the effects of digitalization on the innovation system of biotechnology (the New Economy projects, and (iii) development of a project on 'Science Districts' (in the context of the PRIME Network of



Jaap Dronkers



Philippe Schmitter



Excellence; and (iv) preparations for the European Forum on the Role of Universities in Innovation Systems.

Major parts of PETER WAGNER'S research are within the framework of the interdepartmental project on The Modernity of Europe – a comparative-historical and politico-philosophical re-assessment, co-directed with Bo Stråth (Department of History and Civilization). WAGNER'S main objective is to develop a better understanding of the political philosophies that underpin European integration and to do so on the basis of a historical sociology of European societies over the past two centuries. Furthermore, he focused his work in social and political theory on questions of the creation of a common world in an era of liberal individualism and on the concept of 'noble competition' as a means to rethink the relation between the social bond and the political bond. PETER WAGNER became a member of the international advisory committee of the journal *Social science information/ Information sur les sciences sociales*; and, jointly with HANS JOAS (Erfurt), CHRISTOPH MENKE (Potsdam) and MICHAEL WERNER (Paris), was awarded research funding of Euro 460 000 from the Volkswagen Foundation for a three-year project on Modernity and Contingency within the Foundation's programme Key Themes in the Humanities.

### Theses Defended in 2003

SVETLOZAR ANDREEV (BG)  
Consolidating Democracies: the Theory and Practice of democratisation in Post- communist Eastern Europe (1989-2000)  
*Supervisor* JAN ZIELONKA

ARNE BAUMANN (D)  
Path-Dependency or Convergence? The Emergence of Labour Market Institutions in the Media Production Industries of the UK and Germany  
*Supervisor* COLIN CROUCH

FEDERICA CATERINA BICCHI (I)  
European Foreign Policy Making towards the Mediterranean non Member Countries  
*Supervisor* THOMAS RISSE

ALEXANDER BÖRSCH (D)  
Restructuring Corporate Germany? Global Competition, Shareholder Value and Business Policy in the 1990's  
*Supervisor* MARTIN RHODES

VERENA MARIA FRITZ (D)  
The State of the State: a Fiscal Perspective on State Formation and Transformation in Ukraine and other Countries of the Former Soviet Union  
*Supervisor* JAN ZIELONKA



SARAH JEAN GRATTAN (IRL)  
Welfare State, Labour Force and Families. A Comparative and Historical Study of Ireland, the Netherlands and Sweden  
*Supervisor* COLIN CROUCH

NADIA HASHMI (UK)  
From Ethnicity to Religion: the Shifting Identities of young Muslims in Britain and France  
*Supervisor* CHRISTIAN JOPPKE

HANS-JOACHIM KNOPF (D)  
Britain and European Integration between 1950 and 1993: Towards a European Identity?  
*Supervisor* THOMAS RISSE

Dawn Lyon (UK)  
The Making of Careers. Women and Men in Business and Politics in Britain, Belgium and France  
*Supervisor* COLIN CROUCH

ANDRES MALAMUD (RA)  
Presidential Democracies and Regional Integration. An Institutional Approach to Mercosur (1985-2000)  
*Supervisor* STEFANO BARTOLINI *Co-supervisor* PHILIPPE SCHMITTER

KERSTIN MARTENS (D)  
NGOs in the UN System. A Study of Institutionalised Relations between Societal Actors and the United Nations  
*Supervisor* THOMAS RISSE

IOANA NICOLETA PENESCU (R)  
The Impact of Party Programs on Voting Behaviour in Bulgaria, Slovakia and Romania. Or Does Nationalism Matter?  
*Supervisor* RICHARD BREEN *Co-supervisor* STEFANO BARTOLINI

JOHANNA POLVI-LOHIKOSKI (FIN)  
Changing Security Policy in Finland and Sweden  
*Supervisor* JAN ZIELONKA

LUIS JAVIER RAMOS DIAZ (E)  
Low-Wage Employment and Household Poverty: An Analysis of the Role played by Households in Alleviating the Economic

Prospects of Low-Wage Workers  
*Supervisor* COLIN CROUCH

KLAUS ROSCHER (D)  
The Europeanization of French Distinctiveness. European Integration and the Reconstruction of Nation-State Concepts in Political Discourse  
*Supervisor* THOMAS RISSE

SENADA SELO SABIC (BiH)  
State Building under Foreign Supervision: Bosnia-Herzegovina, 1996-2003  
*Supervisor* PHILIPPE SCHMITTER

ALEXANDRE SEROT (F)  
From Monopoly to Competition: Institutional Reforms and the Comparative Analysis of Pricing Policies in British and French Telecoms 1980-2000  
*Supervisor* MARTIN RHODES

Francesco Strazzari (I)  
Transforming the Intractable: Security-building along South-East European Peripheries  
*Supervisor* THOMAS RISSE

HANS TAEKE VAN DER VEEN (NL)  
The International Drug Complex Taxation, Protection, Warfare, and the Nature of Rule  
*Supervisor* GIANFRANCO POGGI

ALISON WESTON (UK)  
Britain and European Political Cooperation 1973-1992: A National Role in Collective Diplomacy  
*Supervisor* ROGER MORGAN *Co-supervisor* THOMAS RISSE

JONATHAN MICHAEL WHEATLEY (UK)  
The Problems of Post-Soviet Regime Change: Dynamic and Static Elements of the Georgian Regime 1989-2001  
*Supervisor* PHILIPPE SCHMITTER





### Publications by Professors

Bartolini, Stefano, 'As consequências políticas do sistema eleitoral italiano misto (1994-2001)', *Análise Social*, XXXVIII (167), 387-415.

Crouch, Colin *Postdemocrazia*, Rome, Laterza  
– *Commercialisation or Citizenship: Education Policy and the Future of Public Services*, London: Fabian Society

– 'Institutions within Which Real Actors Innovate', in R. Mayntz, and W. Streeck, (eds), *Die Reformierbarkeit der Demokratie: Innovationen und Blockaden*, Frankfurt a.M.: Campus

– 'The State: Economic Management and Incomes Policy', in Edwards, (eds), *Industrial Relations: Theory and Practice*, Oxford: Blackwell, second edition

– 'Il governo limitato. Una prospettiva realistica?', *Stato e Mercato*, 1

– 'Comparing Economic Interest Organizations', in J. Hayward, and A. Menon, A. (eds), *Governing Europe*, Oxford: OUP

Donatella Della Porta (with Herbert Reiter), *Polizia e protesta. L'ordine pubblico dalla liberazione ai 'no global'*, Bologna, Il Mulino

– with M. Andretta, L. Mosca, H. Reiter, *Global, new global. Soziale Bewegungen und Globalisierung*, Frankfurt a.M.: Campus

– *New Global*, Bologna, Bologna: Il Mulino

– with Lorenzo Mosca (eds), *Movimenti sociali e globalizzazione*, Roma: manifestolibri

– *Introdução à ciência política*, Lisboa.: Editorial Estampa

– *Temelji politične znanosti*, Lubiana: Sophia

– 'The Women's Movement, the Left and the State: Continuities and Changes in the Italian Case', in L. A. Banaszak, K. Beckwith and D. Rucht (eds), *Women's Movements Facing the Reconfigured State*, Cambridge University Press, 48-68

– with Herbert Reiter, 'You are the G8, we're Six billion': The Genoa Demonstrations', in P. Bellucci and M. Bull (eds), *Italian Politics: The Return of Berlusconi*, New York: Berghahn Books, 105-124

– 'Social Movements and Democracy at the Turn of the Millennium', in P. Ibarra (ed),

*Social Movements and Democracy*, New York.: Palgrave Macmillan, 105-136

– 'Globalisation et mouvements sociaux. Hypothèses à partir d'une recherche sur la manifestation contre le G8 à Gênes', *Pole Sud*, n. 19, 175-195

– with Alberto Vannucci, 'Cleptocracy' (in Japanese), *Osaka Law Review*, Vol. 53 (2), 201-231

– with M. Andretta and L. Mosca, 'Movimenti sociali e sfide globali: politica, antipolitica e nuova politica dopo l'11 settembre' in *Rassegna Italiana di Sociologia*, 43-76

Jaap Dronkers, 'Has the Dutch Nobility Retained its Social Relevance during the 20th Century?' *European Sociological Review* 19(1), 81-96

– with H. Engelhardt, and H. Trappe, 'Differences in Family Policy and the Intergenerational Transmission of Divorce: a Comparison between the Former East and West Germany', in: *Vienna Yearbook of Population Research*. 2003 Vienna Institute of Demography, Vienna, 121-142

– with S.I. Pong and G. Hampden-Thompson, 'Family Policies and Children's School Achievement in Single- Versus Two-Parent Families.' *Journal of Marriage and Family* 65:681-699

