



EUROPEAN UNIVERSITY INSTITUTE

The President's Annual Report

Spring 1998

Report on calendar year 1997, published in Spring 1998

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The European University for postgraduate research in the social sciences

The purpose of this Annual Report is to provide a concise account of the activities of the European University Institute during 1997 in the fulfillment of its mission to contribute to the scientific and cultural heritage of Europe through high level research and doctorate formation.

The very satisfactory progress of recent years in doctorate thesis completion was significantly advanced this year – 85 theses were successfully defended before international juries – a clear indication of the manner in which our young researchers meet the challenges as well as the opportunity of the Institute’s demanding standards and multi-cultural context. This is by far the highest annual number of thesis defenses and represents more than twice the number defended five years ago. In the same period the number of students completing their theses in under four years has also doubled.

The number of students applying for the 120 first year places was of the order of 1,600. Notwithstanding continuing funding difficulties a number of excellent students from Central and Eastern Europe were amongst those admitted to our doctorate programme – probably the largest such programme in Europe in those areas of the Social and Human Sciences with which it is concerned.

In June Sweden and Finland were welcomed as full members of the Institute. The Swedish authorities provided a generous gift to the Institute’s Library to improve its holding of Swedish publications and the Finnish authorities have pledged support for research on issues relating to the Baltic region. In December the High Council approved Austria’s admission in January 1998 as the 15th full member of the Institute. Austria is providing special ‘entry’ support for a European enlargement research programme. The advent of these three countries constitutes a valuable enrichment of the multi-cultural and comparative research capacity of the Institute.



Dr Patrick Masterson, President of the EUI

This research activity – detailed later in the Report – is carried forward at a very high level in our four academic departments of History and Civilization, Economics, Political and Social Sciences and Law, and our two interdisciplinary and comparative research centres, the Robert Schuman Centre and the European Forum. The close cooperation which has evolved between these various components of the Institute’s intellectual life greatly enhances its scholarly output and helps to dissipate the misconception that there is a qualitative distinction between basic and applied or policy-related research – the only qualitative distinction which the Institute recognizes is that between good research and poor research.

In support of its commitment to high quality research it relies upon the appraisal and advice of its Research Council, composed mainly of eminent external academics, which





In the Institute Library

allocates funds to our professors' research projects and periodically reviews the academic profile and performance of the Departments and Centres. This year there were very helpful reviews of our Departments of Law and History and Civilization.

Besides the activity of publication, thesis preparation and external contributions to international scholarly meetings the Institute is increasingly a centre where international scholars collaborating with our professors come together in seminars, workshops, conferences and longer term cooperative research projects. This provides our young doctorate researchers during the course of their studies with a privileged access to the widest range of leading authorities in their discipline. A basic component of this 'added academic value' is the group of 30 Jean Monnet post-doctoral and senior research fellows who become as-

sociated for up to a year with the research programmes of the Departments and Centres. Further complementary coordination has been promoted during the year between our Robert Schuman Centre, which covers a range of comparative interdisciplinary and policy oriented research and our European Forum which assembles a group of scholars to provide over the period of a year focused interdisciplinary enquiry into a particular topic (this year's topic is International Migrations: Geography, Politics, and Culture in Europe and Beyond).

This synergic development contributes to the implementation of our policy to build a European centre of advanced post-doctorate studies complementing our main programme of doctorate studies. Pursuing this line of development we have expanded our contacts with other research centres and with the research programmes of the European Union and various major international organizations. We also developed close contact with and welcomed to the Institute representatives of



A researcher at his workplace in the Library



Professor Jaime Reis, Department of History

the European Commission's 4th and 5th Scientific Framework Programmes, of the European Investment Bank and of the Economic Development Institute of the World Bank.

More generally our research activity continued to attract funding from the Institute itself, from the European Union and from external research contracts which totaled 3.6 billion lire this year (3.1 billion lire in 1996). The Robert Schuman Centre was further strengthened this year by the creation of an additional joint Chair with the History and Civilization Department.

The relevance of the Institute's research to European development was emphasised by the increasing number of meetings at the Institute involving key public figures such as

the EU Trade Ministers, Directors of the European Agencies, the OECD Secretary General and Ambassadors, senior EU officials and Ministers of the Member States of the Confederation of Independent States.

During the year I signed on behalf of the Institute agreements of scholarly and cultural cooperation with the University of Paris and the City of Florence. In April, as part of our policy of establishing closer links with the Universities of the member States of the Institute, we welcomed the Heads of all the Irish Universities to a meeting at the Institute.

In July, I had the pleasure of presenting the programme and work of the Institute at the European Parliament to its Committee on Culture, Youth, Education and the Media and was encouraged by its expression of continued appreciation and support.

The 20th Jean Monnet Lecture was delivered to an audience of over 700 by the Prime Minister of Italy, Prof. ROMANO PRODI on the topic 'The European Industry and Finance in International Competition'.



Prof. Romano Prodi and Dr Masterson





Researchers taking a break on the terrace

Because the Institute recruits professors on a four year contract, renewable only once, there is a regular turnover of academic staff. This year I want to thank for their splendid service Professors DIEZ-PICAZO, HROCH, HUFTON, PHILIPS, SALMON, VASSILAKIS and WALDMANN who have gone on to new challenges and we

welcome newly appointed Professors AMATO, BECKER, BERTOLA and BREEN.

Links with our alumni were further strengthened by a very enjoyable reunion in Lisbon attended by EUI graduates from many countries. On the occasion His Excellency the President of the Republic of Portugal Dr JORGE SAMPAIO graciously hosted a reception for the alumni. A further development during the year was the institution of a career advisory service for our young researchers at the Institute. The alumni have given valuable assistance in this initiative.

In December we learnt with great sadness of the untimely death of GIOVANNI ALBERTO AGNELLI, the first Chairman of our recently established President's Development Council.

The Management Review of the Institute's administration conducted last year with a view to better facilitating the scholarly objectives of the Institute is being progressively implemented with beneficial effect.

In conclusion I wish to express my thanks and warm appreciation to all associated with the Institute, its Councils, Professors, Researchers and Support Staff for their dedicated contribution throughout the year to enhancing the achievement of this unique institution.

DR PATRICK MASTERSON
President of the European University Institute

History and Civilization

Staff Developments

The past year saw the departure of Professor OLWEN HUFTON, who left the Institute to take up the post of Leverhulme Research Professor at Merton College Oxford. At the same time the Department acquired a new colleague, PETER BECKER, an Austrian historian, who has worked in Germany and the United States, and who takes up the position in East and Central European history. Professor JOHN BREWER was appointed Head of Department for a second year.

Research Activities

Professor PETER BECKER has just begun a project on *The Language of the Bureaucracy - Bureaucratic Language and Prose; towards a Cultural History of the Bureaucracy in the 19th Century*. It is intended to contribute to the current discussion on the *cultural* history of bureaucracy by analysing the bureaucratic reflections on the connection between authority and objectivity as well as the bureaucratic routines, techniques, and practices which these reflections induced. In order to analyse the links between the authority of the bureaucrat and the emergence of bureaucratic styles and vocabularies since the late eighteenth century, he makes use of methods and theoretical approaches from the history of science as part of a case study in the history of objectivity in general.

Professor JOHN BREWER organises a project entitled *Unequal Exchange? European Art and American Money in the 19th and Early 20th Centuries*. The aim of this project is to use the extraordinary boom in the European

art and antique market of the late nineteenth and twentieth centuries, which was largely fueled by American money, to examine American conceptions of Europe, European conceptions of America, and different national views about art and the cultural patrimony within Europe. To that end the project is divided into three sections: American collectors and collecting; the processes and networks of the European art market; and the public debate and legislation affecting cultural patrimony.

Professor KIRTI CHAUDHURI'S research project *Trade, a Comparison Between the Mediterranean and the Indian Ocean 1500-1750* makes a historical comparison between the Indian Ocean and the Mediterranean within the context of long-distance trade, society, and culture. It is part of a larger research scheme on the 'cognitive' aspects of comparative social and cultural history. It has three main objectives. It looks in detail at the structural role of long-distance trade in the Indian Ocean and the Mediterranean and the way that trade was both an instrument of ordering social behaviour, habits, norms, and values and a historical product of these entities. Secondly, the research constructs a comprehensive matrix of analysis related to trading activities such as geographical regions or spatial zones, trading cities, means of transport, markets and marketing, monetary, banking, and financial methods, commodities, and the technology of production. Finally, it plans to arrive at the larger issues concerning the relationships between social groups, cultural differentiations, transplantation of social tastes through long-distance trade, and the political aspirations of both individual groups and the organised states involved in the process of economic exchange.

Professor GÉRARD DELILLE is drawing to a close his project entitled, *La politique et la définition des groupes de pouvoir*, the results of which will be published next year.



Professor LAURENCE FONTAINE's project is entitled *The Bonds of Debt and the Institutionalisation of Credit, 16th-19th Centuries*. She has continued to work on the understanding of social relations and the various cultures at work in credit relations in pre-industrial Europe, taking a particular interest this year in the nobility and its credit practices on the one hand, and the contradictory role played by credit in the processes of pauperisation of individuals on the other. She has also continued to work on her associated research projects, in particular on migrations and alpine societies.

Professor RENÉ LEBOUTTE's research on the comparative history of industrial regions in Europe gave rise to the following publications: *Vie et mort des bassins industriels en Europe, 1750-2000*, Paris, Editions L'Harmattan, 1997, 592 pages. His new project entitled *Human Capital and Industrialisation, the Mobility of Skilled Workers in Europe in the 19th Century* has been the object of several seminars at the EUI, and of a paper to the Colloquium Skilled Workers in Industry (17th-20th Century). Training, employment, migration, part of preparations for the XIIth International Congress of Economic History, Seville, 1998, Session C55, Roubaix, November.

Professor ALAN MILWARD's research project progressed in three directions. Studies of the comparable output of public pension schemes demonstrated that the harmonisation of pensions policy within the EC/EU was probably more feasible than has been assumed from earlier studies of their historical differences. Analysis of the introduction of value-added tax into Member States of the EC/EU has shown, notably from the case of France, that there were political motives related to patterns of income distribution involved in its choice and not just questions of relative tax-efficiency. Studies of the influence of industrial and agricultural pressure-groups on decisions to seek, or avoid, entry into the

EEC have been undertaken, particularly for the aspirant members of 1961-3, Denmark, the United Kingdom, and Ireland. They have been accompanied by a detailed statistical analysis of the structure, geographical distribution, and changes in both, of the trade of Germany, the major trader. It is now possible to say something in detail about the various patterns of material allegiance to the nation-state and the EEC which these studies expose. These conclusions have been publicised in working-papers, reports, and seminars detailed elsewhere.

One part of Professor LUISA PASSERINI's main research – approximately one third – has been completed and will be published shortly with the title: *Europe in Love, Love in Europe. Aspects of Cultural History in 1930s Britain*. In this first volume, chapters on the history of the idea of Europe in Britain in various domains – political, psychological, historical – alternate with others concerning ideas of love in the literary domain. The two traditions of discourse are thus intertwined, so that the theme of Europe is found in the literature on love and the theme of love appears in some of the literature on Europe. At the same time, aspects concerning more directly the issue of European identity have been explored in an anthology, also being published under the title *Identità culturale europea: idee, sentimenti, relazioni*, which illustrates the recent debate on the possible meanings of European identity in the field of culture.

Professor JAIME REIS' research project is entitled *Long Run Economic Performance in the European Periphery: Scandinavia vs. 'Mediterranean Europe' in the First Century of Growth*. The major part of recent research has been focused on gathering the data concerning several macro-economic aspects of the countries which are being considered for this project. The aim is to build up a comparative picture of the levels of income per capita of Spain and Portugal and of Denmark,

Sweden and Norway during the first half of the 19th century, to serve as the basis for a revision of current but weakly founded ideas about their relative positions in this respect. This would hopefully represent a contribution to the debate concerning the applicability of the status of 'impoverished sophisticate' to the countries of the European periphery before they embarked on the course of sustained growth which marked the post 1850 decades.

Professor RAFFAELE ROMANELLI's research project on the history of electoral systems concerns the electoral mechanisms in the evolution of the European representative systems from the end of the 18th century to the first half of the 20th century. A new project deals with the role of the judiciary in the government of the political as well as of the social sphere. Parallel research concerns cover family laws and elites in the bourgeois world.

For information on Prof. STRATH see the Robert Schuman Centre.

Conferences at the Institute

Prof. BECKER ('senior expert' and commentary) took part in the ESTER-Conference Institutions and Social Norms in Europe, 16th-19th Centuries, 16-23 November. Prof. BREWER organised two workshops on The Culture of Spectacle and Cultural Heritage, 28-29 November and 5-6 December. Prof. CHAUDHURI organized the conference Three Players in the Modern World System. Spain, Holland, and England 1500-1800, 24 November; Prof. DELILLE held a workshop on Histoire et anthropologie. Les système dualistes en Europe et en Afrique, 4 March and a summer school on *démographie historique* 25 August-12 September. Prof. FONTAINE organized round tables on L'Histoire économique est-elle en crise? (June) and Crédit et liens sociaux en Europe XVII^e-XIX^e siècle (28-29 November) and (with PIETER SPIRENBURG)

the session Institutions et normes sociales of the ESTER conference (17-22 November). Prof. MILWARD jointly with the Schuman Centre and Prof. STRATH organised a conference on The Rise and Fall of the American Welfare State. Prof. PASSERINI and Prof. STRATH convened the conference From 1968 to the Turn of the Millennium: Italy, Sweden and Germany in Comparison (13-15 November). Prof. ROMANELLI in December held a colloque on The Electoral Process: Campaigning, Voting, and Conflict.

Conferences Outside the Institute

Prof. FONTAINE in her capacity as member of the bureau scientifique of the Datini Institute co-organized the 1997 Prato seminars on the Introduction and Distribution of non-European Products in European Economies. She also took part in conferences on Mobilité et frontières dans les Alpes, organized by l'Association Internationale pour l'Histoire des Alpes, l'université Grenoble II et le Musée dauphinois, Grenoble, a colloque of the CRH-EHESS on poverty, Paris and a round table in Como on social control in modern times. Prof. LEBOUTTE gave papers at the conference of the Associazione per la storia e gli studi sull'ambiente, Milano on Storia ambientale: una nuova frontiera storiografica; at a colloquium on L'industrialisation de la périphérie européenne, Lausanne and at the conference Un paradigma per i distretti industriali: radici storiche, attualità e sfide future, University of Brescia. Prof. REIS organised The History of Economic Growth in Portugal, Annual Conference of the Portuguese Economic History Association, Ponta Delgada (University of the Açores) and (with PHILIP COTTERELI) the preparatory B session conference for World Economic History Congress, Seville, 1998, University of California at Berkeley. He also participated in the conference organised by the European Economic History Review, Montecatini, the conference Financial Institu-



tions and Economic Growth: The Long Run Perspective, Università di Venezia Ca' Foscari and the Third World Cliometric Conference, University of Munich.

Staff Lectures and Seminars at other Institutions

Prof. BREWER lectured at the universities of Munich, Utrecht, Amsterdam, Bologna, at Harvard University, Columbia University as well as the Tate Gallery, London and the Huntington Library, San Marino, California. Prof. DELILLE participated in seminars organized in Rome by the Ecole Française, l'EHES and the University of Rome La Sapienza. Prof. FONTAINE lectured at the univer-

sities of Bologna, Rotterdam, Valladolid and at the Posthumus Institute (Utrecht). Prof. LEBOUTTE gave seminars at the universities of Venice, Lausanne and Montréal. Prof. MILWARD delivered lectures at the Universidad de Deusto, Bilbao, New York University, Universidad Autonoma de Barcelona, Harvard University, Haus der Geschichte, Bonn, the Royal Norwegian Academy of Arts and Sciences, Trondheim, the University of California at Los Angeles and at the Danish Institute of International Affairs. Prof. PASSERINI took part in the History Workshop Seminar The Emotional Foundations of the Idea of Europe - Britain in the 1930s, London. Prof. REIS held lectures at Universidad Complutense, Madrid and at the Observatoire Français pour la con-

Department of Economics

Staff Developments

Professor GIUSEPPE BERTOLA joined the Department in September from the University of Turin, replacing MARK SALMON. He will research and teach in macroeconomics and labour economics. A number of further nominations are in course to replace DAVID CASS, LOUIS PHILIPS, ROBERT WALDMANN, and JOHN MICKLEWRIGHT, all of whom left the Department at the end of the academic year 1996-7. LOUIS PHILIPS took a position at the University of Florence, MARK SALMON started work at the City University Business School and ROBERT WALDMANN took a position at IGIER, Università Bocconi, Milan. DAVID CASS returned to the University of Pennsylvania.