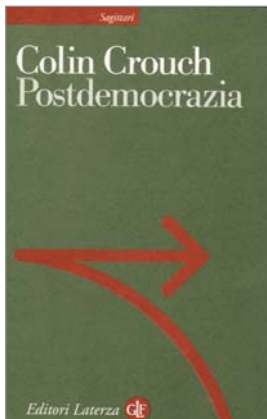
Adrienne Héritier with Henry Farrell, 'Formal and Informal Institutions under Codecision: Continuous Constitution Building in Europe', *Governance*, 16:4, 577-600

– 'New Modes of Governance in Europe: Increasing Political Capacity and Policy Effectiveness', in T. Boerzel and R. Chichowski (eds), *The State of the European Union*, Vol. 6, 105-126, OUP

– 'Composite Democracy in Europe: the Role of Transparency and Access to Information', in Special Issue of *Journal of European Public Policy*, 10:5, 814-833

'Containing Negative Integration', in R. Mayntz, W. Streeck (eds), *Die Reformierbarkeit der Demokratie, Innovationen und Blockaden*, Festschrift für F.W. Scharpf, Frankfurt a.M.: Campus, 101-121

– with Ch. Engel (eds), *Linking Politics and*



*Law, Nomos*: Baden-Baden

– with L. Moral Soriano, ‘Politics and Adjudication: Problem Definition and Conflict Solution in European Electricity Policy’, in Engel and Héritier (eds), *op. cit.*, 151-168

Michael Keating, John Loughlin and Kris Deschouwer, *Culture, Institutions and Economic Development. A Study of Eight European Regions*, Edward Elgar, 2006

– with James Hughes (eds), *The Regional Challenge in Central and Eastern Europe. Territorial Restructuring and European Integration*, P.I.E. Peter Lang, 2005

– with Daniel Hough and Charlie Jeffery (eds), *Multilevel Electoral Competition*, special issue of *European Urban and Regional Studies*, 10.3

– with Francesc Pallarés, ‘Multilevel Electoral Competition: Regional Elections and Party Systems in Spain’, in *European Urban and Regional Studies*, 10.3, 239-55

– with Linda Stevenson, Paul Cairney and Katherine Taylor, ‘Does Devolution Make a Difference? Legislative Output and Policy Divergence in Scotland’, in *Journal of Legislative Studies*, 9.3

– ‘Regions and the Convention on the Future of Europe’, *South European Society and Politics* 8, 4

– ‘Social Inclusion, Devolution and Policy Divergence’, *The Political Quarterly*, 74.4, 429-30

– ‘The Territorial State’, in R. Axtmann (ed), *Democratic Politics. An Introduction*, London: Sage, 105-13

– ‘Nations sans État, ou États régionaux? Le débat sur le territorialité et le pouvoir à l’heure de la mondialisation’, in A.-G. Gagnon (ed), *Québec: État et Société*, Montreal: Québec-Amérique, 439-54

– ‘The Territorial State. Functional Restructuring and Political Change’, in T. J. Courchene and D. Savoie (eds), *The Art of the State: Governance in a World Without Frontiers*, Montreal: Institute for Research in Public Policy, 329-52

– ‘Sovereignty and Plurinational Democracy. Problems in Political Science’, in N. Walker (ed), *Sovereignty in Transition*, Oxford: Hart, 191-208

– ‘Plurinational Democracy and the European Order’, *Estudios sobre la propuesta política para la convivencia del lehendakari Ibarretxe*, Oñati: Instituto Vasco de Administración Pública, 17-40

– ‘Culture, institutions et développement économique dans huit régions d’Europe’, in R. le Coadec (ed), *Identités et démocratie. Diversité culturelle: repenser la démocratie*, Presses Universitaires de Rennes, 165-91

– ‘Socialism, Territory and the National Question’ in G. Hassan (ed), *The Scottish Labour Party*, Edinburgh University Press, 233-45

– ‘Regno Unito’, in A. D’Atena (ed), *L’Europe delle autonomie*, Milano: Giuffrè, 193-214

Friedrich Kratochwil, ‘The Monologue of “Science”’, in *International Studies Quarterly*

– Collection of essays from a University Lecture Series on ‘Terrorism’ at the LMU Munich in 2002 (Der 11. September- Das Ende des Hobbesischen Projekts?)

Martin Rhodes, with M. Ferrera, ‘Zum Aufbau eines tragfähigen Wohlfahrtsstaats’, in C. Toft (ed): *Politische Ansätze und Trends der gegenwärtigen Reform des Wohlfahrtsstaates*, special issue of *Zeitschrift für Sozialreform*, Heft 1, 64-85

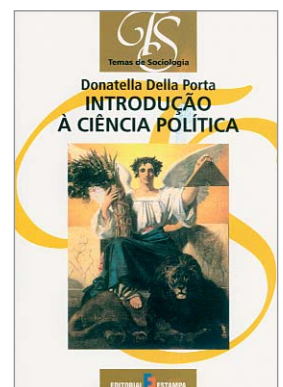
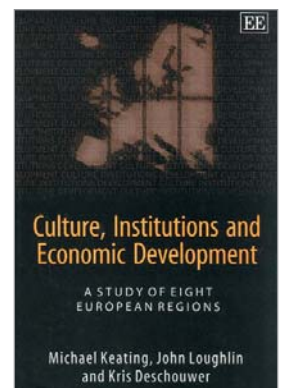
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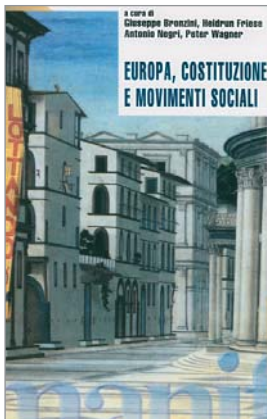
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– with P. Pochet, ‘A Twofold Assessment of Employment Policy Coordination in Light of Economic Policy Coordination’, in A. Foden and L. Magnusson (eds), *Five Years’ Experience of the Luxembourg Employment Strategy*, European Trade Union Institute, Brussels, 13-67

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Enric Martínez-Herrera, ‘Las partes y el todo. Motivaciones individuales y estrategias colectivas en ETA’ *Revista Española de Investigaciones Sociológicas*, 101: 292-298.

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Carsten Schneider, ‘Prospects for the Consolidation of Latin American Democracies. Rethinking the Role of Corruption and Institutional Trust’ in: *Sociologia: Problemas e Práticas*, 42, 65-90

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Fernandes Tiago with Rita Carvalho, ‘A elite política do Marcelismo: ministros, secretários de Estado e deputados, 1968-1974’, in A. Costa Pinto, A. Freire, (eds), *Elites, Sociedade e Mudança Política*, Oeiras, Celta Editora

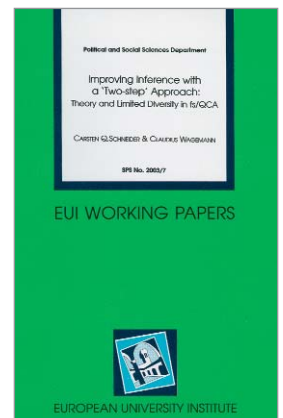
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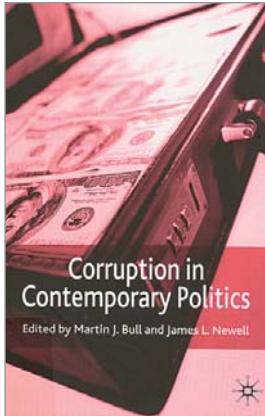
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– with Joachim Junkes and Sabine Lindenthal, 'Labour Co-determination and Corporate Governance in Germany: The Economic Impact of Marginal and Symbolic Rights'. In: J. Schwalbach, (ed): *Corporate Governance: Essays in Honour of Horst Albach*. Berlin etc, a. slightly revised 2nd edition, 144-160

– with Oliver Ludewig and Florian Turk, 'Europeanization of Collective Bargaining', in: J. Addison, C. Schnabel (eds): *International Handbook of Trade Unions*. Cheltenham: Edward Elgar: 461-501

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Minimization Approach to the International Transfer of HRM/IR Practices. Anglo-Saxon Multinationals in the Federal Republic of Germany' in *The International Journal of Human Resource Management*, 14, 3: 409-430

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#### Publications by Jean Monnet Fellows

Magali Gravier, 'Entrer dans les administrations de l'Allemagne unifiée. Une approche anthropologique du rituel administratif d'intégration. 1990-1999', *Revue Française de Science Politique*, n°3, 323-350

Austin Harrington, 'Concepts of Europe in Classical Social Theory: Themes in the Work of Ernst Troeltsch and his Contemporaries and their Status for Recent Conceptions of Modernity in Europe', EUI Working Papers, SPS 2003/15

– 'Undivided, Not-United: Robert Musil's Community' in: *Angelaki: Journal of the Theoretical Humanities*, 8 (1), 109-118

Yasemin Niephaus, *Der Geburteneinbruch in Ostdeutschland nach 1990. Staatliche Regulierung generativen Handelns*. Opladen: Leske+Budrich

# Robert Schuman Centre for Advanced Studies

The Centre's research focus is on Europe, European integration, and the interface between Europe and the rest of the World. Its approach is comparative and cross-disciplinary, with research that is both scholarly and applied to issues of contemporary practice.