MICHAEL ARTIS took over as Head of Department in September, replacing JAMES DOW.

The Department's Jean Monnet Fellows in the 1996/7 academic year were MICHELE BERNASCONI, University of Pavia; OLIVER KIRCHKAMP, University of Bonn; LEONARD MIRMAN, University of Virginia; and PAOLO VITALE, LSE, Cambridge. During 1997/8 the fellows are: ANINDYA BANERJEE, Oxford University, MONIKA GEHRIG-MERZ, University of Freiburg; LUIS GIL ALANA, London School of Economics and WILLIAM JACK, Australian National University. ANDREA ICHINO joined the Department for two years, from Università Bocconi.

Research Activities

MICHAEL ARTIS, a joint appointment with the Robert Schuman Centre, continued to work on two sub-projects: *Asset Markets*, *Structur-*

al Convergence and European Monetary Policy and Business Cycles and Employment in Europe. More work was done during the year on the fiscal stability pact, developing the idea that the purpose of the pact is to preserve the autonomy of the European Central Bank. At the same time, econometric analysis of the solvency of EU government finances and the quality of fiscal forecasts by international agencies was also completed. Formal tests of solvency seem to show many EU governments to fail, although the implications of the numbers derived for the EU is not that government indebtedness is running away, but rather that it has stabilised at a positive value in relation to GDP. Further work was directed at the issue of what it would be like if the European Central Bank were to target inflation 'directly': computations were directed at three main issues – what the range of national inflation rates might be, consistent with a single 'European' rate; what measure of prices should be used; and how inflation should be measured. Preliminary conclusions are that the range of national rates of inflation could be quite high, due principally to differences in the relative coverage of traded and non-traded goods in national consumption baskets; that the CPI forms the most easily supported measure of prices; and that the ECB would be well-advised to popularise 'smoothed' measures of inflation, such as 'core inflation'. Another line of investigation was devoted to the identification of optimal currency areas using output-weighted bilateral trade intensities and business cycle cross-correlations as key identifiers; within the European group the availability of additional data made it possible to employ cluster analysis to detect the 'hard core' of the potential EMU. With RAMON MARIMON, MICHAEL ARTIS co-directed the 1996-7 European Forum on the topic of The Political Economy of European Integration.

GIUSEPPE BERTOLA joined the Department in September. His research analyses aggregate



labour market performances in the light of such institutional features as the ease with which employers can shed redundant labour, and the flexibility afforded by wagesetting mechanisms in the face of labour demand shocks. His current research projects in this field aim at a better understanding of the distributional effects of such features of the labour market. He is also working on the empirical implications of reserve requirements for the dynamic behaviour of money market interest rates. He and his co-authors are currently analysing US data and institutional arrangements, and intend to apply any relevant insights to assessing plans for the regulation of the Euro money market.

JAMES DOW is working on the economics of financial markets and information. He is developing models to deal with a variety of questions concerned with asymmetric information in financial markets, including: the relative efficiency of stock markets versus banks, considered as alternative economic systems to achieve economic tasks such as allocating investment funds and monitoring managerial performance; securities market innovation, is it always economically better to open a new financial market? What are the best markets to open?; the relationship between financial-market efficiency and economic efficiency: the role of accurate stock market prices in guiding investment policy or managerial decision-making. A new project with NATHALIE ROSSIENSKY of London Business School is designed to study problems of bank capital structure. This is an important practical issue in light of the debate on bank capital requirements (e.g. the minimum requirements laid down by the Basle Committee meeting). Existing research has not derived an analytical framework for evaluating the cost of bank capital and this research project is aimed at addressing this problem.

SØREN JOHANSEN is doing research on the statistical analysis of econometric models. The

motivation for the research is the presence of aggregated time series describing macroeconomic phenomena. The contribution is a better understanding of the econometric models that are used to describe the fluctuations of the data and to test economic hypotheses of interest. Some problems that have been investigated this year are the analysis of seasonally varying times series and the problems connected with multicointegration. New topics that have been taken up are those of common trends and their interpretation, the small sample properties of test statistics and the analysis of weak exogeneity. A workbook on cointegration with solved exercises has been completed.

RAMON MARIMON's project *Recursive Contracts and Adaptive Learning in Macroeconomics*, now in its fourth year, is nearing completion. He has written nine new articles (most of them with co-authors), and has worked towards the publication of two books as well as starting a number of new projects. Four of the papers relate to monetary theory and although they are theoretical, they have in common his interest in the study of how monetary policy should or may be conducted in the forthcoming EMU. MARIMON's interest in macroeconomic policies with adaptive learning has led to the study of how learning theory can help by explaining observed macroeconomic phenomena and, therefore, help designing economic policies. He has also written a theoretical paper on unemployment and social welfare reform, a policy-oriented paper on the same subject, and developed a new project in this area. Revision of his previous work on 'Recursive Contracts' generalizes the theory, widening the scope for its applications. Several of these applications are being pursued by MARIMON and his co-authors (e.g., to study 'Investment Theory with Financial Constraints'). MARIMON is also interested in studying of the problem of the delegation of economic policies to political institutions; in addition to revising the last version of a paper

on this subject, he has also started some related work, with V.V. CHARI and L. JONES, on this subject.

He co-directed with MICHAEL ARTIS the 1996-7 European Forum on The Political Economy of an Integrated Europe.

GRAYHAM MIZON has continued the analysis of the conditions needed to sustain the use of econometric models to assess the likely effects of alternative economic policies. During the last year three papers in this area have been written jointly with Professor HENDRY (University of Oxford). One is concerned with forecasting and economic policy analysis, and questions the validity of selecting the best forecasting model for use as a vehicle for economic policy analyses. It is shown that statistical devices (e.g. random walk models) with no causal basis can provide the best available forecasts, and yet be useless for evaluating the effects of changes in economic policy. Another paper assesses the role of response analysis in evaluating the effects on target variables, such as inflation and unemployment rates, of permanent and transitory changes in policy instruments, such as tax and interest rates. The third paper discusses the notion of Granger causality and its appearance in many distinct areas of econometrics. In fact, Granger causality turns out to be pervasive, and important at many stages of econometric modelling, without thereby entailing that it represents 'causality' in the sense of genuine influences actually present in the real world. In joint work with Dr. MARCELLINO (EUI and Boconni) MIZON has continued the study of the relationship between inflation and unemployment in the Italian economy, and analysed alternative ways of modelling regime shifts with an illustration in the context of wage-price and unemployment-inflation relationships in Italy, Poland, and the UK. During a visit to the Research School of Social Sciences, Australian National University in July and August Professor MIZON undertook research on further aspects

of economic policy analysis with Professor A.R. PAGAN.

SPYROS VASSILAKIS continued to work on organisational efficiency. In a new paper, *Managing Design Complexity to Improve on Cost, Quality, Variety and Time-to-Market Performance Variables*, he introduces a model of waste elimination through design. The paper argues that a large part of technological progress is the result of waste elimination activities and not frontier-shifting investment. It shows the importance of problem representation, division of design labour, and product architecture in achieving major improvements in cost, quality, variety and time-to-market performance variables. The paper's thesis is illustrated with a comparison of Toyota's technology strategy (based on waste elimination) to that of General Motors (based on frontier-shifting investment).

Academic Activities

The Department provides a series of taught courses in the first two years of the Ph.D. programme to which all the permanent faculty members contribute. During the first term of the academic year 1997/8, these contributions were supplemented by courses provided by part-time professors: ANTONIO VILLANACCI (University of Florence) taught the course in Microeconomics, ANDREW ROSE (University of California, Berkeley) provided a course in International Monetary Economics and ANDREA ICHINO supplied a course in Labour Economics.

In addition, the Department organises regular workshops, to at least one of which every student and faculty member is dedicated. These workshops – in Microeconomics, Macroeconomics and Econometrics – allow for the presentation of work-in-progress by both faculty members and students and by external speakers. There is a weekly Departmental Seminar at which external speakers



present papers. The Department also benefits from a seminar series in economic policy sponsored by the Robert Schuman Centre, organised by the Joint Chair, Professor ARTIS.

The Department has a Working Paper series to which some 35 additions were made during the year.

This year also saw the inaugural lecture in the European Investment Bank lecture series, given by MERVYN KING, Deputy Governor-Elect of the Bank of England.

Some nineteen Ph.D.s were successfully defended during the year.

Members of the Department were active during the year in presenting seminars and conference papers outside the Institute as well as in supporting professional activities through participation in the activities of academic journals and learned societies.

Individual Staff Activities

The following gives a few specific examples.

MICHAEL ARTIS continued as a Research Fellow on the International Economics Programme of the Centre for Economic Policy Research (CEPR) and acquired participation in a CEPR-led TMR group devoted to the study of the business cycle in Europe. With Professor MARIMON he co-directed the 1996-7 European Forum on The Political Economy of an Integrated Europe. He presented papers to the Royal Economic Society conference in Stoke-on-Trent (March), to the CEPR-CGES conference on Market Integration and Real Exchange Rates held in Georgetown (April) and to the Meetings of the Society for Economic Dynamics in Oxford (July); he also gave a seminar at the University of Århus, participated in a workshop of the CEC-DG II on Exchange Rate Policy for the Euro and acted as a discussant in a workshop organ-

ised by the Financial Markets Group of the National Institute of Economic and Social Research in London. He is associated with the editorial boards of The Manchester School, the Journal of Common Market Studies, the Open Economies Review, the Journal of Public Policy, the International Journal of Finance and Economics, the International Review of Applied Economics, and the Revue Française d'Economie.

GIUSEPPE BERTOLA is a Research Fellow of the CEPR and the NBER. Since joining the Institute he has given invited lectures at the 1997 meeting of the Società Italiana degli Economisti and at the ASSET 1997 conference, Marseille. He also spoke on Wages and Employment in a United Europe at the EIB Prize Conference at the Institute. He is an editorial board member of the Review of Economic Studies, European Economic Review, and Macroeconomic Dynamics, and Codirettore of *Giornale degli Economisti e Annali di Economia*.

JAMES DOW is a Research Fellow of the CEPR. He gave seminars at: University of Basel, University of Freiburg, CentER (University of Tilburg), Bank of Switzerland, INSEAD (Fontainebleau), Ecole des Hautes Etudes Commerciales (Jouy-en-Josas) and presented his work at the following conferences: CEPR Conference on Corporate Finance (Fontainebleau), CEPR/ESF Symposium in Financial Economics (Gerzensee), Bank of England Regulatory Policy (London), Zeitschrift für National Ökonomie Conference (Wallerfangen). He is on the editorial board of the Rand Journal of Economics, the Journal of Financial Markets, and Review of Economic Studies.

SØREN JOHANSEN gave the following lectures: Time Series Analysis of High Frequency Financial Data, (San Diego, April), 12-hour Ph.D. course in Trento in April, 'Dansk Økonometrisk Selskab' in Sandbjerg (May), 2-

hour Ph.D. course at ECAS in Escorial (September). He attended and presented his work at various conferences: Unemployment and Inflation in Economies in Transition in London (June), the ESEM Conference in Toulouse (August), Nuffield College, Oxford (November), EC2 Conference in Amsterdam (December). He is an associate editor of *Econometric Theory*.

RAMON MARIMON is a Research Fellow of the CEPR and NBER. He attended the 2nd HCM Conference Recent Developments in Business Cycle Theory and Economic Policy in Hydra, Greece (September), the International Economic Association Conference Trento, (September), the Society of Economic Dynamics Oxford, (July), the Economic Theory Meetings, Antalya, Turkey (June), the Monetary, Fiscal and Financial Implications of EMU, Florence (June), IGIER, Università Bocconi (February) and the 1997 Meeting of the American Economic Association, New Orleans (January). He was a program organizer of the 1996/7 European Forum on The Political Economy of an Integrated Europe (with M. ARTIS) and of the conference Political Economy of Economic Policy sponsored by the European Science Foundation and the European Forum (with G. ROLAND), held in Florence on 4-8 June, the 2nd HCM Conference Recent Developments in Business Cycle Theory and Economic Policy (program co-organizer with T. KOLLINTZAS et al.) Hydra, Greece (September). He served as a member of the group El Mercat de Treball, advising the Catalan Government on employment issues and is the co-Editor of the *Review of Economic Dynamics*, an Academic Press journal forthcoming in 1998 (joint with T. COOLEY, D. LEVINE, D. MORTENSEN, E. PRESCOTT and T. SARGENT).

GRAYHAM MIZON is a member of the board of advisors of the University of London; he is a research fellow of the CEPR; he is a member of the Econometric Society, Royal Economic Society and European Economic Association. He presented the following seminars: Lectures on Congruence, Encompassing and Exogeneity, Nordic PhD Workshop in Econometrics, University of Århus, (May); Inflation and Unemployment in Economies in Transition, ACE Project Conference, London Business School (June); Invited Speaker, Australian Meeting of the Econometric Society, Melbourne (July); Lecture on Recent Developments in Econometrics, Chinese Academy of Social Sciences, Beijing (August); Lectures on Econometrics, (with D.F. HENDRY), DG II, European Commission, Brussels (September); Workshop on The Demand for Money in Europe, Humboldt University, Berlin (October); Lectures on The Econometrics of Economic Policy, Norges Bank, Oslo (November). He made seminar presentations at the University of Southampton, Nuffield College, Oxford, University of Copenhagen, University of Sydney, Statistics Department, Australian National University, Melbourne University, Monash University, University of Technology Sydney, Economics Programme, RSSS, Australian National University, Trondheim University. His further activities include: Editor, with C.W.J. GRANGER (University of California, San Diego), of the series *Advanced Texts in Econometrics* for Oxford University Press (this series is now well established and contains 14 volumes which have been receiving very favourable reactions from the economics and econometrics profession); Associate Editor, *Econometric Reviews*; Guest Editor of Special Issue for the *Econometrics Journal* on The Use of Simulation Methods in Econometrics.



Department of Law

Staff Developments

Professor GIULIANO AMATO (University of Rome), President of the Italian Antitrust Authority, continued his affiliation with the Institute; his teaching and research activities focused on competition law. In January 1998 he took up a full-time Joint Chair with the Robert Schuman Centre. Professor LUÍS M^a DÍEZ-PICAZO left the Institute in October to join the Pompeu Fabra University in Barcelona. The recruitment of his successor is underway. Professor CAROL HARLOW (LSE), part-time professor during the academic year 1997/8, is providing assistance to those research students working on comparative public law. Professor KARL-HEINZ LADEUR (University of Hamburg) remained a part-time professor for 1997/8. The recruitment of his successor is also underway. In October, Professor PHILIP ALSTON succeeded Professor RENAUD DEHOUSSE as Head of Department.

The Department's Jean Monnet Fellows in 1996/7 were: Prof. LUIGI CONDORELLI (University of Geneva), Dr MICHELLE EVERSON (University of Bremen), Prof. MIGUEL REVENGA SANCHEZ (University of Jerez), Prof. MARCELLO STALTERI (University of Florence) and Prof. MARY LEE VOLCANSEK (Florida International University). The Department hosted as Visiting Fellows: M^a CRISTINA AQUILAR GONZÁLVIZ, University of Jerez, DAVID GREGORY, St. John's University, Jamaica, MICHAEL MCHUGH, High Court of Australia, GABY MEYER, Ministry of the Interior, Schleswig-Holstein, ROSA QUE-SADA SEGURA, University of Malaga, MICHAEL SCHÄFER, University of Amsterdam, HANS SCHÜTZ, University of Rostock, PRUDENCE TAYLOR, University of Auckland, JENS VEDSTED-

HANSEN, University of Århus and the Danish Centre for Human Rights, Copenhagen, ELLEN VOS, University of Bremen, RAYMOND WACKS, University of Hong Kong and within the EU's Exchange Programme with the University of Wisconsin, Prof. NEIL KOMESAR.