## Staff

The Centre is directed by HELEN WALLACE. Academic staff include: joint chairs, who are also professors in the teaching departments of the Institute; part-time professors, research fellows, and assistants, working on the projects and programmes of the Centre; post-doctoral fellows; and visiting scholars. The administrative team of 15 staff is led by Dr MEI LAN GOEI, the Centre's Executive Coordinator.

### *The joint chairs in 2003 were:*

MICHAEL ARTIS (ECO), who completed his term in December, and remains involved in the European Forecasting Network  
 GIANCARLO CORSETTI (ECO), who joined the Centre in September and also holds the Pierre Werner Chair on European Monetary Union  
 ADRIENNE HÉRITIER (SPS), who took up her post in March;  
 ERNST-ULRICH PETERSMANN (LAW)  
 RICK VAN DER PLOEG (ECO), who took up his post in January  
 RIKARD STANKIEWICZ (SPS), who took up his post in January  
 BO STRÄTH (HEC)  
 BRUNO DE WITTE (LAW)  
 PASCAL VENNESSON (SPS), who was appointed to work on European security, and takes up his post in 2004  
 JAN ZIELONKA (SPS), who completed his term in December, when he became a Fellow of St. Antony's College, Oxford

Details on their research and publications can be found under the relevant departmental entries.

The part-time professors and research fellows of the Centre included:

### *Part-time professors*

ANINDYA BANERJEE (ECO), Co-Director, European Forecasting Network/PAGE  
 JEAN BLONDEL (Emeritus)  
 ANTONIO CASSESE (Univ. of Florence), ETHICS Project  
 PETER CAMERON (Univ. of Dundee), Director of the EU Energy Law and Regulation Workshop  
 PAUL DE GRAUWE (Univ. of Leuven), Professorial Fellow, Pierre Werner Chair  
 CLAUDIUS-DIETER EHLERMANN, Director, annual EU Competition Law and Policy Workshop and Co-director of the 2002-3 European Forum  
 PHILIPPE FARGUES (INED), Paris, Director of the Migration Project  
 GIACOMO LUCIANI (Co-director of the Mediterranean Programme)  
 GIANDOMENICO MAJONE (Emeritus), Co-Director of the 2002-3 European Forum  
 CLAUDIO RADAELLI (Univ. of Bradford), Co-director of the 2002-3 European Forum  
 SILVANA SCIARRA (LAW), Director of the Gender Studies Programme until June  
 LOUKAS TSOUKALIS (Univ. of Athens), Professorial Fellow, Pierre Werner Chair

Many EUI faculty staff are also associated with RSCAS research projects, and particularly with the European Forum (see p. 60).

### *Research staff*

DAVID ANDREWS, Senior Research Fellow, Coordinator, Transatlantic Programme  
 ISABELA ATANASIU, Research Fellow, annual EU Competition Law and Policy Workshop  
 MIRIAM AZIZ, Research Fellow, Scientific Coordinator of the 2003-4 European Forum  
 IMCO BROUWER, until April, Coordinator of the Mediterranean Programme, thereafter organiser of the Mediterranean Social and Political Research Meeting  
 ENRICA CAPUSSOTTI, Research Fellow, GRINE Project  
 JOSEPH JUPILLE, Research Fellow, from September  
 IOANNA LALIOU, Research Fellow, GRINE Project  
 DAWN LYON, Coordinator, Gender Studies Programme and GRINE Project





TOMMASO PROIETTI, European Forecasting Network/PAGE  
 ANNA TRIANDAFYLIDOU, Research Fellow, IAPASIS, EURONAT and 'Changing City Spaces' projects  
 SALVATORE ZAPPALÀ, Research Fellow, ETHICS Project



*Rachael Craufurd Smith*  
*Jean Monnet Fellow*

The Centre hosted 56 post-doctoral fellows-in-residence and 21 visiting scholars

*Fellows-in-residence*

*Jean Monnet Fellows, general programme*

PEPPER D. CULPEPPER, Harvard Univ.  
 FRANCK DÜVELL, Univ. of Exeter  
 FÉRIEL KANDIL, École normale supérieure de Cachan, CNRS  
 BEATA KLIMKIEWICZ, Jagiellonian Univ.  
 ULRICH KROTZ, Harvard Univ.  
 PETER LINDSETH, Univ. of Connecticut  
 SOPHIE MÜTZEL, Columbia Univ.  
 JOHANNES POLLAK, Austrian Academy of Sciences  
 LUCIA QUAGLIA, Univ. of Sussex  
 ANGELOS SEPOS, Univ. of Cambridge  
 MAARTEN VINK, Univ. of Leiden  
 KATARZYNA WOLCZUK, Univ. of Birmingham  
 ALEXANDER ZIEGERT, Univ. of Sydney

*Jean Monnet Fellows, 2002-3 and 2003-4 European Forums*

GAVIN ANDERSON, Univ. of Glasgow  
 RACHAEL CRAUFURD SMITH, Univ. of Edinburgh  
 ALISON HARCOURT, Univ. of Manchester  
 KATHARINA HOLZINGER, Max Planck Project, Bonn  
 EVA NIETO GARRIDO, Univ. of Castilla  
 DANIELA PIANA, Centre for the Analysis of Public Policies  
 GIOVANNI PICA, Univ. Pompeu Fabra  
 THOMAS PLÜMPER, Univ. of Konstanz  
 SARA POLI, Univ. of Trieste  
 ENRICA RIGO, Univ. of Naples Federico II  
 GWENDOLYN SASSE, LSE  
 DANIEL SMILOV, Central European Univ.  
 STIJN SMISMANS, IEP, Paris  
 ALEKSANDER SURDEJ, Cracow Univ. of Economics  
 HANS-UELI VOGT, Sullivan & Cromwell  
 HANNES WINNER, Univ. of Innsbruck

*Jean Monnet Fellows, Mediterranean Programme*

ANA BECERRO, Univ. de Deusto  
 JEAN-PIERRE CASSARINO, International Organisation for Migration  
 NATHALIE TOCCI, LSE  
 KIVANÇ ULUSOY, Bogaziçi Univ.

*Jean Monnet Fellows, Transatlantic Programme*

JEFFREY CHWIEROTH, Univ. of California  
 SCOTT COOPER, Brigham Young Univ.  
 CHAD DAMRO, Univ. of Pittsburgh  
 RICHARD GOLD, McGill Univ.  
 COSTANZA MUSU, LSE  
 IAN ROWLANDS, Univ. of Waterloo

*Jean Monnet Fellows, Latin American and Caribbean Forum*

MARÍA ESTHER BLAS LÓPEZ, Alcala Univ. of Madrid  
 MARA KOLESAS, New School, New York  
 ANDREA OELSNER, LSE  
 ARNULFO VALDIVIA MACHUCA, Tulane Univ.

*Vincent Wright Fellows*

RICHARD CARNEY, Univ. of California  
 COVADONGA MESEGUER, Kellogg Institute for International Studies

*Marie Curie Fellows*

MIRIAM AZIZ (until June)  
 GÉRALDINE CHATELARD, CERMOC, Amman (*Mediterranean Programme*)  
 MARGARITA DE LEÓN, LSE  
 MERCEDES MATEO DIAZ, Catholic Univ. of Louvain  
 TOURYA GUAAYBESS, Univ. de Lyon (*Mediterranean Programme*)  
 SUSAN MILLNS, Univ. of Kent at Canterbury  
 TOBIAS SCHUMACHER, Univ. Mainz (*Mediterranean Programme*)

*Other Fellows*

GRAHAM AVERY, European Commission  
 STAFFAN KUMLIN, Göteborg Univ., Swedish STINT Fellowship  
 AGNIESZKA NOGAL, Warsaw Univ., Polish Academy of Sciences Fellowship  
 JEAN-MIGUEL PIRE, Electricité de France Fellowship



*Gwendolyn Sasse*  
*Jean Monnet Fellow*

### *Visiting Professors and Fellows*

MARIA AMPARO ALCOCEBA GALLEG0, Univ. Carlos III de Madrid

LEONARD BESSELINK, Univ. of Utrecht (2003-4 *European Forum*)

ROSI BRAIDOTTI, Utrecht Univ. (*Gender Studies Programme*)

DARIO CASTIGLIONE, Univ. of Exeter (2003-4 *European Forum*)

BERNHARD EHRENZELLER, Univ. of St. Gallen

MIRIAM GOMES SARAIVA, Univ. Estado do Rio de Janeiro

EUGENIA HOUVENAGHEL, Catholic Univ. of Nijmegen

GUENTER JOETZE

PETER KENEN, Princeton Univ. (*Pierre Werner Chair*)

CHRISTOPH KNILL, Univ. of Jena (2002-3 *European Forum*)

JEDRZEJ KRAKOWSKI, Univ. of Silesia

IVO MAES, National Bank of Belgium (*Pierre Werner Chair*)

KEN MASUJIMA, Kobe Univ.

PAUL MIZEN, Univ. of Nottingham

MARC PLATTNER, Editor, *Journal of Democracy*

LUC RENNEBOOG, Tilburg Univ.

WILLFRIED SPOHN, New York Univ. (*EURONAT Project*)

ROELAND IN' T VELD, The Netherlands School of Public Administration

FRANS VAN WAARDEN, Utrecht Univ.

MARLENE WIND, Univ. of Copenhagen

JONATHAN ZEITLIN, Univ. of Wisconsin-Madison

### **Research Activities: New Developments and Achievements**

The Centre started a review of its research priorities in autumn 2003, now listed below under six core themes. A further key aim during 2003 was to consolidate external funding for new projects, in particular: by several successful bids to the EU Sixth Framework Programme; major contracts on Mediterranean Migration and the new International Criminal Court; the development of the Pierre Werner Chair on European Monetary Union.

### **Core Research Themes**

#### **1. Institutions, Governance, Constitutionalism**

Recent work examined: the debate on EU institutional and constitutional reform; evolution and experimentation in European governance; constitutional rights and values in the European Union; comparison across European countries of political, legal and policy processes; and some of the broader issues of global governance.

On EU constitutional reform, the latest study is DE WITTE (ed.), *Ten Reflections on the Constitutional Treaty for Europe* (2003). As regards institutional change, Prof. ADRIENNE HÉRITIER worked on informal institutional rules in their everyday application, jointly with HENRY FARRELL (Univ. of Toronto) and CARL FREDRIK BERGSTRÖM (Univ. of Stockholm), with funding from the Swedish Institute for European Policy Research, Stockholm. Prof. HELEN WALLACE continued working on the functioning of the Council and European Council.

Current research also focuses on new modes of governance in Europe. The Centre submitted a successful bid for FP 6 funding for an 'integrated project' on new modes of European governance (NEWGOV) – to be directed by Prof. MARTIN RHODES (SPS) with a major cluster of projects to be directed by Prof. HÉRITIER, and involving colleagues from the EUI and many partner institutions. With Prof. CHRISTIAN JOERGES (LAW), the Centre is partner in the FP 5 project Citizenship and Democratic Legitimacy in Europe – CIDEL, coordinated by ARENA, with a first conference on The Forging of Deliberative Supranationalism in the EU in February.

Constitutional rights and values are central in the work of Prof. BRUNO DE WITTE and of MIRIAM AZIZ, and SUSAN MILLNS (Marie Curie Fellows), and the subject of close cooperation with several members of the EUI Law Department. Projects included an edited vol-



*Helen Wallace, Director of the Robert Schuman Centre*



*Roeland in' t Veld  
Visiting Professor*

ume on *Linguistic Diversity and European Law*, and a workshop, in December, on Constitutional Values in the EU in the framework of the 2003-4 European Forum. Prof. ERNST-ULRICH PETERSMANN has explored analogous issues in relation to global governance and constitutionalism.

Specific comparative research included the evolution of regulatory policies (network utilities) in European states under the influence of EU-level policies (Prof. HÉRITIER). A related project was on 'E-Voting and the European Parliamentary Elections'.

See also the 2003-4 European Forum, p. 60.

## 2. Economic Policy

The focus has been on economic policy issues relevant for the global economy, with particular attention to the old and new European economies.

Research on international financial systems, especially on monetary integration in an enlarged EMU falls under the auspices of the Pierre Werner Chair Programme directed by Prof. GIANCARLO CORSETTI. The fruits of a collaboration with the *Oxford Review of Economic Policy* were published in its Spring 2003 issue, devoted to the theme *EMU Four Years On*, jointly edited by MICHAEL ARTIS and CHRISTOPHER ALLSOPP.

As regards economic stabilisation, including fiscal and monetary policy, and the extent to which the European economies share a common business cycle, forecasting techniques for the short to medium term were developed through the European Forecasting Network, including from the EUI Profs. MICHAEL ARTIS and ANINDYA BANERJEE. A study of business cycles and convergence among the CEECs (to which M. J. ARTIS contributed along with TOMMASO PROIETTI and MASSIMILIANO MARCELLINO) appeared in the Autumn 2003 report of the EFN.

As for public policy issues relevant for national economies and the international economy,

Prof. RICK VAN DER PLOEG worked on the derivation of optimal monetary policy rules for prudent central banks, the macroeconomic effects of rolling back the public sector, the costs and benefits of social policies in economies with imperfect labour markets, and on the fixed book price agreement. He organised an international conference on Fiscal Aspects of the EMU under auspices of the Pierre Werner Chair.

## 3. Regulation and Competition Policy

The Centre continued to organise practitioner-oriented activities on issues of European regulation and competition. The eighth annual EU Competition Law and Policy Workshop, directed by Prof. C.-D. EHLERMANN with ISABELA ATANASIU, explored economic and legal aspects of the concept of abuse of a dominant position. The annual EU Energy Law and Regulation Workshop, directed by Prof. PETER CAMERON, focused on competition issues and the impact of new legislation to establish a single market in energy. An initiative is under way to develop the 'Florence School of Regulation'. In October, the Centre hosted the 2nd CEER Training Course for Energy Regulators.

Prof. HÉRITIER has been investigating the processes of liberalization of the utilities at the European and Member State level, jointly with DAVID COEN (Univ. College London), with funding from the Anglo-German Foundation and Max Planck Society. It is linked to a project on the Deregulation of Transport Systems in the Mediterranean Countries – Euromed, financed by the European Commission.

The Centre continued to organise the annual European Electricity Regulation Forum, in July, as well as the International Bar Association-IBA annual meeting, in October.

The Working Group on Media Law and Policy discussed developments in the field of media and telecommunications. Dr ALISON HARCOURT (JMF) coordinated the group until June. With Prof. JOHN HORGAN (Dublin



Giancarlo Corsetti  
Pierre Werner Chair



Adrienne Héritier  
Joint Chair in Political Science



City Univ.), she organised in February a workshop for the FP 5 GEMI project Governance, Enlargement and the Media. From September this Working Group was coordinated by RACHAEL CRAUFURD SMITH (JMF).

See also the 2002-3 European Forum, p. 60.

#### 4. The Knowledge Economy: Education, Research and Innovation Systems

The evolution of knowledge production via education, research and innovation systems in contemporary societies were defined as key concerns for the new work in the Centre led by Prof. RIKARD STANKIEWICZ. Projects are being developed on: technologies as knowledge systems; innovation systems of biomedicine and biotechnology; the role of research universities in the innovation systems (European Forum 2004/5); and the challenge of rethinking our educational systems.

The RSCAS is a partner in the FP 6 Network of Excellence 'Policies for Research and Innovation in the Move towards the European Research Area – PRIME', which is coordinated by the *Association pour la recherche et le développement des méthodes et processus industriels* in Paris.

The Centre participated in the European Commission-funded consortium, coordinated by the Centre for European Studies at the Univ. of Helsinki, Tracking the New Economy Transformations – Dynamics of Technology, Business and Society and Roadmaps to eEurope 2005.

#### 5. Culture and Knowledge

Research at the Centre argues that within Europe communities shared values and beliefs are the *result* of processes of 'cultural construction of community' with the aim to produce both boundedness and internal coherence.

PROF. BO STRÅTH, and ANNA TRIANDAFYLLOU drew to a conclusion the IAPASIS FP 5 project Does Implementation Matter? In-

formal Administration Practices and Shifting Immigrant Strategies in the UK, Germany, Italy and Greece. They also coordinated the EURONAT FP 5 project on the Representation of Europe and the Nation in Current and New Member States: Media, Elites and Civil Society. They participated, with ANKICA KOSIC, in the FP 5 project Changing City Spaces: New Challenges to Cultural Policy in Europe, coordinated by ULRIKE MEINHOF (Univ. of Southampton). With LARS MAGNUSSON (Swedish Working-Life Institute/the SALTSA Programme, Stockholm), Prof. STRÅTH co-directed a book on European social citizenship. He was also engaged, with Prof. PETER WAGNER (SPS), in The Modernity of Europe: A Comparative-historical and Politico-philosophical Reassessment.