Research Activities

Professor PHILIP ALSTON's research is focused on three main areas. The first deals with the evolving theory and practice of supervision, or monitoring, by the international community of both treaty-based and customary law-based obligations of States in the post-Cold War world. The initial focus is on the human rights regime but this will subsequently expand to cover the environmental, arms control, economic and financial arenas. The second area concerns economic and social rights and the challenge of devising appropriate legal, administrative and political mechanisms by which to implement such rights. This work draws upon his work as Chairperson of the UN Committee on Economic, Social and Cultural Rights since 1991 and has a strong theoretical and practical emphasis. The third area in which he is directing a major study funded by the European Commission concerns the development of a human rights agenda for the EU for the new millennium.

Professor MARIE-JEANNE CAMPANA is studying the efficacy of securities in comparative law, focusing her activities specifically on moveable securities and on property used as collateral. It is in fact interesting to note that with the decline of traditional securities like the mortgage, the business world has more systematically turned towards securities regarded as more effective, such as property. She has also continued pursuing her research in the area of the European legislation on collective procedure or on bankruptcy.

Professor RENAUD DEHOUSSE's work on inter-governmental relations in the European Com-

munity has focused on the impact of integration over state structures. It tries to show that, although relations between states and the EC are treated as relations between unitary actors, integration has had a fragmenting effect on state structures, and resulted in the setting up of functional networks, bringing together institutions of a similar nature operating at national and at EC level. Work in 1997 concentrated on transnational administrative cooperation, be it in the framework of the comitology process or within European agencies.

Professor CLAUS-DIETER EHLERMANN is directing a series of annual research projects on key problems for European competition law. The objective is to identify the most important current issues of contention for competition policy, and to provide a forum in which top level experts (academics, policy makers and commercial practitioners) from around the world are brought together to exchange ideas and arguments on the questions raised, in such a way as to encourage development towards possible solutions or consensus. In 1997 this forum addressed the question of the objectives of competition policy.

Professor CHRISTIAN JOERGES continued his work on the project Social Regulation through European Committees: Institutional Politics, Law and Political Sciences. The project analyses the emergence of new governance structures in the field of social regulation and assesses the operation of comitology committees. It also tries to bridge the gap between legal and political perceptions of the phenomenon. The *European Law Journal* dedicated a special issue to the project; an edited book will be published in 1998. A second project on the Europeanisation of private law and the replacement of public by private governance structures was taken up in 1997.


The research of Professor YOTA KRAVARITOU is on law and emotional relations in Europe. The starting point is that legal rules forge emotion-

al bonds and create emotional links in the framework of the Nation-States, at several levels. This will be investigated by looking at how this has been done at national level, e.g. in the area of labour law and the Welfare State. In a second stage, the same question will be studied at EU level. The aim is to see on what conditions, including legal ones, it would be possible to develop an emotional bond between the Union and its population by redefining European citizenship. The second research area is the Europeanisation of collective bargaining. It analyses collective agreements in ten Member States and tries to assess the impact on Community initiatives and to compare the diversity of national models in formulating texts of agreements.

Professor MASSIMO LA TORRE is working on a project on citizenship with special emphasis on a new institution (European Citizenship) introduced by the Treaty on the European Union. His work, carried out with the European Forum on Citizenship which he co-directed with STEVEN LUKES and KLAUS EDER, focuses on the impact such a new institution and its conceptual implications could have on the legal and political order of the Union. He contributed to the meeting of the EC held in Coimbra on European Identity with a report on citizenship. A book *European Citizenship: An Institutional Challenge* is one first result of this project. Professor LA TORRE's research has also developed along two further lines: the elaboration of a general theory of law combining neo-institutionalism and a normative approach and work on the constitutional theory of the Weimar Republic around the thought of three main scholars, HERMANN HELLER, RUDOLF SMEND and LEONARD NELSON.

Professor SILVANA SCIARRA's research project on the interaction between national courts and the ECJ has moved into its intermediate phase with work on the investigation of all forms of dynamic interaction among Courts as well as between the courts and other insti-





tutional actors. A workshop in December examined all papers produced which will represent a basis for a conference in 1998, supported by the Commission through the Action Schuman, and in which judges from various European countries will participate.

Professor FRANCIS SNYDER'S research focuses on two areas, which increasingly overlap as a result of globalisation and the development of the WTO international trade regime. The first area analyses the developing principles of EU constitutional law, together with its basic socio-political constitutionalising processes and the emergence of an EU legal and constitutional culture. The second area is trade relations between the EU and China. This research seeks to understand when, how and why various formal and informal means are used to deal with, and if possible resolve, international trade disputes between the EU and China.

Joint Research Activities

Prof. SCIARRA coordinated the Distinguished Lectures of the Law Department project, which hosted Prof. EDUARDO GARCÍA DE ENTERRÍA, Prof. Emeritus of the Faculty of Law, Universidad Complutense, Madrid in February. Prof. SNYDER is the co-ordinator of the volume on The Europeanisation of Law, to which most present and past members of the Department contribute. It examines some of the ways in which European integration has affected legal systems, legal processes, and legal culture in the EU and its Member States.

Joint Activities With Outside Bodies

Prof. ALSTON organised a conference in Cambridge with the Research Centre on International Law, on the future of UN human rights treaty monitoring. Prof. SNYDER is carrying out a project on Legal Relations between the EU and China with Prof. SONG YING, Peking Uni-

versity. He is the coordinator of the Working Group on the Sociology of EU Law of the Research Committee on the Sociology of Law, under the aegis of the International Sociological Association. Prof. SNYDER is starting a project on Globalisation and the Law with Prof. TOM HELLER of Stanford University and colleagues from North America and Asia.

Conferences at the Institute

Members of the Department organized various conferences and workshops at the EU. Prof. ALSTON organized a conference on the EU and Human Rights as the first phase of a major project. Prof. CAMPANA organized three conferences at the Institute, on a comparative study of the property reserve clause, on the study of the convergence of ownership of credits or real assets to guarantee a debt, and on the attitude of States to businesses in difficulty and the extent to which State aids are compatible with European legislation. Profs EHLERMANN and AMATO organized the 1997 Schuman Centre Workshop on Competition Law. Prof. JOERGES held a Workshop on Private Governance, Democratic Constitutionalism and Supranationalism co-sponsored by the European Commission (COST A7 programme); he gave a paper at the RSC Conference on EC Agencies between Community Institutions and Constituents. Autonomy, Control and Accountability'. Prof. KRAVARITOU organised a Study Day on Love and Law: The Body of the Law. Prof. LA TORRE organized a conference on A European Framework for Bioethics? Prof. SCIARRA presented a paper at the workshop on Private Governance, Democratic Constitutionalism and Supranationalism organized by Prof. JOERGES and chaired the discussion on Political Theory and the Juridification of Social Commitments. She also gave a paper at the conference An EU Human Rights Agenda for the New Millennium at the Academy of European Law.

Conferences and Lectures Outside the Institute

Prof. ALSTON presented papers at the following conferences: at the University of Maastricht on responding to violations of economic and social rights; at the Université de Paris II-Panthéon on the future of international law; at the UN Convention on the Rights of the Child at the UNICEF International Child Development Centre in Florence; on the right to food organized in Geneva by the Food International Action Network; at the Arab Institute for Human Rights in Cairo on an international agenda for human rights research; at the University of Siena on the concept of the exhaustion of domestic remedies under the European Convention on Human Rights; and at the Annual Seminar for Diplomats in Salzburg on protection of human rights at the national level. He addressed the UN Commission on Human Rights in Geneva and the UN in New York at the launching of the 50th anniversary year of the Universal Declaration of Human Rights. Prof. CAMPANA spoke at the colloquium organised in Paris by the Association of Judicial Administrators on problems posed by claims on moveable objects in the context of collective procedures. She took part in the first congress organised by INSOL International in New Orleans on Understanding International Insolvency and Rescues, Bankruptcies and Credit Extensions and in the colloquium organised in Deauville by the Association Droit et Commerce on La vente éclatée. Prof. DEHOUSSE lectured at the University of Oslo, the Institut d'études politiques in Paris, at a workshop for the European Commission's Forward Studies Unit in Brussels, and at the University of Florence. Prof. EHLERMANN gave a number of papers at a conference of the European Lawyers' Association in Treviso, at a workshop organized by the authors of the so-called Munich draft of an International Competition Code at the College of Europe, Bruges, at the Annual Conference of the Academy of European Law in Trier, and at a

conference organized by the EC on the occasion of the attribution of the 1997 Karls-Prize to President ROMAN HERZOG. He also participated in a Workshop on competition law at the Donau University in Krems. Prof. JOERGES presented papers at the British-German Workshop at the Centre for European Law and Policy in Bremen, at a Comitology Seminar at the Europa-Institut der Universität Basel, at the Annual Conference of the Society of Public Teachers of Law at the University of Warwick, at the conferences on Compliance and Enforcement of Community Law at Utrecht University, on Features of Federalism, organized by the Italian Association of the Yale Law School Alumni at Yale in Siena, at a Roundtable on the Europeanisation of Private Law at the Katholieke Universiteit Brussel, and at the Joint European Parliament and EC Multidisciplinary Conference on Food Law and Food Policy in Brussels. Prof. KRAVARITOU participated in the following conferences and seminars: Women: Creators of Culture, Hellenic Association of American Studies, Thessaloniki; Labour in Europe at the End of the 20th Century, Thessaloniki; Social Dialogue in Europe, Trade Union Conference, Athens; Engendering European Academy, Arrabida, Portugal. Prof. LA TORRE presented papers at several conferences and workshops: Political Theory and Literature in the Weimar Republic, University of Salamanca; Legal Theory in the Weimar Republic, University of Murcia; Constitution and Poverty organized in Brno with the support of the Czech Constitutional Court, Multicultural Society and Democracy, Politische Akademie, Wien; European Citizenship, Autonomous University of Lisbon; Regional Studies at the Polytechnic University of Valencia; History of Concepts and Political Philosophy, Menéndez-Pelayo University of Valencia; European Citizenship, University of Münster. Prof. SCIARRA participated in the following conferences and public lectures: Oxford International Seminar for the presentation of the *liber amicorum* to Lord Wedderburn; Information,



Consultation, Workers' Involvement, a seminar for labour law graduate students at Oxford; *Il Lavoro Maggiore*, presentation of the book by PIETRO ICHINO *Il lavoro e il mercato*, in Florence; the Workshop on Labor and Social Justice in the Global Economy, University of Wisconsin; a CERI 'short course' on *Le Chapitre Sociale: théories et pratiques de la négociation collective*. Prof. SNYDER gave invited speeches at the Instituto de Estudos Europeus de Macau and at the Association International des Jeunes Avocats' annual meeting, Florence. He organised two panels and chaired a panel at the Annual Meeting of the Research Committee on the Sociology of Law, International Sociological Association, Antwerp. He presented a paper at the conference on Compliance and Enforcement of EC Law, Centre for the Enforcement of European Law, University of Utrecht. He acted as discussant at the conference *The Amsterdam Treaty and Flexible Integration*, European Institute of Public Administration, Maastricht. He was co-organiser of a Workshop on the Sociology of EU Law, International Institute for the Sociology of Law, Oñati. He gave lectures at the University of Hong Kong; European Studies Association of Hong Kong and Macau, hosted by the Hong Kong Baptist University; Centre for European Studies, Fudan University, Shanghai; Peking University; Law Institute and European Studies Institute of the Chinese Academy of Social Sciences; Universidad Carlos III, Madrid; Università di Firenze; Université Internationale des Eaux-de-Vie (Université de Paris V-Université de Poitiers), University College London, Syracuse University in Florence, and the College of Europe.

Other Relevant Activities

Prof. ALSTON was re-elected Chairman of the UN Committee on Economic, Social and Cultural Rights. He is Chairperson for 1997-8 of the Chairpersons of UN Human Rights Treaty Bodies. He is a member of the Executive Council of the International Council on

Human Rights Policy, established in Geneva in 1997. He continued to act as Co-Director, Academy of European Law, Florence, Editor of *the European Journal of International Law*. He is on the editorial boards of *Third World Quarterly*, *International Journal of Children's Rights*, *International Journal of Health and Human Rights*, *Human Rights Quarterly*, *Peace Review*, *University of Pennsylvania Human Rights Series*, *Federal Law Review*, *Berkeley Journal of International Law* and *the Australian Journal of Human Rights*. He is a Board Member of the Carter Center's International Human Rights Council (Atlanta), the International League for Human Rights (New York), and the Center for Economic and Social Rights (New York). Prof. CAMPANA is a member of the Association Droit et Commerce and of the AEPPC (European Association of Practitioners in Collective Procedures). Prof. DEHOUSSE has been a member of the Experts' Group of the European Commission for the preparation of the Intergovernmental Conference, and of the Scientific Committee of the Assembly of European Regions. He is Editor of the *European Journal of International Law*; member of the Editorial Board of the *European Law Journal*, and General Editor of *The European Foreign Policy Bulletin*. Prof. EHLERMANN continues to participate in the work of the Appellate Body of the WTO. He chaired the Annual Conference of the Academy of European Law in Trier on Closer Cooperation in the EU, and he co-chaired the session of the Salzburg Seminar on Europe on the eve of the year 2000. Prof. JOERGES is Co-Director of the Centre for European Law and Politics in Bremen and Co-Editor of the *European Law Journal*. Prof. KRAVARITOU is a member of the research group on Collective Bargaining and Equality of Opportunity in the EU organised by the Dublin Foundation; an associate member of the Institute of European Studies of the Free University of Brussels; a member of the Gender Inequality in the European Regions Network; of the Scientific Committee of the European Employment

and Industrial Relations Glossary; of the Association of Social Gender Relations in Europe; of the AOIFE Network and of Athena; and she is in charge of the Research Group on Law and Emotions in collaboration with the universities of London (Birkbeck), Salonika, Bologna and Paris VII. Prof. LA TORRE is a member of the editorial boards of *Revista de estudios autonómicos*, Valencia, *Debats* (Institució valenciana d'estudis i investigació) the series *Sociologia del diritto*, published by Franco Angeli, Milan and of the journal *Sociologia del diritto*. He is Associate Editor of *Ratio Juris* and was awarded the Book of the Year Prize by the Club of Italian Lawyers for his book *Disavventure del diritto soggettivo*. Prof. SCIARRA is a member of the Group of Experts for the EC on Workers' Involvement in Europe with Reference to the European Company Statute which produced the Davignon Report in May, and Coordinator of the Group of Experts for the EC on Individual Rights of Workers to be Consulted on Company Matters. She is also a member of the Consiglio italiano per le scienze sociali. She is General Editor of *Giornale di Diritto del Lavoro e di Relazioni Industriali*, member of the Editorial Board of *Comparative Labour Law Journal*, and co-Editor of the *European Law Journal*. She is a member of the Italian Association of Labour Law and Social Security, the Italian Industrial Relations Association (Steering Committee), the Italian Association of Comparative Law, and the Industrial Relations Research Association. Prof. SNYDER is Co-Director of the Academy of European Law, founding editor of the *European Law Journal* and co-editor of the *Modern Law Review*. He is a member of the editorial boards of *Review of African Political Economy*, of *Journal of Legal Pluralism* and of the series *Cambridge Studies in Law and Society* published by CUP. He is correspondent for *Sociologia del Diritto*, and for *International and Comparative Law Quarterly*. He is a member of the Scientific Consultative Board, LLM in 'Law in Action', and of the Research Board Commit-

tee of the European and Economic Law Research Centre, both Universidade Autonoma de Lisboa. He is a member of numerous academic and professional organisations, including the Massachusetts Bar Association, the Law and Society Association, and the Asia-Pacific Forum and the Academics Forum of the International Bar Association.

The Academy of European Law

(Directors: PHILIP ALSTON, RENAUD DEHOUSSE, FRANCIS SNYDER, JOSEPH H. H. WEILER)

The Academy held its Eighth Summer Session this year. For the first time the Session was conducted in two separate parts: a session on European Union law (23 June-4 July) and another on Human Rights Law (7 July-18 July). The 80 participants per course representing more than 35 nationalities were able to opt for one session or both. Among the leading academics from all over the world, the Academy hosted for its Distinguished Lectures President GIULIANO AMATO (Italian Antitrust Authority), President ANTONIO CASSESE (UN International Criminal Tribunal for the Former Yugoslavia), Judge DIETER GRIMM (Federal Constitutional Court, Germany) and Ambassador WILHELM HÖYNCK (Permanent Representative of Germany to the UN). The Academy received nine scholarships from the Council of Europe in order to favour participation from Central and Eastern Europe. The Academy also granted six scholarships to students from CEEC.