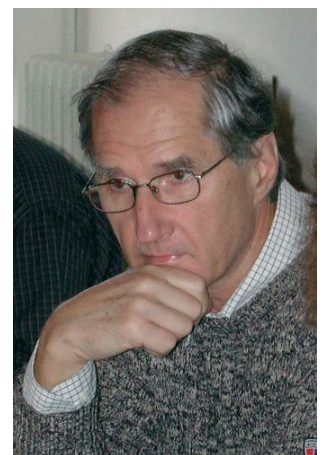
A further area concerns the recognition of cultural diversity as a fundamental value of the European Union, and more particularly the degree to which this value is integrated in specific fields of EU law and policy, on which Prof. BRUNO DE WITTE is engaged.

#### 6. International and Transnational Relations

The European Union's role as an international actor and developments in Europe's neighbourhood and elsewhere in the world continued to be studied. In recent years the Centre focused on: enlargement of the European Union; the Mediterranean region; and transatlantic relations, with research on the global trade regime, European foreign and security policies, the promotion of human rights and democracy, and transborder issues notably migration, citizenship, identity, or critical problems such as the geopolitics of energy and energy security. International migration is currently a priority field. An ambitious consortium is being created to develop expertise on the migration phenomenon from the perspective of the southern Mediterranean countries. This initiative provides a platform for strengthening our cooperation with partners across the Mediterranean region and for deepening our understanding of developments within the region. Following the establishment of the



Bo Stråth  
Joint Chair in History



Rikard Stankiewicz  
Joint Chair in Political Science



Antonio Cassese  
Part-time Professor



Ernst-Ulrich Petersmann  
Joint Chair in Law

International Criminal Court (ICC) the need to train prosecutors, judges, government officials and legal attorneys within the Contracting Parties, as well as lawyers willing to join the ICC, became crucial. The ETHICS-European Training in Higher International Criminal Sciences project commenced in June, with a view to providing high-level and intensive training to professionals who work in the international criminal justice system, via an intensive two-week training course in Florence in February 2004, and four shorter workshops to be held in Africa, Asia, Latin America and Eastern Europe. This project is co-directed by Profs. ANTONIO CASSESE and HELEN WALLACE; Dr SALVATORE ZAPPALÀ acts as Scientific Project Manager.

*The Transatlantic Programme* continued its work in three core areas: political and security relations, trade and regulatory relations, and monetary and financial relations. A seminar series on Transatlantic Relations After Iraq included leading US and European scholars and policymakers. A collective volume, edited by Prof. DAVID ANDREWS, is in preparation. Prof. JOHN GERARD RUGGIE (Harvard Univ.) delivered a major address on US-EU relations. Prof. ANDREWS organized a conference, with Prof. MARTIN RHODES (SPS), on Italy's Role within the EU and its Relations with the US, and a series of lectures on Global Governance. On international trade issues, Prof. ERNST-ULRICH PETERSMANN, organized the third annual conference on Preparing the Doha Development Round – WTO Negotiators Meet the Academics, looking at Challenges to the Legitimacy and Efficiency of the World Trading System – Democratic Governance and Competition Culture in the WTO. Oxford University Press published the papers presented in the 2001 and 2002 conferences.

*The Mediterranean Programme* has four core fields of interest: EU relations with the Mediterranean countries and the Middle East; political regimes, state and society; international migration; energy relations between Europe and the Middle East and

North Africa. The Programme was co-directed by Profs. GIACOMO LUCIANI and PHILIPPE FARGUES.

A key focus was on EU-Turkey relations. In May Prof. SUBIDEY TOGAN (Bilkent Univ.) organised a conference on Turkey: Towards EU Accession. The third Annual EU-Turkey Conference took place in Siena in October.

Developments in Iraq and efforts to define a common European strategy toward Iraq have also been central, and a follow-up to previous work on EU-Gulf Cooperation Council relations. 'Task Force Middle East' on Iraq, a working group with the Bertelsmann Foundation, Aspen Institute Italia, the Centre for Applied Politics of the University of Munich, and the Royal Institute of International Affairs, London, issued a final report *Toward a European Strategy for Iraq*. A workshop on Europe, the Middle East and the Iraq Crisis, at the Bologna Center of the Johns Hopkins School of Advanced International Studies, in association with the Bertelsmann Foundation and the Centre for Applied Politics at the University of Munich, led to a collective book.

Dr TOBIAS SCHUMACHER (Marie Curie Fellow) coordinates the regular Mediterranean Seminar. He initiated the EUROMEDCULTUR research project with colleagues at the universities of Liverpool and Birmingham.

Workshops were co-organised with: the European Cultural Foundation (ECF, Amsterdam) on the Cultural Dimension of the Euro-Mediterranean Relations; the Euro-Mediterranean Human Rights Network (EMHRN) to develop new strategies and joint activities; with the *Institut de recherches et d'études sur le monde arabe et musulman* (IREMAM/CNRS, Aix-en-Provence) and the Social Science Research Council (SSRC, New York) on the Political Economy of the Middle East and the Mediterranean: Assessing the State of the Field.

On Migration Studies, Prof. PHILIPPE FARGUES developed the Euro-Mediterranean Consortium for Applied Research on International Migration, in conjunction with the European Commission. It focuses on the observation, analysis and forecasting of migration, its causes and its consequences in Europe and in the Middle East and North Africa, so as to provide a permanent monitoring system open to a variety of users, such as international, and regional and national institutions in Europe, the Middle East and North Africa, as well as academics and media. A new Working Group Migration-Mobility-Minorities-Membership (WG4M) was coordinated by FRANCK DÜVELL (JMF).

Energy relations were a key area of research with current work on: regulation of energy markets; issues in the efficiency and stability of global energy markets; and development of gas exports and regional transportation networks. The 'MEDSUPPLY - Development by Southern and Eastern Mediterranean Countries of Energy Sources for Europe' project consortium, supported by the European Commission, included the *Observatoire méditerranéen de l'énergie* (OME, Nice), the Mediterranean Programme and Sonatrach corporation of Algeria, with EUI contributions from Profs. GIACOMO LUCIANI and PETER CAMERON. It was concluded in May. The EUROGULF - An EU-GCC Dialogue for Energy Stability and Sustainability project, supported by the European Commission, and led in co-operation with the Oxford Institute of Energy Studies, the National Technical University of Athens and ECONERGY Sal of Beirut, runs from May 2003 to April 2005. A conference with the International Energy Agency (IEA, Paris) examined Caspian Oil & Gas Scenarios: After a Decade of Rising Expectations, what will a Factual Analysis Decide for the Decade to Come? in April. The Insuring against Disruptions of Energy Supply – INDES project organised by CEPS in co-operation with Mediterranean Programme and others with funding from FP 5, held conferences on Assessing Risks and Costs in Liberalising Energy Markets in May and

October. A second conference on The Geopolitics of Energy: The Asian Shift was co-organised by Aspen Institute Italia in July, under the auspices of the Tuscan Regional Government.

The Annual Mediterranean Social and Political Science Research Meeting continued with its fourth session and included 12 research workshops.

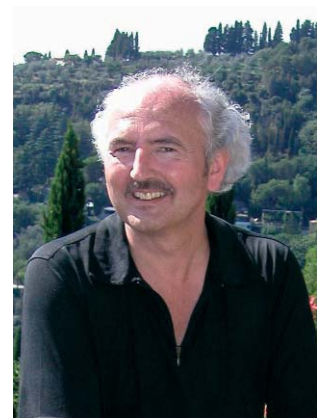
The Mediterranean Programme helped to develop plans for a 'Master in Euro-Mediterranean Affairs – MEMA', with funding from the EU Interreg III B Programme and almost 40 partners across the Mediterranean region. The project is led by the *Regione Toscana*, managed by the *Fondazione Eni Enrico Mattei (FEEM)* and directed by IMCO BROUWER.

From September, ARNULFO VALDIVIA-MACHUCA (JMF) coordinated the Latin American Working Group (LAWG), reflecting the interest that a number of researchers, JMFs, and faculty have in the region, with an emphasis on the comparative study of Latin American and European institutions and on the democratic development of the region. The Centre, with OMAR LICANDRO (ECO) is part of the Euro-Latin Study Network on Integration and Trade, an initiative of the Inter-American Development Bank.

Prof. JAN ZIELONKA concluded his research on EU's Enlargement. He took part in a series of preparatory meetings to the WIM KOK's report on enlargement, and organised the following activities: on Strengthening States, Consolidating Democracies: An Agenda for State Reform in Eastern Europe, co-organised with ALINA MUNGIU-PIPPIDI (JMF), in collaboration with the United Nations Development Program - UNDP RBEC, and in the framework of the research project Blue Bird: Agenda for Civil Society in SEE coordinated by CEU Budapest, in May; and on Characterizing Institutional Novelty, with STEPHEN HOLMES (NYU), in June.



Jan Zielonka



Peter Cameron  
Part-time Professor



## 7. Gender Studies Programme

The Programme, directed by Prof. SILVANA SCIARRA until June, organised a lively seminar series. Pursuing the theme of Biotechnologies/Bio-ethics, Prof. CAROLE JOFFE (Univ. of California) and Prof. ROSI BRAIDOTTI (Utrecht Univ.) debated posthuman ethics, and reproductive rights and technologies. Prof. ELISABETTA VEZZOSI (Univ. of Trento) and Prof. JAAP DRONKERS (SPS) discussed welfare states and divorce respectively. A second theme was the question of equality in politics, developed by MERCEDES MATEO DIAZ (RSCAS Marie Curie Fellow) and Prof. JONI LOVENDUSKI (seminar with SPS). One seminar and one workshop (both LAW) considered gender and the delivery of justice. Prof. REGINA GRAYCAR (Univ. of Sydney) explored the operation of gender and race in the law; and a workshop examined gender and international courts. Prof. LUISA PASSERINI (KWI, Essen & Torino) delivered The Third Ursula Hirschmann Annual Lecture on Gender and European Women, Europe and Love. The Gender Studies and the Governance, Science and Technology Programmes collaborated with the Women and Science Unit of the European Commission, and the Women and Science Network, Joint Research Centre, in a workshop on Minimising Gender Bias in the Definition and Measurement of Scientific Excellence. A FP 5-funded research project Gender Relationships in Europe at the Turn of the Millennium: Women as Subjects in Migration and Marriage – GRINE continued. The programme was coordinated by Dr DAWN LYON.



Miriam Aziz, Scientific  
Coordinator European Forum



Giandomenico Majone  
Co-director European Forum

### The European Forum

The programme of the 2002-3 European Forum was Europe after Globalisation: International Regulatory Competition and Cooperation in an Enlarging European Union. It was co-directed by Profs. CLAUS-DIETER EHLERMANN, GIANDOMENICO MAJONE and CLAUDIO RADAELLI. It examined three major themes: Explaining international regulatory competition; risk regulation in comparative perspective; and

multi-level governance and regulation: the choice of governance level. The eight European Forum Fellows' projects covered policy areas, such as financial regulation, the regulation of the public utilities, health and safety regulation, foodstuff regulation, international taxation, international trade, labour market regulation, company law, and competition policy in general.

The 2003-4 Forum on Constitutionalism in Europe is directed by Profs. BRUNO DE WITTE with MICHAEL KEATING, MARTIN RHODES (both SPS), NEIL WALKER and WOJCIECH SADURSKI (both LAW), and MIRIAM AZIZ as Scientific Coordinator. Under the aegis of the theme, The Idea and the Dynamics of the European Constitution: Historical and Theoretical Perspectives, the first term seminars and the workshops organised by Prof. WOJCIECH SADURSKI on Implications of Enlargement for the Rule of Law and Constitutionalism in Post-Communist Legal Orders in November, and by SUSAN MILLNS (Marie Curie Fellow/ RSCAS) and MIRIAM AZIZ (Research Fellow/ RSCAS) on Values in the Constitution of Europe have highlighted the degree to which the notions of Constitutionalism and constitution-making, are used in a variety of ways in the EU and how the *sui generis* nature of Constitutionalism in Europe arise, both at the level of policy-making, and in the various spheres of law and modes of governance across the Union. Talks by participants of the Convention on the Future of Europe, notably Sir JOHN KERR, Sir NEIL MACCORMICK and HERVÉ BRIBOSIA have offered unique insights from a number of spheres within the Convention.

For the 2004-5 European Forum, a proposal from Prof. RIKARD STANKIEWICZ on The Role of Universities in the Innovation Systems was chosen as the research theme.

### Policy Dialogue

The Centre periodically produces policy papers at the request of public institutions. Examples in 2003 were: *Enlarging the European Union: Achievements and Challenges*, an

independent report by WIM KOK (former Dutch Prime Minister) to the European Commission; *The Political Economy of the Transatlantic Partnership*, a report prepared by the Transatlantic Programme (MARK POLLACK, lead author) for Her Majesty's Treasury (UK) and the Ministry of Finance (The Netherlands); *Sistemi di welfare e gestione del rischio economico di disoccupazione: esperienze e prospettive di riforma del welfare state nell'Unione europea*, for the Istituto per lo sviluppo della formazione professionale dei lavoratori - ISFOL, Rome. Prof. HELEN WALLACE was a member of the Sapir Group, which produced *An Agenda for a Growing Europe. Making the EU Economic System Deliver*. Prof. MICHAEL ARTIS' work with HM Treasury, London, on the UK and the Euro was published in June as *Analysis of European and UK Business Cycles and Shocks*. Prof. DE WITTE was invited as an expert to a hearing of the Convention on the Future of the European Union and Prof. ERNST-ULRICH PETERS-MANN is a regular advisor of the WTO.

The Centre organised many activities, such as the special seminar on South Eastern Europe in the 21st Century on the occasion of the Greek Presidency of the Council of the EU with the participation of ELIAS PLASKOVITIS, Secretary General for European Affairs, Greek Ministry of Foreign Affairs, or EU Competition and Energy Regulation workshops and the conference series WTO Negotiators Meet the Academics, to enable academics and policy-makers to exchange views on important topics on the policy agenda.

## Conferences

The Centre continued to organise numerous activities for the EUI and external academic community. 2003 saw 190 events, including 60 international conferences, workshops, lectures or special events, and the 31 European Forum weekly seminars and conferences. A detailed list of these activities is available on the Events section of the RSCAS website. The Centre has also started to produce browsable CD-ROMs to distribute conference or training course papers and info material.

## Other Activities

Joint chairs' external activities are listed under the relevant departmental section.

HELEN WALLACE was a member of the High Level Study 'Sapir' Group on Economic Governance in an Enlarged Europe which reported to the President of the European Commission in July, and a member of the Advisory Group Social Sciences and Humanities in the European Research Area. She edits two book series for Palgrave and co-edits another for OUP.

DAVID ANDREWS, with Prof. Helen WALLACE co-authored a contribution to the 23-May 2003 EU Foreign Affairs Council meeting in Kastellorizo, Greece. He organized a Political Economy Working Group at the EUI in conjunction with Prof. MARTIN RHODES (SPS).

JEAN BLONDEL was involved in three research projects: The 18-nation Asia European Survey directed by Prof. INOBUCHI (Univ. of Tokyo); a comparative study of ministers in governments in Eastern Europe with FERDINAND MUELLER-ROMMEL (Univ. of Düsseldorf) and an analysis of the campaign at the 2004 European Parliament election for a project directed by Prof. SINNOTT (UCD).

PETER CAMERON participated as one of the teaching staff in the intensive training seminar for the Council of European Energy Regulators (CEER) in October-November.

ANTONIO CASSESE is Editor in chief of the *Journal of International Criminal Justice*, Co-editor of the *European Journal of International Law* and Scientific Director of the National Research Project on Transitional Justice - MIUR'.

GIACOMO LUCIANI is Professorial Lecturer in Middle Eastern Studies at the Johns Hopkins University Bologna Center and an international expert on strategic energy matters.



Wim Kok



Elias Plaskovitis

## Publications

Joint chairs' publications are listed under the relevant departmental entries. Publications by the Centre's research staff and arising from the Centre's activities:

DAVID ANDREWS, 'The Committee of Central Bank Governors as a source of rules', *Journal of European Public Policy*, 10, 6, 956-73.