Department of Political and Social Sciences

Staff Developments

Professor RICHARD BREEN, professor of sociology at Queen's University, Belfast, joined the Department in October. The Department is currently recruiting a professor in Social and Political Theory to replace Professor ARPÁD SZAKOLCZAI who will leave in September 1998. Professor STEFANO BARTOLINI was appointed Head of Department for a second year.

The Department's Jean Monnet Fellows are: PHILIPP GENSCHER (Max-Planck-Institut, Köln), STATHIS KALYVAS (New York University), PETR LOM (Harvard University) and MAGNUS RYNER (University of Amsterdam). The Department hosted the following visiting fellows: MARTIN BULL (University of Salford), JANERIK and GULLAN GIDLUND (University of Umeå), TOM JOHANSEN (University of Trondheim), PETER MAIR (Leiden University), JOHN MATHEWS (University of New South Wales, Sydney), MARTIN SANCHEZ-JANKOWSKI (University of California, Berkeley), GUY STANDING (ILO Geneva), WOLF LINDER (University of Bern) LINDA WEISS (University of Sydney).

The research activities of Professors THOMAS RISSE and JAN ZIELONKA are reported under the Robert Schuman Center section.

Research Activities

During 1997 Professor STEFANO BARTOLINI carried over a new project concerning the domestic consequences of the processes of European integration. This inquiry into the consequence of Union's development for national political representation focuses on the problems of territorial differentiation and new

cleavage structuring within the EU nation states.

Professor RICHARD BREEN's recent research has sought to formulate theoretical models to explain some aspects of class, gender and ethnic stratification in contemporary societies. During the next few years, together with colleagues from a number of other countries, he intends to conduct comparative research on the relationship between life courses and welfare regimes in Europe and the USA.

Professor COLIN CROUCH completed work on two books. The first (written jointly with DAVID FINEGOLD and MARI SAKO) is *Are Skills the Answer? The Political Economy of Skill Creation in Advanced Industrial Countries* (OUP). It takes a critical look at systems of vocational education and training in France, Germany, Italy, Japan, Sweden, the UK and the USA. The second, which was supported by the Research Council of the Institute, is *The Structure of Western European Societies* (OUP 1998). This compares social change in 16 western European countries on a number of core themes, such as occupational and economic structure, family, religion, ethnicity, welfare state and organized interests, and class structure. In November the Research Council approved a preliminary grant for research on local economic development in certain districts of France, Italy, Germany and the United Kingdom. The research is a collaborative project with a number of other institutions.

Professor ADRIENNE HÉRITIER completed the project on *The European Polity: Capturing the 'Nature of the Beast' from the Policy Angle*. In fact, at the end of October the book manuscript entitled *Policy-making by Subterfuge: Interest Accommodation, Innovation and Democratic Legitimation in Europe* has been submitted to a publisher for review. The object of study of her new project *Public Goods and Multi-level Governance* is the provision of public goods under conditions of non-hierar-

chical governance. Pure public goods are defined as goods the access to which cannot be limited and the use of which is non-rival. Beyond this strict definition goods which are widely accessible for usage, however, are exhaustible in their use (common pool resources) are an object of study as well. The question of interest is: How are public goods provided which extend across national boundaries and which cannot be subject to hierarchical majoritarian decision-making and rely on national administrations for enforcement?

Professor CHRISTIAN JOPPKE is close to completing his project *Immigration and the Nation-State: A Comparison of the US, Germany, and Britain*. The first part of the related book manuscript compares immigration and asylum policies in the light of reconfigured state sovereignty. The second part compares immigrant integration in the light of reconfigured citizenship. The book will appear with Oxford University Press 1998/9. CHRISTIAN JOPPKE is co-director (with RENÉ LEBOUTTE from the History Department) of the 1997/8 European Forum on International Migrations.

Professor GIANFRANCO POGGI's research and writing during the year have continued to be centred on two overlapping themes: the state, and the relations between various forms of social power. Concerning the former, he has completed a lengthy article for the *Enciclopedia delle Scienze Sociali*, a multi-volume reference work published in Italy also with the collaboration of numerous foreign scholars. Concerning the latter, he completed, in Italian, a small book to be published in 1998 by Il Mulino under the title *Il gioco dei poteri*. He worked further on a longer manuscript on the same theme, to be published by Longman. Prof. POGGI has also begun work on a new project – a book on Emile Durkheim. Unfortunately, in April a minor research undertaking (interviewing TOM BURNS, Emeritus professor of the University of Edinburgh, on his role within British sociology since the 1950's)

could not be completed because of Burns's health conditions.

Professor PHILIPPE SCHMITTER concentrated most of his attention on completing the book: *Essaying the Consolidation of Democracy* and only two or three chapters need now polishing up. Also, with the research assistance of JÜRGEN GROTE, NICHOLAS GUILHOT and IMCO BROUWER, he has begun collecting documents, contacting sources and putting together the data-bank for the project on Democracy Promotion and Protection. Together with IMCO BROUWER he has received a grant from the United States Institute of Peace to extend the Democracy Promotion and Protection Project to include the Middle East and applications to other foundations have also been submitted in order to expand their research to Eastern Europe and Sub-Saharan Africa.

The work of Professor ARPÁD SZAKOLCZAI is mostly oriented to putting into a final form the research he has done during the past years. After finishing his book on Weber and Foucault, he is under contract to finish two books in 1998: one on Reflexive Historical Sociology for Routledge, which extends the Weber-Foucault project to Voegelin, Elias, Borkenau, Koselleck and Mumford; and one on The Birth of Sociology for Nuova Italia Scientifica, to be written together with GIOVANNA PROCACCI. He is also publishing a series of articles, both on theoretical issues and on the results obtained in Hungary for the Rokeach value test between 1977 and 1997. Finally, he has started work on a theoretically grounded historical project, related to the lasting impact of monasticism on Western culture and modern society.

Professor DANIEL VERDIER pursued his four-year-long research project on financial and banking structures in advanced industrial democracies – 17 countries from 1850 until the present. The goal of the project is to map the distributional conflicts between the various



economic actors active in capital markets and how they resort to politics to adjudicate their conflicts. The research has so far generated two articles and three papers, covering the origins of universal banking, capital flows under the gold standard, inter-war banking crises, and the rise and fall of state banking in the post-war era. The next and final step is to extend the study of capital flows to the present period, and investigate current changes in banking structures.

Joint Research Activities With Outside Participation

Prof. CROUCH's book on vocational training was written jointly with colleagues at the London School of Economics and Political Science and the University of Southern California. It was supported financially by the Centre for Economic Performance at the LSE. The project on local economic development in four European countries is part of an international network, including collaborators at the Max-Planck-Institut f. Gesellschaftswissenschaften, Köln, the Political Sciences Institute in Paris, and the University of Florence. Prof. HÉRITIER's project on European policy formation and its consequences upon the State in the framework of the Member States, which is financed by Leibniz-prize funds, is drawing to an end. Her seven research assistants, four of whom have been included in the regular EUI Ph.D. programme, have contributed to a volume which will be finished in the summer 1998. Prof. SZAKOLCZAI's research on the Rokeach test is carried out jointly with ELEMÉR HANKISS and LÁSZLÓ FÜSTÖS. Prof. VERDIER collaborated with DOUGLAS FORSYTH (Bowling Street State University) to organize a conference on the origins of universal banking.

Conferences at the Institute

Prof. CROUCH organized a workshop on the Political and Institutional Deficits of the Euro-

pean Integration Process within the framework of the European Forum on the Political Economy of an Integrated Europe (May). On 18 and 19 April, he organized a workshop on Is there a European social science? in the framework of the Institute's 20th anniversary celebrations. He also addressed the conference of the Associazione Nazionale Cooperativa di Consumatori on models of worker participation in Europe. Prof. SCHMITTER participated in the following conferences held at the EUI: Institutional Engineering in Eastern Europe; Politics of the European Union for Visiting Chinese Interpreters; Territorial Politics; The Political and Institutional Deficits of the European Union; European Identities; Regulation and Deregulation: Japan and Europe in the Global Economy.

Conferences Outside the Institute

Prof. BARTOLINI delivered papers at a conference on Structural and Behavioural Aspects of Democratic Development at the University of Haifa-Jerusalem; at a British Council Conference on the Italian Political System in Milan, at the conference on Europeanization and Domestic Structural Change at the Center for West European Studies, University of Pittsburgh; and the University of Bologna Conference on L'istituzione presidenziale. Caso francese e ipotesi italiana. Since joining the EUI in October Prof. BREEN has participated in a conference on Rational Action Theory in Social Analysis: Applications and New Developments held in Stockholm. Prof. CROUCH gave a keynote speech and a paper at a conference on Knowledge and Work organized by the Dutch Government on the Occasion of the Dutch EU Presidency, Amsterdam. He also made presentations at a conference of the Pontifical Academy of Social Sciences, Vatican City; at the conference on the future of industrial relations organized by the *British Journal of Industrial Relations*, London; at a conference on job creation at the International Graduate School of Management, Barce-

Iona. and at the Centro Studi della Storia del Lavoro, Imola. Prof. HÉRITIER participated in the following conferences: the final workshops of The European Policy Process: Human Capital Mobility Network (HCM) directed by Prof. JEREMY RICHARDSON (University of Warwick) Dublin; the European Community Studies Association (ECSA) annual conference, Seattle; the follow-up workshop of the DAAD conference on The Europeanization in International Perspective, Pittsburgh and the conference organized by Prof. RISSE on The Europeanization of Domestic Politics, Pittsburgh. Prof. JOPPKE presented papers at the following conferences: Citizenship, Immigration and Xenophobia in Europe: Comparative Perspectives, Wissenschaftszentrum Berlin, The Culture of Rights, German Historical Institute, Washington, D.C.; New Forms of Citizenship in the European Union, Goethe Institute and London School of Economics, London; Culture, Multiculturality and Multiculturalism, Institute for Migration and Ethnic Studies, University of Amsterdam, and gave an invited talk at the European Research Centre on Migration and Ethnic Relations (ERCOMER), Utrecht University. PROF. POGGI participated in the annual meeting of the British Sociological Association, held at the University of York; in the annual conference of the Istituto San Carlo of Modena and in an interdisciplinary conference on the study of culture at the University of British Columbia (Vancouver). Prof. SCHMITTER gave papers at the following workshops and conferences: Clientelist Politics and Interest Intermediation in Southern Europe at the ECPR, Bern; Economic Integration and Inequality in Europe and America, Copenhagen Business School; Pluralism, Lobbying and European Construction, Université Libre de Bruxelles; The Quality of Democracy in Latin America, Latin American Studies Association, Guadalajara, Mexico; Portugal, a Europa do Sul e a construção europeia ISCTE Lisbon; Social Europe, Fundação Calouste Gulbenkian, Lisbon; Civil

Dialogue on Fundamental Rights in the European Union, Institut für Höhere Studien, Wien; Flexibility and Enhanced Cooperation in the European Union, Cambridge University; Institutionalizing Horizontal Accountability, Vienna Dialogue on Democracy III; Governance, UNDP, New York; Explaining the Dutch Model, German Institute, University of Amsterdam; Power and Organization, German Sociological Association, Hamburg; Theories of Governance, Ross Priory Seminar, University of Strathclyde; German Political Science Association Annual Convention, Bamberg; Central European Changes: Social Processes and Strategies, Hungarian Academy of Sciences, National Strategic Research Programme; The European Union and the Threat to the Nation-State, Exposição '98 Conference; Social Policy in Europe, Friedrich Ebert Stiftung, Lisbon. Prof. SZAKOLCZAI presented papers at the Norbert Elias Centenary Conference, Bielefeld; the World Congress on Violence and Human Coexistence, Dublin and at the conference Central European Changes, organised by the Hungarian Academy of Sciences, Budapest. Prof. VERDIER presented a paper at the Annual Meeting of the International Studies Association in Toronto, and another at the Annual Meeting of the American Political Science Association in Washington, D.C.

Staff Lectures and Seminars at Other Institutions

Prof. CROUCH gave a number of lectures and courses of the Centre for European Industrial Relations, associated with the Institute, in Florence. Prof. HÉRITIER presented a paper to a summer school of PhD students and junior researchers at the University of Bologna and lectured at the Syracuse University in Italy Program. She participated in a meeting for a joint book project organized by Profs. JAMES CAPORASO (Washington University), WAYNE SANDHOLTZ (University of California, Irvine) and ALEC STONE (University of California,



Irvine) in Laguna Beach. Prof. POGGI has continued to contribute to teaching activities in various units of the University of Florence; in particular, in the Faculty of Law, the Faculty of Philosophy and the Faculty of Political Sciences. He also lectured at the Universities of Siena and Milan. He gave a talk on Cultural Pluralism: The UK Experience in the context of a series of talks on Britain and Europe organized by the Associazione Italo-Britannica of Bologna. He also lectured at the University of Virginia (Charlottesville) and he gave a series of lectures in the Department of Anthropology and Sociology, University of British Columbia (Vancouver). Prof. SCHMITTER gave lectures at the Universitat Autònoma de Barcelona, Central European University in Budapest, CEPEL, Université de Montpellier; FU Berlin; Humboldt University, Berlin; The European University at St. Petersburg (two weeks); University of Konstanz; Wirtschaftsuniversität Wien; DUPI, Copenhagen; CORE Seminar, Institut for Statskundskab, Copenhagen; University of Lubjana; Oxford University; University of Reading; University of Szombathely; Stanford University, Cellule de Prospective, European Commission.

Other Relevant Activities

Prof. BARTOLINI was appointed member of the International Scientific Board of the journal *Acta Politica*. He is also Member of the Scientific Advisory Board of the Mannheimer Zentrum für Europäische Sozialforschung. Prof. BREEN is a member of editorial board of *European Sociological Review*, and a member of the executive committee of the International Sociological Association Research Committee 28 (Social Stratification and Mobility), 1994 to date. He is also an Associate Member of Nuffield College, Oxford.

Prof. CROUCH was appointed an external scientific member of the MPI für Gesellschaftsforschung, Köln. He had audience with His Holiness the Pope on the occasion of the

conference of the Pontifical Academy of Social Sciences. He continued to serve as a Delegate to Oxford University Press, and as a member of the editorial boards of *The Political Quarterly*, *Stato e Mercato*, the *British Journal of Sociology*, and the *European Journal of Industrial Relations*.

Prof. HÉRITIER is member of the Berlin Brandenburgische Akademie der Wissenschaften and Member of the German Council for Environmental Studies (Rat von Sachverständigen für Umweltfragen).

Prof. JOPPKE is co-director (with RENÉ LEBOUTTE from the History Department) of the 1997/98 European Forum on International Migrations

Prof. POGGI joined the editorial board of the *European Journal of Social Theory* published by Sage. He reviewed manuscripts for Routledge (London), the Wilson Center (Washington, DC), and Il Mulino (Bologna). He re-activated his long-standing membership in the editorial boards of the *Rassegna Italiana di Sociologia* and of *Intersezioni*. He continued to take part in the activities of the Associazione di Politica e Cultura Il Mulino.

In addition to the participation on the editorial boards of *The Journal of Democracy*, *La Revue Internationale de Politique Comparée*, *Stato e Mercato*, the *Swiss Political Science Review*, *Politiques et Sociétés* (Quebec) and *Pole Sud* (Montpellier), Prof. SCHMITTER continues to participate in various research committees and working groups of the International Political Science Association, the ECPR and the Centre d'Etudes de Politiques Publiques in Montpellier. In 1997, he was named *Honorarprofessor* at the Universität Konstanz and member of the advisory committee of the Instituto de Ciências Sociais da Universidade de Lisboa.

The Robert Schuman Centre

Staff Developments

Professor THOMAS RISSE joined the Centre at the beginning of 1997 as a Joint Chair in International Relations. He directs two major research projects which are both funded by the German Research Association (*DFG*). The first project investigates the impact of international human rights norms on domestic change in several Third World countries. The second project examines the Europeanization of collective national identities in the United Kingdom, France, and Germany from the 1950s onwards. In January 1998, the Centre welcomed Professor GIULIANO AMATO from the Italian Anti-Trust Authority and former Prime Minister of Italy to the first Joint-Chair with the Law Department and its fifth Joint Chair. His principal research project of is entitled *The Ebb of Regulation of Economic Activities. Deregulation, Re-regulation, Types of Regulation and Regulatory Levels*.