JEAN BLONDEL, 'Party Government, Patronage, and Party Decline in Western Europe', in R. Gunther, J. R. Montero and J. J. Linz (eds.), *Political Parties*, Oxford: OUP, 233-56  
 – 'L'analyse politique comparée et l'institutionnalisation des partis', *Rev. Intern. de Pol. Comparée*, 10 (2), 2003, 247-64  
 – 'Chi è "cittadino armato" nelle democrazie di mercato' in A. Pavan (ed.), *Dire Persona*, Bologna: Mulino, 339-57

ANTONIO CASSESE, *International Criminal Law* Oxford/New York: OUP  
 – *Diritto internazionale* (traduz. a cura di Paola Gaeta), Bologna: Il Mulino  
 – 'Is the Bell Tolling for Universality? A Plea for a Sensible Notion of Universal Jurisdiction' *Journal of International Criminal Justice* (2003), 589-95

CLAUS-DIETER EHLERMANN and ISABELA ATANASIU (eds.), *European Competition Law Annual 2001: Effective Private Enforcement of EC Antitrust Law*, Oxford and Portland: Oregon Hart  
 – and S. Völcker, 'Kein blauer Himmel mehr über Fusionsverboten der Kommission', *Europäische Zeitschrift für Wirtschaftsrecht* 1/2003  
 – 'Experiences from the WTO Appellate Body', *Texas International Law Journal*, Vol. 38, Issue 3, 469-88  
 – and L. Ehrling, 'WTO Dispute Settlement and Competition Law - Views from the Perspective of the Appellate Body's Experience', *Fordham International Law Journal*, Vol. 26, Issue 6, 1505-61  
 – 'Reflections on the Appellate Body of the WTO', *Journal of International Economic Law*, Vol. 6, Issue 3, 695-708

– 'On the Direct Effect of the WTO Agreements', in *Spontaneous Order, Organization and the Law: Road to a European Civil Society, Liber Amicorum Ernst-Joachim Mestmäcker*, TMC Asser Press, 413-19

PHILIPPE FARGUES, 'Demography', *Encyclopedia of Women & Islamic Cultures*, Vol. 1: *Methodologies, Paradigms and Sources*, Leiden-Boston: Brill, 321-26

– 'Family and Household in Mid-Nineteenth-Century Cairo', in B. Doumani (ed.), *Family History in the Middle East: Household, Property and Gender*, New York: State University of New York Press, 23-49

– 'Terminating Marriage', in N. Hopkins (ed.), *The New Arab Family, Cairo Papers in Social Sciences*, American University in Cairo Press, Vol. 24/1-2, 247-72

– 'L'Égypte au XX<sup>e</sup> siècle', in J.-C. Chasteland, et J.-C. Chesnais (dir.), *La population du Monde. Géants démographiques et défis internationaux*, Cahier de l'INED, n° 149, 171-96;

– 'L'émigration en Europe vue d'Afrique du Nord et du Moyen-Orient', *Esprit*, n° 300, 125-43;

– 'La femme dans les pays arabes : vers une remise en cause du système patriarcal ?', *Population et Sociétés*, n° 387; ['Women in Arab countries: challenging the patriarchal system?', <[http://www.ined.fr/englishversion/publications/pop\\_et\\_soc/index.html](http://www.ined.fr/englishversion/publications/pop_et_soc/index.html)>]

– *The Mediterranean: a Gulf or a Bridge? Population and Migration in the Euro-Med Process*, Kalamazoo College, Center for Western European Studies, Working Paper No. 10

– 'Les politiques migratoires en Méditerranée Occidentale : contexte, contenu, perspectives', in Organisation Internationale pour les Migrations, *Dialogue 5+5 sur la Coopération migratoire en Méditerranée Occidentale, Rencontre ministérielle de Tunis*, 16-17 Octobre 2002, Geneva: IOM

– 'Préface', in M. Ladier, *Population et politique en Iran. De la Monarchie à la République islamique*, Cahier de l'INED, n° 150

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CHRISTIAN JOERGES with E. O. Jürgen, and E. Neyer (eds.), *European Governance, Deliberation and the Quest for Democratisation*, Oslo: ARENA Report No. 02/2003;

JOSEPH JUPILLE with James A. Caporaso and Jeffrey T. Checkel, 'Integrating Institutions: Rationalism, Constructivism, and the Study of the European Union', *Comparative Political Studies*, 36 (1-2), 7-40

MICHAEL KEATING and James Hughes (eds.), *The Regional Challenge in Central and Eastern Europe. Territorial Restructuring and European Integration*, Brussels: PIE-Peter Lang

WIM KOK, *Enlarging the European Union: Achievements and Challenges*, EUI-RSCAS [also published in French and German by the RSCAS; other languages available online from the European Commission website]

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GIACOMO LUCIANI and Felix Neugart (eds.), *Toward a European Strategy for Iraq* (updated version), Foundation Bertelsmann/CAP, in collaboration with Aspen Institute Italia, the RSCAS, and the Royal Institute of International Affairs, CAP/Bertelsmann Foundation Policy Paper  
– with C.-P. Hanelt and F. Neugart (eds.), *Regime Change in Iraq: The Transatlantic and Regional Dimensions*, EUI-RSCAS, e-book  
– 'After Iraq: Prospects for Democratization in the Middle East and North Africa', *ibid.*  
– and TOBIAS SCHUMACHER, *Relations Between the European Union and the Gulf Cooperation Council: Past Record and Promises for the Future*, Dubai: Gulf Research Center, e-publication, [http://www.grc.to/grc\\_publications/modules/Research\\_Paper\\_on\\_EU-GCC.pdf](http://www.grc.to/grc_publications/modules/Research_Paper_on_EU-GCC.pdf).

GIANDOMENICO MAJONE (ed.), *Risk Regulation in the European Union: Between Enlargement and the Internationalization*, EUI-RSCAS

MARK A. POLLACK (lead author), *The Political Economy of the Transatlantic Partnership*, Report prepared by the RSCAS Transatlantic Programme for and with the contribution of Her Majesty's Treasury (UK) and Ministry of Finance (The Netherlands), EUI-RSCAS

– and J. Peterson (eds.), *Europe, America, Bush. Transatlantic relations in the twenty-first century*, London/New York: Routledge

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– with T. Zito, and A. Jordan, *New Policy Instruments in the European Union*, Special Issue, *Public Administration*, 81: September

– 'The code of conduct on business taxation: Open method of coordination in disguise?', *Public Administration*, 2003, 81(3), 513-31

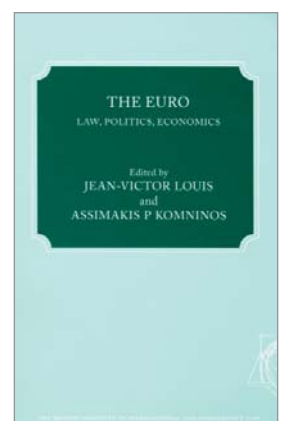
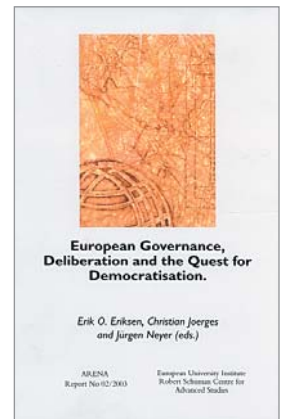
– 'The New Political Economy of EU Corporate Tax Policy', in A. Lymer and D. Salter (eds.), *Contemporary Issues in Tax Research*, Ashgate

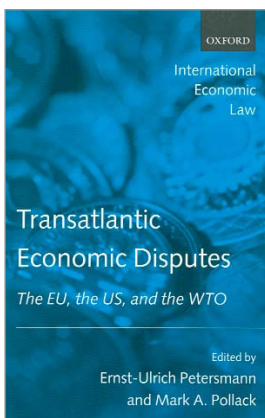
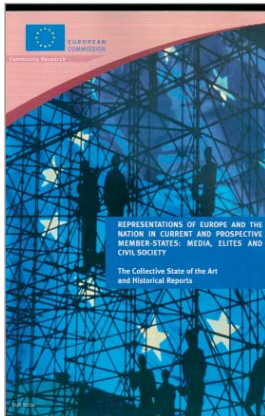
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– and Quentin Skinner (eds.), *States & Citizens. History, Theory, Prospects*, Cambridge: Cambridge University Press

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– with B. Jordan and B. Stråth (eds.), *From Guardians to Managers: Immigration Policy Implementation in Europe*, Special Issue, *Journal of Ethnicity and Migration Studies*, 29, 2

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– and A. Kotic, ‘Albanian Immigrants in Italy: Policy Implementation, Coping Strategies and Identity Issues’, *Journal of Ethnic and Migration Studies*, 29, 6

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– ‘The Launch of the Euro in the Italian Media’, *European Journal of Communication*, 18, 2, 255-63

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– ‘Contrasting Images of European Governance’, in B. Kohler-Koch (ed.), *Linking EU and National Governance*, Oxford, 1-10

– with Alexander Stubb and John Peterson, ‘The Policy-making process’, in E. Bomberg and A. Stubb (eds.), *The European Union: How Does It Work?*, Oxford

– with YVES MÉNY and BRUNO DE WITTE, ‘Preface’, in B. de Witte, *Ten Reflection on the Constitutional Treaty for Europe*, EUI-RSCA

– ‘Designing Institutions for an Enlarging European Union’, *ibid.*, 85-105

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– and Vello Pettai (eds.), *The Road to the European Union*, Vol. 2, *Estonia, Latvia and Lithuania*, Manchester: Manchester University Press

# Historical Archives of the European Communities

The Institute administers the European Union Historical Archives, the official depository since 1984 of the Community Institutions' historical documents and responsible for conserving them and making them publicly accessible. In 2003 a further two hundred shelf meters of archives from the Commission, Council, Parliament and Economic and Social Committee of the European Union were added to the Community collections already available to researchers.