Research Activities

The research activities of the Centre are organised in three major issues:

1. What is Europe?

EC Decision-making Efficiency

This project, directed by Professor RENAUD DEHOUSSE in collaboration with Dr. JONATHAN GOLUB, represents the first systematic effort to assess EC decision-making efficiency both prior to and after the institutional reforms of 1987, and test the effects of extending the shadow of the vote. A report has been prepared on the basis of comprehensive data for all EC directives proposed since 1974.

European Agencies

This comparative project examines the ten new European agencies established as part of the EU's institutional structure since 1993. For the second year, the RSC organised a conference with the Directors of these agencies, EU officials, parliamentarians, and academics on the topic of how to strike a balance between the EU agencies' need to be autonomous, efficient and credible, on the one hand, and accountable on the other.

Citizens' Participation in the EU

This project is concerned with the large abstention rate at the elections to the European Parliament and is supported by a grant from both the European Commission and the European Parliament. The project directors are Profs JEAN BLONDEL, RICHARD SINNOTT (University College Dublin) and PALLE SVENSSON (University of Århus). A conference discussing the results of the project took place at the EU in April and a book will be published by OUP under the title *People and Parliament in the European Union*.

Eastern Europe

Professor JAN ZIELONKA'S research focused on democratic consolidation in Eastern Europe with a special emphasis on constitutional factors. This interdisciplinary project carried out with a research team of the Institute for Constitutional and Legislative Policy of the Central European University in Budapest and the Chicago Law School analyses institutional developments in 14 post-communist democracies. It consists of three parts: 1. Institutional Engineering, 2. Civil Society, and 3. External Factors. In collaboration with academics from the LSE, Stiftung Wissenschaft und Politik, the Autonomous University of Barcelona and other institutions he continued work on the project *Common Foreign and Security Policy of the EU*. He completed two books on the subject. Moreover, he started a large research project on the *Eastern Enlargement of the European Union* funded by the Austrian



Ministry of Transport and Science and coordinated jointly with the University of Graz. In the first year the project which involves more than forty researchers from both Eastern and Western Europe concentrated on the Czech Republic and Slovakia.

2. Social and Political Problems of Europe

The Programme in Economic Policy under the direction of Professor MICHAEL ARTIS promotes discussion and research in areas of economics with policy significance. In particular, the programme has hosted a series of conferences on the European unemployment problem which were integrated into the activities of the European Forum in 1996/7, whilst later in the year a conference was convened to discuss economic policy in EMU.

European Unemployment

Unemployment is the single most important problem for economic policy in Europe today. The programme has accordingly devoted a large slice of its resources to tackling this topic. DG V has contributed to the financing of three successive conferences on this issue. The opening conference aimed at an overview of the topic, whilst the second and third concentrated respectively on the macroeconomic and microeconomic aspects of the problem. For 1997/8 an entire seminar series is being so dedicated with the aim of providing a definitive book on the topic. A research paper analyzing the potential for wage subsidies to deal with unemployment was developed at the Centre and published in a learned journal. Furthermore, a policy paper from Prof. FRITZ W. SCHARPF has been published entitled *Combating Unemployment in Continental Europe. Policy Options under Internationalization*.

Other Issues in Economic Policy and Further Research

The programme seeks to consider other major issues in economic policy, especially

the issues that arise in the reform of the Welfare State. Professor ARTIS has continued a programme of personal research, funded by the EUI's Research Council, the Leverhulme Trust, the Foreign and Commonwealth Office and the Schuman Centre, which has produced a number of publications. The OECD published the results of some earlier work and has agreed to provide a detailed and extensive database to facilitate further analysis. The UK Foreign and Commonwealth Office has also provided funding to facilitate Professor ARTIS's participation in the work of the European Financial Markets Programme based at the National Institute of Economic and Social Research in London.

The Transformation of the Idea of a Full Employment to Flexibility Discourse

This new research, directed by Professor BO STRÅTH, focuses not on the unemployment issue *per se* but on the conceptual and interpretive adjustment of societies in Europe to a dramatic structural change in labour markets. In the first phase, different European adjustment patterns will be analyzed and related to one another. In the second phase, the focus will be on discerning a European common and relate this European conception of flexibility to the US, where the concept of flexibility has a specific role in the organization of labour markets. A first conference was held in March, where a network group of researchers from Britain, France, Germany, Italy, Sweden and Denmark was constituted.

Competition Policy

The project directed by CLAUS-DIETER EHLER-MANN intends to provide a systematic examination of the contribution of competition rules as a tool to help accomplish the objectives of de-monopolization. It is a logical next step following work conducted over the past two years, placing Community competition rules in a global context. A conference was held, attended by high level officials from the world's major competition enforcement autho-

rities with 'federal' systems, judges with expertise in competition law, renowned scholars and private practitioners specializing in competition issues. In the second year the project addressed the issue of the legitimate objectives of competition law. A conference volume is in preparation and is being published by Hart Publishing (Oxford).

Welfare States

The Schuman Centre is promoting an active programme of research on European welfare states directed by Dr MARTIN RHODES which, from September 1998, will be run in close collaboration with the European Forum whose 1998-9 programme, directed by Professor MAURIZIO FERRERA (University of Pavia and Bocconi University of Milan), will be on *Recasting the European Welfare State: Options, Constraints, Actors*.

Globalization, Employment and Social Policy in the EU

This study, under the direction of Dr. MARTIN RHODES has been undertaken under the auspices and with the financial support of DG V. Globalization refers to the increasingly tight economic integration that results from international flows of goods, services, capital, people and ideas (including technologies). This changes both the need for employment and social policy action and the capacity to deliver such action. This study presents hypotheses about the impact of globalization on the employment and social policy environment in the EU and establishes the extent to which they are supported by empirical evidence. The result has been an internal report for the European Commission.

Changing Patterns of the Life Course

This project directed by Professors GØSTA ESPING-ANDERSEN (University of Trento), KARL ULRICH MAYER (Max-Planck-Institute, Berlin) and JOHN MYLES (Florida State University) comparatively examines how social and labour market policies together with institu-

tional factors influence the changing life cycle risk patterns. 1997 was dedicated to the development of the research design and the preparation of data bases from the Danish IDA longitudinal data base, the German Socio-Economic Panel and the US National Longitudinal Study of Youth. The project is now under the direction of Professor RICHARD BREEN, recently appointed at the SPS Department.

The Implementation of European Environmental Policy

The project on the implementation of EU environmental legislation in five Member States is co-ordinated by Dr. CHRISTOPH KNILL (EUI and University of Bielefeld) and co-funded by DG XI and the EUI. It investigated to what extent the effective implementation of EU environmental legislation in the Member States is influenced by national administrative traditions. EU legislation under special investigation include the Large Combustion Plant Directive, the Drinking Water Directive, the Access to Environmental Information Directive and the Eco-Audit Regulation. A preliminary research report was presented to the European Commission in May.

3. Europe and the World

International Relations

The research programme on *Ideas, Institutions and Domestic Change* directed by Professor THOMAS RISSE deals with the impact of principled ideas embedded in international institutions, on domestic change. The project consists of two empirical parts. The first part investigates the impact of *human rights norms* on domestic change in various Third World countries, particularly the role of domestic-transnational-international networks among NGOs, INGOs, states, and international organizations in bringing about such change. The second part examines the impact of beliefs about Europe and European integration on Europeanization processes, in



particular the domestic discourses within and among political parties in Great Britain, France, and Germany. The project on European identity formation is linked to another collaborative effort on *Europeanization and Domestic Change* bringing together European and American scholars under the joint directorship of JAMES CAPORASO (University of Washington), MARIA GREEN COWLES (University of North Carolina), and THOMAS RISSE.

Japan and Europe in the Global Economy
Following a close collaboration with the Japan Foundation, this new programme is directed by Dr. MARTIN RHODES. The first major conference on Japan and Europe, held in November, focused on issues of regulation and deregulation across a wide range of sectors, including telecommunications, air transport, labour market, welfare states, corporate governance and financial market.

Support to Working Groups

The Centre supports Working Groups coordinated by researchers of the Institute, such as the Working Groups on the Environment, on International Relations, on Central and Eastern Europe, on Gender, on Territorial Politics and on Telecommunications and Information Society.

Jean Monnet Fellows and Visiting Fellows

The Centre each year invites Fellows to come for periods of between six months and two years. The Jean Monnet Fellows currently attached to the Centre are: Dr BURKARD EBERLEIN (Universität Konstanz), Dr WALTER MATTLI (Columbia University), Dr PIERRE MULLER (Fondation nationale des sciences politiques, Paris); Dr CLAUDIO RADAELLI (University of Bradford), Dr VERONIKA TACKE (Universität Bielefeld) and Dr ANTJE WIENER (University of Sussex). Visiting Fellows join the Centre for shorter periods. In 1997, they included: Prof.

FILIPPO ANDREATA (Università di Bologna), Prof. ANN SHOLA ORLOFF (University of Wisconsin-Madison), MANFRED RIST (Correspondent for *Neue Zürcher Zeitung* in Brussels), Dr. WISLA SURAZSKA (University of Bergen), Dr. GABRIELE TONDL (Research Institute for European Affairs, Wien).

Jean Monnet Chair Visitors

The Centre also hosts eminent figures from the academic, business or political world for brief periods to give seminars under the aegis of the Jean Monnet Chair. In 1997 the visitors were: LUCIEN CHABASON (UN Environment Programme, Athens); Prof. THOMAS C. HELLER (Institute for International Studies, Stanford Law School); Prof. LESLIE HOLMES (University of Melbourne); LUDWIG KRÄMER (Head of Unit, Waste Management Policy, EC); HORST KRENZLER (Special Advisor to the EC); Prof. JOSÉ MARÍA MARAVALL (Instituto Juan March de Estudios e Investigaciones, Madrid); Prof. PAUL PIERSON (Center for European Studies, University of Harvard); NICOLE QUESTIAUX (Former Minister in France, Member of the 'Conseil d'Etat'); Prof. ECKARD REHBINDER (Universität Frankfurt a.M.); Prof. PAUL A. SABATIER (Division of Environmental Studies, University of California); Prof. ALBERTA SBRAGIA (University of Pittsburgh); Prof. MARTIN SHAPIRO (School of Law, University of California); Prof. FRITZ SCHARPF (Director of the Max-Planck-Institut f. Gesellschaftsforschung, Köln); KARL V. WOGAU (EP, Chairman, Committee on Economic and Monetary Affairs and Industrial Policy, Brussels).

Major Conferences and Workshops

The RSC held a number of conferences and workshops, organised in part with members of the Institute and with external collaboration from European Institutions: J. ZIELONKA, *Institutional Engineering in Eastern Europe*; Y. MÉNY and D. WEBBER, *The Franco-German*

Relationship in the European Union: The Hard, the Rotting or the Non-Existent Core?; T. RISSE and J. CAPORASO, *Europeanization and Domestic Change*; Y. MÉNY and A. KREHER, *The New European Agencies*; K.-U. MAYER, J. MYLES and G. ESPING-ANDERSEN, *Political Economies and the Life Course in Advanced Societies*; C. KNILL and A. LENSCHOW, *Implementation of EU Environmental Policy*, M. ARTIS, *Unemployment: Micro-economic Aspects*; L. HOOGHE and P. LE GALÈS, *Dynamics of Territorial Politics*; WG International Relations, *Globalisation: Myth or Reality?*; J. BLONDEL, *The People, the Parliament and European Integration*; J. ZIELONKA and H. G. KRENZLER, *The EU and Central-East Europe: the Implications of Enlargement in Stages*; Y. MÉNY, *European Construction and the Commonwealth of Independent States*; C.-D. EHLERMANN, *Competition Workshop*; J. ZIELONKA, *Enlargement of the EU: The Case of Czech Republic and Slovakia*; J. ZIELONKA and H. G. KRENZLER, *The Geo-Political Implications of the EU Eastward Enlargement*; Working Group on Telecommunications, *The Aftermath of Liberalization: Multi-Level Governance in the Regulation of Telecommunications*; B. STRÄTH and L. PASSERINI, *From 1968 to the Turn of the Millennium: Italy, Sweden and Germany in Comparison*; T. RISSE, *Theoretical and Methodological Problems in Studying European Identity*; M. RHODES, *Regulation and Deregulation: Japan and Europe in the Global Economy*; M. ARTIS, *Economic Policy in EMU: Opportunities and Challenges*

In 1997, the Centre published more than 70 working papers, 6 Jean Monnet Chair Papers and 4 Policy Papers.

Additional Staff Activities

Prof. ARTIS with Prof. RAMON MARIMON (Economics), co-directed the 1996/7 European Forum on *The Political Economy of an Integrated Europe*. A major conference *Unem-*

ployment: micro-economic aspects was held, with the support of DG V, and an intensive workshop on *Economic Policy in EMU: Opportunities and Challenges*, with the support of DG II. Outside the Institute, Prof. ARTIS contributed to the Royal Economic Society Conference in Stoke-on-Trent, UK, to a CEPR conference held in Georgetown, to the Meetings of the Society for Economic Dynamics in Oxford and to a conference organized by the Financial Markets group of the NIESR in London. He participated in a DG II workshop on the question of a policy for the external value of the Euro and gave a seminar at the University of Aarhus. He also acquired participation in a CEPR-led TMR group devoted to the study of the business cycle in Europe. In September, he became Head of the Department of Economics.

Prof. MÉNY presented papers at colloques on *A quoi servent les hommes politiques at Paris-Sorbonne?*, at the meeting *Tribute to Emile Noël* at the South Bank University of London, and delivered the key note-address at the German Political Science Association in Bamberg. He is the author of a series on *Démocratie locale et démocratie européenne* in a Franco-American television programme on *Démocratie, Democracy* directed by ROBERT DARNTON and OLIVIER DUHAMEL. He continues to chair the 'groupe de prospective sur les institutions' set up by the DATAR in Paris and was appointed member of the scientific boards of the Institut de recherche européenne sur les institutions et les marchés, Rennes and the Centro interdipartimentale di ricerca sul cambiamento politico, University of Siena.

Prof. RISSE presented papers and chaired sessions at a workshop on human rights in Jackson, Wyoming, at the annual meeting of the International Studies Association in Toronto, at the annual convention of the American Political Science Association, Washington DC, at the congress of the German Political



Science Association in Bamberg, and at the workshop on Europeanization and Domestic Change, University of Pittsburgh. He gave lectures at McGill University, l'Université de Montréal, Cornell University, Columbia University, Georgetown University, Oslo University, and at the Università di Siena. He is member of the editorial board of the journals *International Organization*, *European Journal of International Relations* and *Zeitschrift für Internationale Beziehungen*. He also serves on the executive committee of the International Politics section of the German Political Science Association.

Prof. STRATH organised the following conferences: The Transformation of the Idea of Full Employment to the Flexibility Discourse; The American Welfare State from the New Deal to the Great Society with a View on the Present (with A. MILWARD and F. ROMERO); Communities in Peril: The Memory and History of Crisis in Scandinavia and Germany (with N. WITOSZEK); The Cultural Construction of Norden (at Kalmar in Sweden); From 1968 to the Turn of the Millennium: Italy, Sweden and Germany in Comparison (with L. PASSERINI). He participated at a conference in Berlin on Gemeinschaft/Community/Gemenskap, at the EUI on Between Propaganda and Cultural Hegemony. American Opinion Management 1945-1968, at Lund, on Management and Industrial Leadership in Norden 1880-1960 and at the EUI on The Memory of Holocaust. He was discussant in the concluding panel at the conference organised by the International Commission on the History and Theory of Historiography in Budapest on Periodisation in History and Historiography. An Intercultural Comparison. He also gave lectures at the University of Lund, at Oviedo and at Vaggeryd. He became a member of the International Committee for Social History and Associate editor

of *International Contributions to Labour Studies*.

Prof. ZIELONKA presented papers at the conference The Role of Central European Parliaments in the Process of European Integration, Prague; at a Wilton Park Conference on How Can Europeans Best Maintain European Security? The Future Development of a Common Foreign and Security Policy; at a Pieter A. Fisher European Study Seminar organized by the Council on Foreign Relations in New York. He also contributed to the following conferences: Democratic Politics: the Agenda of the Future, Institute for Human Sciences in Vienna; Preventing Violent Conflicts in Europe organized in Rome by the Istituto Affari Internazionali and the Stiftung Wissenschaft und Politik; Germany and its Neighbours, organized by the Duitsland Instituut of the University of Amsterdam. He gave lectures and seminars at St. Antony's College Oxford, at the LSE, at the University of Pisa, the Universities of Warsaw, at the EUI Summer School of University College, Dublin, at Syracuse University in Florence, at the University of Berkeley, Irvine and Los Angeles and at Columbia University, New York. Prof. ZIELONKA is a member of the editorial boards of *Acta Politica* and the *Journal of European Integration*. He is also a board member of the Locatelli Foundation.