The EU Historical Archives have the further mission of collecting, classifying and making accessible archives that individuals, movements or organizations that have contributed to the European integration process have entrusted to it. Having already, in late 2001, secured the personal papers of ALCIDE DE GASPERI, the EUHA were honoured in June 2003 by the depositing of those of another founding father, PAUL-HENRI SPAAK, following an agreement reached with Madame ANTOINETTE SPAAK and Mr ETIENNE DAVIGNON. Other holdings from pro-European movements followed, among them the Council of European Municipalities and Regions chaired by Mr VALÉRY GISCARD D'ESTAING.

The Historical Archives are thus becoming one of the major centres for sources on the history of European Integration. In 2003, nearly 400 scholars have used the reading room and consulted over 5200 files (leading to publication of some 60 articles or theses on European topics), and the EUHA has additionally enhanced its role as an information node for other archive holders in Europe. Thus in November, it was commissioned by the Archives directors of the Foreign Ministries of the 25 EU Member States to produce and distribute on the Internet a 'Guide to sources for diplomatic archives on European cooperation and integration'.

Integrated into such professional networks as the Archivists of International Organizations section of the International Archives Council or the Group of Archivists of the Foreign Ministries and the Institutions of the Euro-

pean Union, the EUHA has also been expanding its partnerships in the academic area. In 2003 it took part directly or actively collaborated in meetings and colloquia organized by the European Commission's Liaison Group of professors of contemporary history (Florence Colloquium on the topic Inside the European Communities. Actors and Policies in European Integration from the Rome Treaties to the Snake, 1958-1972) and by professors holding Jean Monnet Chairs of history (Siena Colloquium on The Enlargements of the EEC/EU). It has since 2002 been associated with inventorizing and disseminating on the web the oral history part of the project on the Internal History of the European Commission from 1958 to 1972 developed by the Commission and the University of Louvain-La-Neuve. When the archives of the great Belgian statesman Spaak were deposited in Florence, the EUHA organized a conference on 11 June on HENRY SPAAK – the European, with participation by academics, biographers, specialists in the history of European integration and people that had worked closely with him. The EUHA played the key part in the research project on 'the empty chair crisis and the Luxembourg compromise' launched jointly by the presidents of the Spaak Foundation and of the Institute, directed by Professor HELEN WALLACE. The Historical Archives also originated the training seminar for local-government representatives organized at the Institute by CEFEL on the theme Local Authorities and European Programmes, on 24-26 October.



*Etienne Davignon, Jean-Marie Palayret, Yves Mény and Jean-Claude Eeckhout*



## The High Council

The High Council held its two annual meetings on 12 June and 11/12 December, with Mr Roeland In't Veld of the Dutch Delegation, in the Chair.

### Members

Belgique/België	Mr Luc Molitor	SSTC (June)
	Mrs Gisèle Roulleaux	Service financier, Politique scientifique fédérale (Dec)
Danmark	Ms Ellen Hansen	Rektorkollegiets Sekretariat
	Prof. Palle Svensson	University of Aarhus
Deutschland	Mr Matthias Bunge	Hessisches Ministerium f. Wissenschaft u. Kunst
	Mr Hans Eschelbacher	BMBF (June)
	Mr Herbert Diehl	BMBF (Dec)
Ellas	Prof. Argyris A. Fatouros	Ministry of Foreign Affairs (June)
España	Mrs L. Carracedo Matorra	Ministerio de Educacion (Dec)
	Mr Santos Ruesga Benito	Universidad Autonoma de Madrid
	Mrs Cristina Gallego	Ministerio de Education (June)
France	M. Robert Carles	Ministère des Affaires étrangères (June)
	Ms Elisabeth Beton	Ministère des Affaires Etrangères (Dec)
Ireland	Mr Maurice Bric	University College Dublin (June and Dec)
	Mr Patrick McDonagh	Department of Education and Science
Italia	Prof. Giuseppe Ignesti	LUMSA – Roma ( June)
	Mr Matteo Romitelli	Ministero degli Affari Esteri (June)
	Amb. S. Balanzino	Ministero degli Affari Esteri
	Mr Salvatore Ettore	Ministero degli Affari Esteri (Dec)
	Mr Carlo Curti Gialdino	CIDE (Dec)
	Mr Adolfo Barattolo	Ministero degli Affari Esteri (Dec)
Luxembourg	S.E. Jean Faltz	Ambassadeur du Grand-Duché près le Quirinal
Nederland	Mr F.Y. Dijkstra	Ministry of Education, Culture and Science
	Prof. Roeland In't Veld	Ned. School Openbaar Bestuur
	M. Erik Martijnse	Ministry of Education, Culture and Science
Österreich	Mr Friedrich Faulhammer)	Bundesministerium f. Bildung, Wissenschaft u. Kultur
	Prof. Ulrike Leopold	Universität Graz
Portugal	Prof. M.J. De Almeida Costa	Ministério de Educação (June)
	Prof. A. Marques-Guedes	Ministerio Negocios Estrangeiros
Suomi/Finland	Mr Sakari Karjalainen	Ministry of Education
	Ms Liisa Savunen	Academy of Finland (June)
	Prof. Reijo Vihko	Academy of Finland (Dec)
Sverige	Mr Bengt Hansson	Swedish Research Council
	Ms Maria Ljungkvist	Ministry of Education and Research
United Kingdom	Mr Andrew Bidewell	Department for Education and Employment (Dec.)
	Prof. Ivor Crewe	University of Essex (June)
	Mrs Raquel Green	University of Essex (Dec)
European Union	Mr Jean-Claude Eeckhout	Commission Européenne (observer)

# The Budget and Finance Committee

The Budget and Finance Committee held its two annual meetings on 8 May and 6 November, with Mr ERIK MARTIJNSE of the Dutch delegation, in the Chair.

## Members

Belgique/België	Mrs Gisèle Roulleaux	SSTC (May)
	Mr Marc Bossaert	Services Politique Scientifique Federal (Nov)
Danmark	Mr Peter Egemose Grib	Ministry of Science, Technology and Innovation (May)
	Mr Steffen Kaastrup Nielsen	Ministry of Science, Technology and Innovation (Nov)
	Mr Anders Bjerneboe	Ministry of Science, Technology and Innovation (Nov)
Deutschland	Mr Michael Schlicht	BMBF
	Mr Joachim Vollmuth	Bundesministerium des Innern (Nov)
Ellas	Mrs Cryssanthi Vaghenas	Ministry of Foreign Affairs (May)
	Mr Andreas Pavlopoulos	National Scholarships Foundation
España	Ms L. Carracedo Matorra	Ministerio de Educacion
France	Ms Simone de Gelibert	Ministère des Affaires étrangères
Ireland	Mr Patrick Dowling	Department of Education and Science
Italia	Mr Matteo Romitelli	Ministero degli Affari Esteri (May)
	Mr Salvatore Ettorre	Ministero degli Affari Esteri (Nov)
	Mr Antonio Bartolini	Ministero dell'Economia e delle Finanze
Luxembourg	Mr Paul Seiwerath	Ministère de l'Education nationale
	Mr Guy Cognioul	Inspection Générale des finances (Nov)
	Mr Raymond Straus	Ministère de l'Education nationale
Nederland	Mr Erik Martijnse	Ministerie van Onderwijs en Wetenschappen
	Mr Ron Van der Meer	Ministry of Education, Culture and Science (May)
	Ms Annette Sonnenberg	Ministry of Education, Culture and Science (Nov)
Österreich	Mr Friedrich Faulhammer	Bundesministerium f. Bildung, Wissenschaft u. Kultur
Portugal	Ms Maria Paula Mendonca	Ministerio dos Negocios Estrangeiros
Suomi/Finland	Ms Liisa Savunen	Academy of Finland
Sverige	Mr Björn Thomasson	Swedish Research Council
United Kingdom	Mr Andrew Bidewell	Department for Education and Employment (May)
European Union	Mr Jean-Claude Eeckhout	European Commission (observer)

## The Funding of the Institute

Revenue and expenditure for the 2003 financial year (in million Euro)