Dr. RHODES presented papers at conferences on Globalization and Employment at the University of Manchester; France, Germany and the EU at the EUI; Unemployment's Effects: Southern Europe in Context at Princeton University; at the ECPR conference in Bern; the European Community Studies Association conference in Seattle and at the University of California at Irvine.

European Forum

This year the activities conducted and coordinated within the Forum comprised:

The completion of the 1996-7 Forum on *The Political Economy of an Integrated Europe*, directed by Professors MICHAEL ARTIS and RAMON MARIMON (Department of Economics).

The beginning of the 1997-8 Forum on *International Migration: Geography, Politics and Culture in Europe and Beyond*, directed by Professors CHRISTIAN JOPPKE (Department of Political and Social Sciences) and RENÉ LEBOUTTE (Department of History and Civilization)

The selection of fellows and the preparation of the programme for 1998-9 Forum on *Recasting the Welfare State: Options, Constraints and Perspectives*, directed by Professor MAURIZIO FERRERA and Dr MARTIN RHODES.

The launching of the 1999-2000 Forum on *Europeanisation and Domestic Politics: Consequences for National Identities and National Political Representation*, directed by Professors STEFANO BARTOLINI, THOMAS RISSE and Bo STRÅTH.

The Political Economy of an Integrated Europe

Under this theme, the following Forum Fellows spent the year at the Convento: ANA CASTAÑEDA (Universidad Carlos III de Madrid), GIORGIA GIOVANNETTI (Università di Firenze), ZENON KONTOLEMIS (University of Manchester), GABRIELE TONDL (Wirtschaftsuniversität, Wien), PASCAL SCIARINI (Université de Genève), AMY VERDUN (University of Essex),

FABRIZIO ZILIBOTTI (Universitat Pompeu Fabra). The following guests visited the Forum for shorter periods: DARON ACEMOGLU (Massachusetts Institute of Technology), MICHELE BOLDRIN (Universidad Carlos III de Madrid), FABIO CANOVA (Universitat Pompeu Fabra), JOSEP M. COLOMER (IESA-CSIC, Barcelona), CHRISTOPH CROMBEZ (University of Leuven), BARRY J. EICHENGREEN (University of California, Berkeley), LARRY JONES (Northwestern University), TRYPHON KOLLINTZAS (Athens University of Economics and Business), KENNETH KOLLMAN (University of Michigan), PAUL L. LEVINE (University of Surrey), ALBERT MARCET (Universitat Pompeu Fabra), WALTER MATTLI (Columbia University), JACQUES MÉLITZ (INSEE-CREST, Paris), ROGER MYERSON (Northwestern University), SERGIO REBELO (University of Rochester), JOHN E. ROEMER (University of California, Davis), ALASDAIR SMITH (University of Sussex), CHRISTOPHER T. TAYLOR (National Institute of Economic and Social Research, London), PEDRO TELES (Banco de Portugal, DEE).

As major activities, three conferences were organised on *Microeconomic Policy Interventions towards Unemployment* in April (in collaboration with the Robert Schuman Centre and organised by Professor MICHAEL ARTIS), on *European Integration: The Political and Institutional Deficits* in May (in collaboration with the Department of Political and Social Sciences, and organised by Professor COLIN CROUCH) and as a major closing event, on *The Political Economy of the European Union* in June (co-sponsored by the European Science Foundation and organised by RAMON MARIMON and G. ROLAND (European Centre for Advanced Research in Economics)). The topics of this final conference included specific EU topics, such as Analysis of Voting and Institutional Procedures as described in the Maastricht Treaty, and the subsequent work of the 1996 IGC Conference, to more general issues such as Economic Efficiency and Multigovernment Representative Democra-



cies or Coalition Formation Within a Union. Furthermore the Forum held its weekly research seminar and from January to June a seminar series on *European Unemployment* organised by MICHAEL ARTIS and RAMON MARIMON, and sponsored by the Robert Schuman Centre.

International Migration: Geography, Politics and Culture in Europe and Beyond

The members of the Forum include VALÉRIE AMIRAUX (Institut d'études politiques, Paris), MARIA IOANNIS BAGANHA (Universidade de Coimbra), MARTIN BALDWIN EDWARDS (The Queen's University, Belfast), ROLAND BANK (Max-Planck-Institut, Freiburg), MICHAEL BOMMES (Universität Osnabrück), JOSEF EHMER (Universität Salzburg), ANDREW GEDDES (University of Liverpool), VIRGINIE GUIRAUDON (Harvard University), NICOLE MALPAS (Université catholique de Louvain), EVA MORAWSKA (University of Pennsylvania), BRUNO RAMIREZ (Université de Montréal), YASEMIN SOYSAL (Harvard University), and PATRICK WEIL (CNRS, Lyon).

This Forum is articulated around three thematic clusters, each of which is open to a whole variety of disciplinary perspectives: (1) the phenomenology and history of migratory movements; (2) migrations in the modern state system; and (3) the integration of immigrants in the receiving societies. In the first part of the term the Forum seminar concentrated on Migration in a Historical Perspective and Migration Control.

A conference on *International Migration in an Historical Perspective* has been organised by Professor RENÉ LEBOUTTE. The aim of this conference was to place the problems of

modern-day migrations in their historical context: the origins of migration flows, control on migrations, the integration of the migrants, trajectories and personalities of the migrant. The participants discussed the phenomenon of mass migrations at the end of the 19th century, as they occurred in both the context of the working market and the affirmation of nationalities. During the conference, European Forum Fellows MARIA BAGANHA, JOSEF EHMER, EVA MORAWSKA, and BRUNO RAMIREZ presented their work on four connecting themes: Mass Migrations in Europe from 1850 to 1945, a Unique Phenomenon?; Ethnicity and National Identity of Mass Migrations in the Context of the Affirmation of Nation-States in the 19th and early 20th Century; Migration Policies; Personalities and Migrant Strategies.

During the weekly seminars on *Migrants and the State, then and now* two themes have so far emerged. The first one is the need for comparative historical analysis to understand what is really new in the forms of migration and their motives that one observes in the contemporary era (e.g., pendular, forced, feminine migration patterns). Historical comparisons also imply to see the evolution of the dynamics between migration, economics, and state policies. The second theme regards migration control. Governments face judicial constraints in trying to prevent unsolicited migration flows (CHRISTIAN JOPPKE, VIRGINIE GUIRAUDON). At the same time they are incorporating new actors such as neighbouring countries or private companies to act as buffer mechanisms (GALLYA LAHAV), and increasing intergovernmental cooperation (MARTIN BALDWIN-EDWARDS, ROLAND BANK). New control strategies are therefore put into place yet the question remains as to their efficacy and to the consequences of stringent flow policy on the growth of illegal migration.

Research Student Developments

Applications and Admissions

With respect to last year, the number of applications received for admission in September 1997 increased slightly, from 1,567 to 1,587, with the figures for the 12 long-standing Member States up by a fraction to 1,350 applications. For the new Member States (Austria, Finland and Sweden) and Associate Members (Norway and Switzerland), an increase of about one third was registered. The number of national grants available for first-year admissions for 1997-8, including those from the new EU and associate members, was set at 125. The ratio of applicants to admissions was thus some 13 to 1.

Applications from certain countries were not only numerous compared to the number of scholarships available but, more importantly, of very high standard, so that drawing up a short-list of suitably qualified candidates proved very difficult. In fact, after the first screening stage, some 280 candidates from the Member/Associate State countries were short-listed and interviewed at the EUI, and by September 1997, with 10 or so further grants made available by private and public foundations (e.g. European Investment Bank, Vasco da Gama Foundation, Fulbright Commission), 133 new research students were able to take up studies at the Institute.

Funds for students from Central and Eastern Europe again proved difficult to procure, but 7 students from C&EE states registered in September, with scholarships mainly from private foundations. In this respect, the EUI is very grateful to the national authorities of Luxembourg who awarded a grant to a Bulgarian



New research students 1997/98 with the President



student to carry out doctoral studies in the Department of Political and Social Sciences.

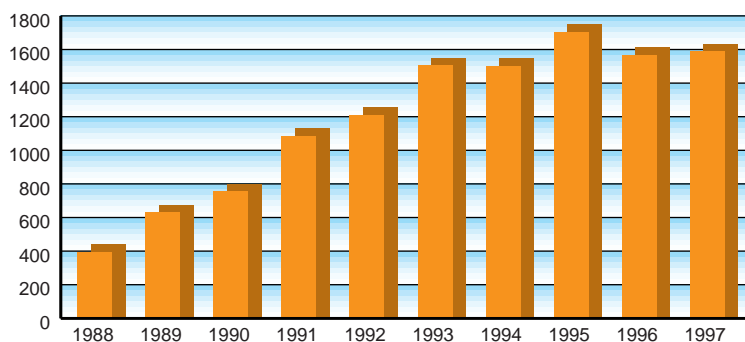
Studies in the First, Second and Third Years

First-year studies got under way with the usual introductory courses for helping the students settle in at the EUI and in Florence (e.g.

the intensive Italian courses and those to present the various departments of the EUI). Generally speaking, this first year is to be considered a period of preparation and assessment. During the second and third years, students continue to attend seminars connected to their research, but devote more time to collecting material and preparing their thesis. To assist their research, second- and third-year students may take advantage of

Application numbers over the last ten years (1988-1997)

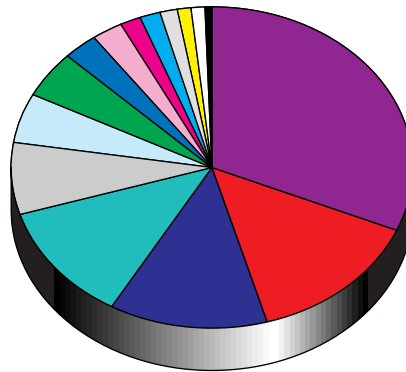
	88	89	90	91	92	93	94	95	96	97
B	19	17	20	16	24	38	34	40	26	23
D	77	135	130	143	145	161	184	207	183	179
DK	12	21	19	19	37	35	21	17	25	17
E	28	28	66	186	177	181	169	202	226	200
F	78	104	120	107	149	149	157	172	158	169
GR	21	42	40	53	49	49	47	84	57	72
I	69	135	157	163	149	277	306	382	374	444
IRL	11	18	23	24	52	54	53	57	39	34
L	4	1	4	2	6	2	5	3	1	4
NL	30	45	29	27	31	66	81	91	70	64
P	3	8	7	18	25	57	55	58	50	42
UK	43	76	139	131	128	157	133	120	114	102
CH									13	12
N									4	7
A				21	47	54	59	76	20	23
FIN									8	16
S									16	21
Total	395	630	754	910	1019	1280	1304	1509	1384	1429
C. & E. Europe				132	113	172	130	119	117	97
Other non-EU				42	79	53	67	75	66	61
Total	395	630	754	1084	1211	1505	1501	1703	1567	1587



Share of applications from EU in 1997

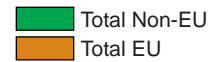
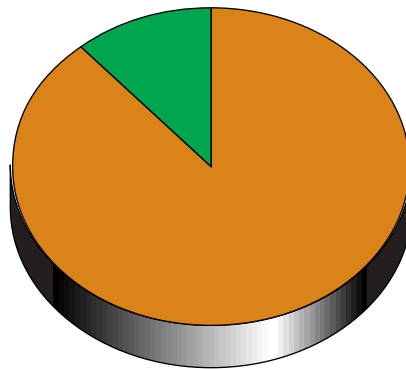
A	23
B	23
D	179
DK	17
E	200
F	169
FIN	16
GR	72
I	444
IRL	34
L	4
NL	64
P	42
S	21
UK	102

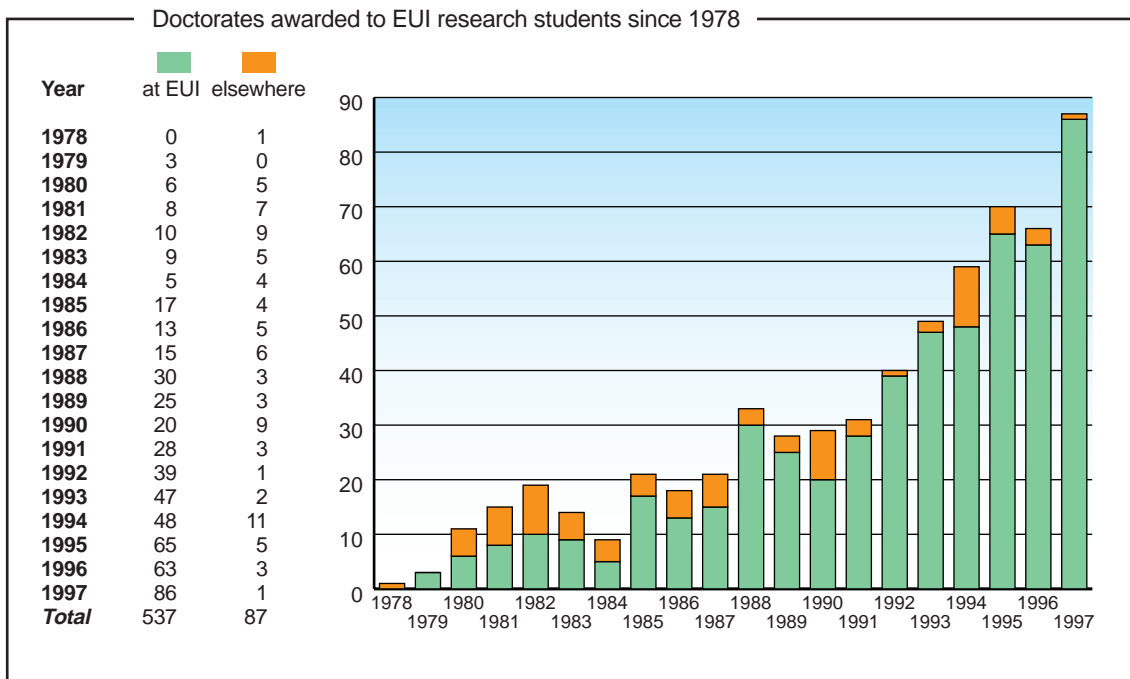
Total EU 1410



Share of applications from Non-EU in 1997

CH	12
N	7
C. & E.E.	97
Other	61
Total non-EU	177
Total EU	1410
EU & non-EU	1587



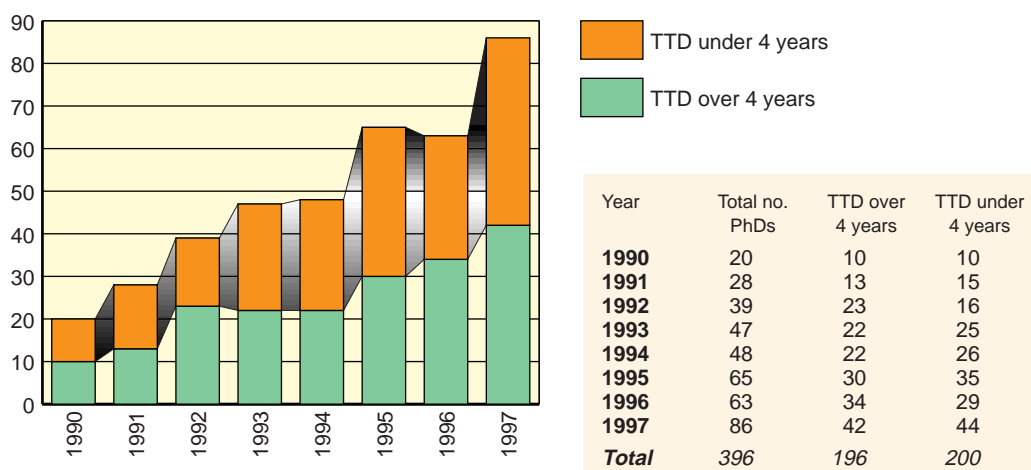


student exchange agreements, or of traineeships at EU Institutions. The EUI continued to send, and host, students through the inter-university agreements with three US universities (Wisconsin, Berkeley and New York) and, nearer to home, it applied for (and was granted) an 'Institutional Contract' within the framework of the ERASMUS programme for cooperation with 9 European universities (V.U. Amsterdam, Århus, Bergen, EHESS Marseille, Berlin-Humboldt, University College Dublin, LSE, Paris-Sorbonne and Vienna). The Institute also sent scholars to (and received from) Poland and Hungary through the TEMPUS scheme, via contracts coordinated by the Foundation for European Studies in Lodz, and the Faculty of Law at the Janus Pannonius University, Pecs.

Doctorates Awarded

In 1997, the number of doctorates defended surpassed that for 1996 by a third and has thus reached the highest level ever recorded at the Institute: 86, compared to the 63 and 65 of the last two years. Not only has there been a dramatic increase in the number of doctorates awarded, but also the overall performance in terms of completion rate and time-to-degree has undergone a significant improvement. Whereas a completion rate of 40% was recorded at the beginning of the '90s, the percentage is now up to 62%, and in terms of time-to-degree, in 1997 some 52% of students finished in less than four years. Overall, with a mean TTD of 4.4 years registered for 1997 (compared with 4.6 in 1996) the mean time to completion has taken another step towards the figure of four years the EUI is aiming at.

Number of theses defended at EUI over the last eight years, 1990-1997, and Time-to-Degree (TTD)



Doctorates Awarded in 1997

Department of History and Civilization

Regionalism in a Multilateral Framework. The EEC, the United States and the GATT – Confronting Trade Policies, 1957-1962.

YNZE ALKEMA

La fortuna delle Camere di Commercio. Politica degli interessi alla fine dell'Antico Regime.

DANIELE BAGGIANI

A l'immortalité: the Pursuit of Status and the Académie Française in France, 1635-1789.

MARTIN DAVID EDWARDS

Spyridon Lambros (1851-1919): 'Scientific' History in National Perspective in 19th Century Greece.

FOTINI GAZI

Danses macabres. La danse moderne en Allemagne dans l'entre-deux-guerres.

LAURE GUILBERT

L'artisanat et les corporations de métier dans la partie centrale des Balkans pendant la première moitié du XIXème siècle.

SVETLA IANEVA

'L'agriculture est une science chimique!' Eléments pour une histoire comparée des sciences agronomiques allemandes et françaises de la seconde moitié du 19ème siècle.

NATHALIE JAS

British Perceptions of the Indian Landscape, 1750-1830.

JAMES LANCASTER

Famille et patrimoine dans un village de montagne de l'Italie du Sud, 19ème et début 20ème siècles. Les successeurs-héritiers masculins à Greci (Campanie).

MONIQUE LE CHÈNE

Handeln, Bedeuten, Geschlecht. Konfliktaustragungspraktiken in der ländlichen Gesellschaft der Mark Brandenburg (2. Hälfte des 16. Jahrhunderts bis zum Dreissigjährigen Krieg).

MONIKA MOMMERTZ



Macht und Ohnmacht der Verflechtung: Rom und Bologna unter Paul V.
NICOLE REINHARDT

The Idea of Africa within Myth and Reality. Cosmographic Discourse.
FRANCESC RELANO CASTILLO

Portraits of the Nation. Stamps, Coins and Banknotes in Belgium and Switzerland 1880-1945.
ALEXIS CASPAR SCHWARZENBACH

Geplante Zukunft: Wissenschaftspolitik und Wissenschaftler in der DDR 1955-1971.
AGNES TANDLER

Austellungen einzelner Gemälde vom späten 18. bis zum Ende des 19. Jahrhunderts. Ein Beitrag zur Geschichte bürgerlicher Kunst, Kultur und Mentalität in Europa.
CHRISTIAN TORNER

Conventualismo femenino y expansion contrarreformista en el siglo XVII. El Carmelo Descalzo Español en Francia y Flandes (1600-1650).
MARIA CONCEPCIÓN TORRES SANCHEZ

Dollars, Pounds and Transatlantic Security: Conventional Troops and Monetary Policy in Germany's Relations to the United States and the United Kingdom 1955-1967.
HUBERT ZIMMERMANN

Department of Economics

Earnings Inequality in Portugal: the Relevance and the Dynamics of Employer Behaviour.
ANA RUTE CARDOSO

Fiscal Convergence, Expectations and Speculative Attacks in the European Monetary System.
POMPEO DELLA POSTA

Ending High Inflation: the Case of Russia versus Poland and the CSFR.
BRIGITTE DELOY GRANVILLE

Limit Pricing and Multi-Market Entry: the Strategic Behaviour of Multinationals under Symmetric Information.
STUART JAMES DIXON

Breaking Out: Essays on Partner Selection in Prisoners' Dilemma Games.
ESTHER HAUKE

Research and Development, Production, Differentiation and Robust Estimation.
JEROEN HINLOOPEN

Coalitions in International Policy Games
MARION DOROTHEA KOHLER

Market Structure and Firm Structure in Imperfectly Competitive Markets.
SANDRINE LABORY

Endogenous Growth in Heterogeneous Human Capitals.
LAVAN MAHADEVA

Essays on Simulation-based Inference.
CHIARA MONFARDINI

Three Essays on Competitive Bidding.
PAUL PEZANIS-CHRISTOU

Learning: Some Experimental Results and the Analysis of Learning Mechanisms.
MELANIE ROBERTS

Three Essays on the Economic Situation of Women in Hungary after the Fall of Socialism.
CATHERINE SAGET

Macroeconomic Modelling of the Greek Economy
DIMITRIS SIDERIS

Comparative Advantage in International Trade: Theory and Evidence.
MIRELA URSULESCU

Essays on Econometric Modelling.
AKOS VALENTINYI

Prices with Imperfectly Informed Consumers.
ROGER WALDECK

Bundesbank for Sale: an Economic Analysis of the Maastricht Treaty.
BERNHARD WINKLER

Inter-Industry Wage Differentials: International Evidence from Micro Data.
LUISA ZANCHI

Department of Law

La désétatisation des services d'utilité publique. Cadre et limites juridiques en droit communautaire et en droit hellénique. Télécommunications, services postaux et électricité.
ELISABETH ADAMANTIDOU

Institutional Analysis as an Approach to Assessing Competition Policy During Transition. A Study of Poland, the Czech and Slovak Republics, and Hungary.

ISABELA ATANASIU

Conceptions et politiques des mesures d'action positive.

JOAO CASQUEIRA CARDOSO

L'evoluzione normativa dell'attività bancaria nel diritto comunitario e dei suoi paesi membri.

SIMONETTA COTTERLI

The Principle of Subsidiarity and its Critique.

ANTONIO ESTELLA DE NORIEGA

Negligent Professional Advice and Third Parties' Economic Loss. Liability between Contract and Tort.

EVA FRADES

I provvedimenti cautelari nel processo internazionale.

PAOLA GAETA

La citoyenneté de l'Union: de la liberté de circulation à une démocratie européenne.

MARIE-JOSÉ GAROT

A Comparative Study of the Roles of the Commission and National Administrations in the Supervision and Enforcement of EC Law.

ALBERTO JOSÉ GIL IBANEZ

Citoyenneté et Nationalité: limites de la rupture d'un lien.

BENOÎT GUIGUET

Oil's Empire. Civil Liability in International Law for Accidental Oil Pollution: the Emergence of a Legal System.

JENS KELLERHOF

The Circulation, Use and Conceptualisation of European Sex Equality Norms: a Comparative Analysis.

CLAIRE MARIA KILPATRICK

La politica comunitaria preferenziale di cooperazione allo sviluppo. Un'analisi giuridica nel contesto comunitario e internazionale.

ANNA LIXI

Funzioni e finzioni della contrattazione collettiva comunitaria. La contrattazione collettiva come

risorsa dell'ordinamento giuridico comunitario.

ANTONIO LO FARO

Verso una ricostruzione della nozione di sicurezza nel rapporto di lavoro: Spunti comparativi e prospettive comunitarie.

PIERA LOI

An Institutional Comparative Analysis of Company Taxation in the European Community

ADOLFO MARTIN JIMENEZ

El uso de los precedentes en los sistemas europeos de derecho codificado. Los ejemplos español, alemán y holandés.

LEONOR MORAL SORIANO

Who's Afraid of Natural Law. A Comparative Look at the Use of Political Morality in Constitutional Decision-Making in Canada, Ireland and Italy.

RORY JEREMIAH O'CONNELL

States and Markets. Deregulatory Competition and the Public Law of Banks.

CARLOS PADROS REIG

Behind the Mask. Regulating Health and Safety in Britain's Offshore Oil and Gas Industry.

JOHN BEATTIE PATERSON

Tendencies and Prospects in the European Convention on Human Rights Protection Mechanism

ANGELICA-CARLA POPOVICIU

Stranger in Your Own Home: the Incorporation of Resident Aliens into the Political Community: Theory and Constitutional Practice in Germany and the United States.

RUT RUBIO MARIN

L'impugnazione per motivi di diritto nel sistema comunitario. Aspetti problematici e profili comparativi

SILVIA ANGELA SONELLI

Le coût indirect des responsabilités familiales.

PASCALE VIELLE

L'institutionnalisation du travail intérimaire en Europe.

CHRISTOPHE VIGNEAU

Institutional Frameworks of Community Health and Safety Regulation – Committees, Agencies and Private Bodies

ELLEN IRMA LOUISE VOS



Effective Judicial Protection in E C Law and the Individual: a Constitutional Analysis.

ANGELA WARD

Department of Political and Social Sciences

The Role of Public Prosecutors in Democratic Regimes. A Comparative Study: Italy, Spain, England and Wales.

ADRIANA ALBERTI

Individual and Systematic Determinants of Electoral Abstention in Western Europe

EVA ANDUIZA PEREA

When Scientists Turn to the Public: Alternative Routes in Science Communication.

MASSIMIANO BUCCHI

Il Giusto, il Bene e le Differenze: il conflitto dell'aborto. Un dilemma privato tra discorso pubblico, relazioni di genere e teorie della democrazia.

MARINA CALLONI

How Voters Process Information: an Inquiry into the Formation of Electoral Preferences.

DONATELLA CAMPUS

The Nationalization of Electoral Politics - A Comparative and Historical Analysis of Territories, Elections and Parties in Western Europe

DANIELE CARAMANI

Les métamorphoses de l'action positive: des Human Rights aux ressources humaines.

LOUIS CHARPENTIER

The Sediment of Reason: Basic Rights in Germany and Great Britain.

BERNARD JOHAN CRUM

Gobiernos de coalición en el sistema presidencial: America del Sur.

GRACE IVANA DEHEZA GUTIERREZ

Business Political Activity in Technical Controversy. A Study on the Socio-Cultural Embeddedness of Economic Organizations.

MARION DREYER

La delegittimazione della conoscenza scientifica: normatività, frodi e controlli nella scienza.

LUCA GUZZETTI

From Leadership to Cooperation: the Role of the Turkish in Bargaining with Foreign Investors in the 1980s.

ANNA GUDRUN LEANDER

The Defence and Security of Western Europe in a New Era: Breaking the Vicious Circle of Paradox in the Security and Defence Identity. Common Defence Policy Process ?

JULIAN LINDLEY-FRENCH

From Possible Worlds to Conflicting Worlds. A Sociological Approach to Semantics.

JEAN-PIERRE MALRIEU

What is International Stability?

PATRICK MCCARTHY

Confronting Morality with Evolutionary Methodologies

DANIEL OAKEY

The Plurality of Truth: a Critique of Research on the State and European Integration

HANNA-MARI OJANEN

The Pledge to Secrecy. Culture, Structure and Action of Mafia Associations.

LETIZIA PAOLI

International Business and the European Integration Process: the Example of Outward Processing Traffic between the European Union and the Central and Eastern European Countries.

JULIE PELLEGRIN

Gnostic Wars: the Cold War in the Context of a History of Western Spirituality.

STEFAN ROSSBACH

Consensus and Conflict: Value Collectives and Social Conflicts in Contemporary German Society.

SIGRID ROSSTEUTSCHER

The Reproduction of Ethnic Prejudice and Racism through Policy and Press Discourse. the Italian Case (1988-1992).

JESSIKA ELSEBETH TER WAL

Stranded on the Threshold of 1989: the Rise and Fall of Antagonists in Poland's Permanent Transition.

HARALD BERNHARD WYDRA

LL.M. Diplomas
Awarded in 1996-7

La coherencia en el derecho. Aproximación a las teorías de Neil MacCormick y de Ronald Dworkin
AMALIA AMAYA NAVARRO

The Influence of Community Law on the Member States' Criminal Laws and its Problems
ANGELA AUGUSTIN

State Aids and General Measures
KELYN BACON

Bulgaria in the Council of Europe: An Appraisal After Five Years of Membership
MARCUS BRAND

The Europe Agreements: Legal Framework and Possible Direct Effect
MARC DE BOURCY

Harassment in the Workplace: The Case for Community Intervention
ANNA-MARIE HUNTER

The Principle of Proportionality in EC Law and its Application in Norwegian Law
MARCO LILLI

Procedural Principles of Good Administration in Community Law
HANNS NEHL



Events

On Friday 20 June 1997 the President of the Institute, Dr PATRICK MASTERSON, and the Mayor of Florence, MARIO PRIMICERIO, signed a cooperation agreement between the City of Florence and the Institute. Members of the Institute's High Council representing the Member States attended the ceremony, which took place in the Salone dei Cinquecento in Palazzo Vecchio.



Mayor Primicerio and Dr Masterson

On Tuesday 1 July, at the invitation of Mr PETER PEX, MEP, Chair of the Committee on Culture, Dr MASTERSON, accompanied by the Institute's Secretary and the Director of the Schuman Centre, offered the European Parliament an account of the Institute's activities and development plans.

The Academy of European Law held its eighth session from 23 June-18 July in Villa Schifanoia. Prof. ANTONIO CASSESE, President

of the International Criminal Tribunal for the Former Yugoslavia, gave the Distinguished Lecture on Is There a Need for International Criminal Justice?

Prof. GÉRARD DELILLE organized the first summer school on Démographie historique, held at Villa Schifanoia from 25 August to 12 September. This summer school is a joint initiative of the Institute, the Società Italiana di Demografia, the Société de Démographie Historique and the Asociación de Demografía Histórica Iberica.

The 1997 EUI Alumni Weekend was held in Lisbon on 19-21 September. Portuguese alumni organized a memorable programme. On the Friday participants, who included Dr and Mrs MASTERSON and Prof. JAIME REIS of the History Department, were guests of the Assembleia da República (Parliament) and had lunch with the Vice-President of the Parliament, JOÃO AMARAL. In the afternoon alumnus FRANCISCO TORRES, MP, President of the Parliamentary Committee on European Monetary Union, chaired a round table where the group discussed among other things the future of postgraduate education in Europe and in particular the Institute's role. The highlight of the day was the reception by the President of the Republic, JORGE SAMPAIO, on the terrace of the Presidential Palace. Dinner on the *docas* - at the invitation of the representation of the European Union in Portugal - ended the day. The Saturday was dedicated to sightseeing and dinner in a *fado* restaurant. The Weekend concluded with the General Assembly.

On Monday 20 October the EUI Alumni Association in collaboration with the Careers Advisory Service held the first Career Forum at the Institute. Former researchers of the Economics and Law Departments who have entered an international career by joining an international organization or multinational company described to Institute researchers

their jobs and their institutions and more specifically their tasks and responsibilities. Researchers in the audience had the opportunity to ask questions and afterwards consult the speakers individually.

On Friday 14 November the Euroworkshop Free Trade and World Market: European Strategies, organized by the Italian Ministry of Foreign Trade and the Institute, was held at the Badia Fiesolana. The Euroworkshop was attended by Italian Minister AUGUSTO FANTOZZI, almost all EU Ministers of Foreign Trade, WTO Director-General RENATO RUGGIERO, EC Commission Vice-President Sir LEON BRITTAN, OECD Secretary-General DONALD JOHNSTON, the Institute's President Dr PATRICK MASTERSON and Prof. GIULIANO AMATO.

On the same day, the Working Group on Telecommunications and Information Society of the Robert Schuman Centre organized a workshop on Telecommunications Regulation

to exchange views on the future regulatory challenges emanating from the liberalization of the telecommunications sector as seen from the perspectives of regulators, academics and market players. Participants included Prof GIULIANO AMATO, Head of the Italian Antitrust Authority, officials from the WTO, OECD and European Commission, representatives from industry and academics.

The international workshop A European Framework for Bioethics? organized by the Fondazione Carlo Marchi – Firenze and the Institute took place on 28 and 29 November at the Institute.



The President of the Portuguese Republic Jorge Sampaio with his guests on the terrace of the Palácio de Belém

People



Prof. Stelios Perrakis meeting Greek researchers

In the context of this year's Greek Presidency of the High Council of the Institute Prof. STELIOS PERRAKIS, Secretary General for European Affairs at the Ministry of Foreign Affairs of the Hellenic Republic visited the Institute on 6 March and gave a lecture on *La Conférence intergouvernementale. Les perspectives helléniques*.

In 1997 the Council of Irish Rectors chose Florence and the European University Institute as the venue for their annual general meeting. The meeting took place on 11 April and was attended by: Sir GORDON BEVERIDGE (Vice Chancellor, Queen's University Belfast), Dr ART COSGROVE (President, University College Dublin), Dr MICHAEL MORTELL (President, University College Cork), Dr THOMAS MITCHELL (Provost, Trinity College Dublin), Dr SEAMUS SMYTH (Master, St Patrick's College, Maynooth), Dr EDWARD WALSH (President, University of Limerick), Dr DANIEL O'HARE (President, Dublin City University), Dr JOHN NOLAN (Registrar, The National University of

Ireland) and Sir TREVOR SMITH (Vice Chancellor, University of Ulster).

On 21 April, the secretary-general of the OECD, the Hon. DONALD J. JOHNSTON, and the heads of mission accredited to the organization visited the Institute.

On 2 May the Institute received the visit of Mr PETER PEX, The Chairman, Committee on Culture, Youth, Education and the Media, European Parliament, together with Ms MONICA BALDI, First Vice President.

The President, Dr MASTERSON, was elected to membership of the Lisbon Academy of Sciences (Academia das Ciências de Lisboa).

On 7 June in the Salone dei 500 in Palazzo Vecchio Prof. GÉRARD DELILLE of the Department of History and Civilisation was awarded the CLIO prize by the Mayor of Florence and the Secretary of the International Academy 'Le Muse'. The prize is in recognition of his lifetime contribution to historical scholarship.

Dr PETER RINDERLE received the 1997 Rotary Prize Obiettivo Europa for the best thesis in Social and Political Science defended at the Institute during the past four years. The prize which carries a cash award of Lit. 6 million, was awarded on 25 June in the presence of the members of the Rotary Club Firenze Nord who established this prize together with their French and Spanish partners of Colombes and Valencia respectively nine years ago. Prof. PIZZORNO, Dr RINDERLE's supervisor, gave a short presentation of the thesis entitled *The Structure and Dynamics of Political Reason*.

Dr MASSIMIANO BUCCHI, former researcher in the Department of Political and Social Sciences received the 1997 Nicholas Mullins Award. This international prize is given every year by the Society for the Social Study of Science and by the International Sociological

Association for the best paper by a young scholar in the field of the sociology of science. Dr BUCCHI's paper entitled 'When Scientists Turn to the Public: Alternative Routes in Science Communication', published in the journal *Public Understanding of Science*, 5, 1996, emanates from a chapter of his thesis defended at the Institute in April 1997.

Prof. MASSIMO LA TORRE was awarded the 'Book of the Year' Prize by the Club of Italian Lawyers for his book: *Disavventure del diritto soggettivo. Una vicenda teorica*.

On 26 September EU Competition Commissioner KAREL VAN MIERT gave a lecture entitled *Europe 2000: The Challenge of Markets and Competition Policy*.

Following its essay competition on economic and financial topics related to European affairs, the European Investment Bank held the biannual Prize Awarding Conference at the Institute on 10 October. All jury members (Lord ROLL OF IPSDEN, ANTONIO BORGES,



Professor Malinvaud and Dr Masterson

EDMOND MALINVAUD, ALBERTO QUADRIO-CURZIO, HELMUT SCHLESINGER, JACQUES-FRANÇOIS THISSE and ALFRED STEINHERR) attended the full-day conference.

Professor SØREN JOHANSEN of the Department of Economics was awarded the Director Ib Henriksens Research Prize 1997 for outstanding research to the benefit of Denmark



Professor Søren Johansen

Dr MIGUEL POIARES MADURO PESSOA is the second researcher to receive The EUI Law Prize.

Prof. EDITH SAURER (Universität Wien), Jean Monnet Fellow in the Department of History and Civilization, was awarded the Possanner Prize by the Austrian Government for her academic work in the field of gender democracy.

On 13 November, Mr CARL THAM, Swedish Minister of Education visited the Institute. He also participated in the conference From 1968 to the Turn of the Millennium: Italy, Sweden and Germany in Comparison organized by Professors BO STRÅTH and LUISA PASSERINI in the framework of the project The Cultural Construction of Community.

The President, DR MASTERSON, was awarded one of the highest Italian decorations on the 1997 Christmas list. He was made 'grand'ufficiale dell'ordine al merito della Repubblica'.



Publications by Staff Members

Department of History and Civilization

Becker, Peter, with Alf Lüdtke (eds), *Akten, Eingaben, Schaufenster: Die DDR und ihre Texte. Erkundungen zu Herrschaft und Alltag*, Akademie, Berlin

-, 'Gefallene Engel' und 'verhinderte Menschen': Über 'Erzählmuster', Prostituierte und die Kriminalistik des vorigen Jahrhunderts in Detlev Frehsee (ed.) *Konstruktion der Wirklichkeit durch Kriminalität und Strafe*, Nomos, Baden-Baden, 329-349
- Review of Isabel V. Hull: *Sexuality, State and Civil Society in Germany, 1700-1815*, Cornell UP Ithaca. In *Archiv für Sozialgeschichte* 37, 652-655

Brewer, John, *The Pleasures of the Imagination: English Culture in the eighteenth Century*, Harper-Collins, London, Farrar Straus & Giroux, New York, 713

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Physical developments

In 1997 the Italian Interministerial Committee set up to provide logistic support to the Institute held some particularly important meetings with a view to providing the additional space required for the Institute's expanding scholarly and archival activities.

At its February 1997 meeting, in the presence of the President, DR MASTERSON, the Committee adopted a decision to make available to the Institute another building in addition to the Badia Fiesolana, the Villa Schifanoia, the Villa Il Poggiolo and the San Domenico Convent.



The cloister of the Badia Fiesolana

The Interministerial Committee went on to give favourable consideration to a construction project for a new library to be built at the Badia Fiesolana to accommodate the Institute's expanding collections of over 500 000 volumes.

The Italian authorities have also provided funds to complete the restructuring and maintenance work on the premises already in use.



The gardens of Villa Schifanoia

Funding of the EUI

The institutional funding of the Institute is provided by the Contracting States, with financial contributions set according to the following scale:

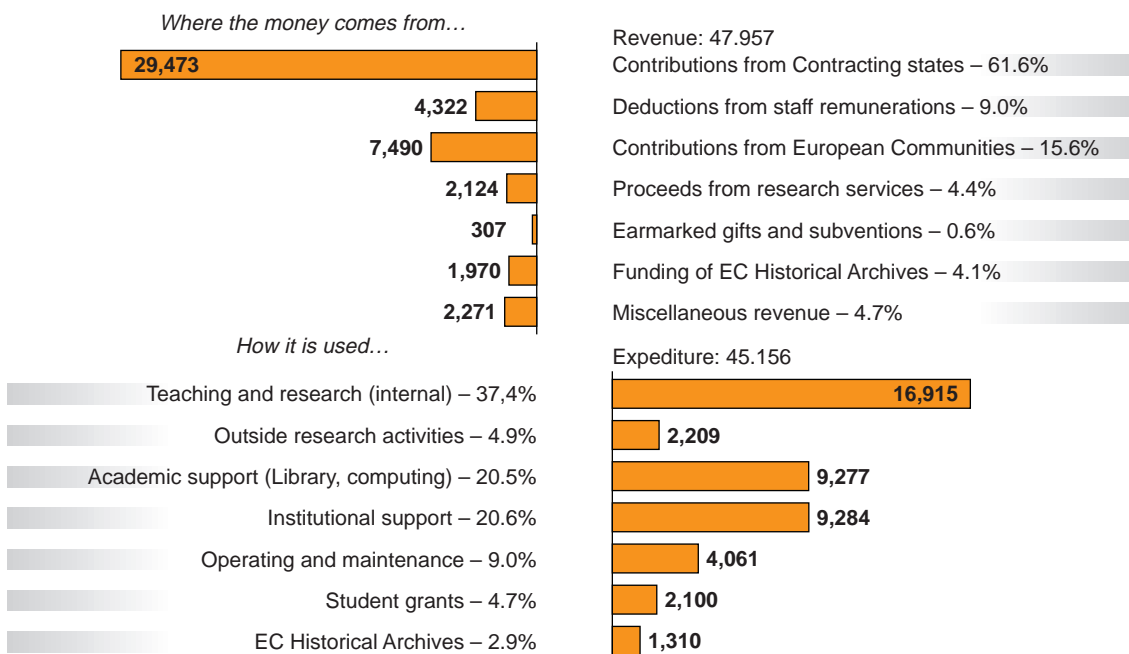
France, Italy, Federal Republic of Germany, United Kingdom:	19.19%
Belgium, Netherlands:	5.48%
Denmark	2.24%
Hellenic Republic	1.62%
Kingdom of Spain:	6.87%
Ireland:	0.57%
Luxembourg:	0.17%
Portugal:	0.81%

The Institute also has resources of its own, consisting of deductions from staff remuneration and income from research services. It is entitled to receive gifts and legacies.

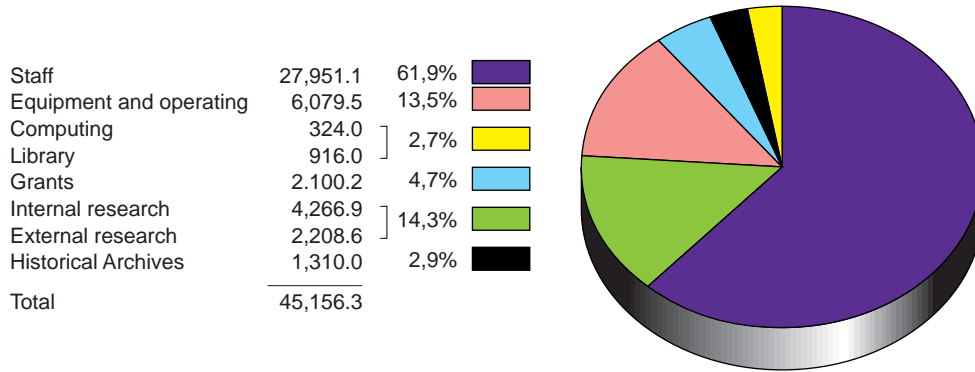
Of particular note is a contribution from the European Communities (7.490 million Lire), earmarked for specific teaching and research activities.

Expenses associated with the administration of the European Community Historical Archives are covered by a contribution (1.970 million Lire) from the European Communities.

Revenue and expenditure for the 1997 financial year (in million Lire)



Breakdown of expenditure



Finland and Sweden joined the Institute in 1997. The two new contracting states contributed a three-month proportion of their annual contribution to Institute funding. From 1 January 1998 Austria will become an Institute

contracting state, raising their number to all 15 European Union countries. The scale of contributions to the Institute budget will be altered to take account of full-year contributions by the new countries.

The Institute's Governing Bodies

The High Council

By Article 6 of the Convention setting up the Institute, the High Council is made up of representatives of the Member State governments. The European Union has observer status.

The High Council held its two annual meetings on 19 and 20 June and 11 and 12 December 1997, chaired respectively by Professor D. CONSTAS (June) and Professor A. FATOUROS (December), of the Greek Delegation. At these two meetings the High Council, in agreement with the representatives of the acceding States, made the necessary amendments to the Convention setting up the Institute to give effect to the accessions of Sweden and Finland (June 1997) and of Austria (December). The Institute thus now has the same 15 Member States as the European Union.

The High Council gave prolonged consideration to the Institute's Secretary's report on various specific measures to enhance the efficiency of the Institute's Administration in the service of its academic objectives.

The outcome has been in particular a number of High Council Decisions improving the Institute's financial management rules (concerning especially the award of contracts by direct agreement, approval of payment orders by the Financial Controller, transfer of pension rights and assessment of assets on the inventory). In parallel, the Institute has implemented in 1997 a number of Management Review proposals not falling within the High Council's province.

At its December meeting, the High Council adopted the Budget for 1998, providing in particular for creation of a new professorial Chair. At the same session, it appointed a new Auditor of the Institute's accounts, Ms. MILAGROS GARCÍA CRESPO.

In academic respects, the High Council took the decision that all Institute teachers ought to have similar responsibilities in terms of teaching and research, especially as regards researcher thesis supervision. It accordingly decided to convert the lecturer posts to professorial chairs.

Academic Council

The Academic Council, chaired by the President, comprises the Institute's Secretary, all teachers, the Librarian, seven researcher representatives and one representative each of the Jean Monnet Fellows and the Staff Committee. It has general powers in the teaching and research area, and may bring recommendations before the High Council on matters falling within its province.

Apart from decisions on nominations (of thesis examining board members, or, in restricted session, future Institute teachers or certain members of Research Council) or on renewals of teaching staff contracts, the main decisions taken in 1997 by the Academic Council concerned:

- approval of the Institute Library's new internal regulations;
- adoption of the decisions authorizing the wearing of distinctive academic garments by holders of the Institute's LI.M. or M.Econ.



The High Council		
Belgique	Mr. Van Langenhove	Assistant Secretary General, SSTC
Deutschland	Mr. Hans Friedrich Mr. Matthias Bunge	Bundesministerium f. Bildung und Wissenschaft Land Nord-Rhein-Westfalen (KMK)
Denmark	Prof. Hans Chr. Johansen Ms Ellen Hansen	Universitet Odense Rektorkollegiet
Hellas	Prof. Argyris A. Fatouros Ms Rea Raptarchis	Athinisin Ethn. kai Kapodistiakon Panepistemion Ministry of Foreign Affairs
España	Mr. Andreas Pavlopoulos Ms Jerez Amador de los Rios	IKY, Chair of Budget Committee Ministerio de Educación y cultura
France	Mr. Yves Saint-Geours Ms. Anne Hofmann	Embassy of Spain, Rome Ministère des affaires étrangères Ministère des affaires étrangères
Ireland	Senator Maurice Manning	Department of Education and Science
Italia	Mr. Giandomenico Magliano Mr. Antonio Paolucci	Vice-direttore generale delle Relazioni culturali Ministero Beni culturali
Luxembourg	H.E. Jean-Marie Hostert Mr. Jeannot Hansen	Ambassador of Grand-Duchy, Rome Ministère de l'Education nationale
Nederland	Mr. W.J.J. Van Velzen	Min. van Onderwijs en Wetenschappen
Österreich	Mr. Friedrich Faulhammer Prof. Ulrike Leopold	Bundesministerium f. Wissenschaft und Verkehr Universität Graz
Portugal	Prof. A. Marques Guedes Prof. De Almeida-Costa	Universidade de Lisboa Universidade de Coimbra
Suomi/Finland	Ms Cavaleiro Ferreira Prof. Reijo Vihko	Ministério dos Negocios Estrangeiros President, Academy of Finland
Sverige	Mr. Markku Linna Prof Olof Ruin Ms. M. Harby Samuelsson	Ministry of Education Chair, HSFR Ministry of Education and Science
United Kingdom	Ms Marianne Yagoubi Mr. C. Anthony Clark Prof. Ivor Crewe	HSFR Under Secretary for Higher Education Vice-Chancellor, University of Essex
European Union (observers)	Mr. Jean-Claude Eeckhout Mr. Antonio Sacchetti	Commission General Secretariat Council Legal Service

The Research Council

In the course of 1997 Prof. MICHEL QUEVIT resigned from the Council owing to his new duties in Belgium. Prof. HANS CHRISTIAN JOHANSEN had to withdraw from the Research Council since his home university offered him more time-consuming tasks.

In the spring the Research Council discussed the self-evaluation reports from the Departments of Law and History and Civilization.

During the autumn session it became evident that the departments had not had sufficient time to reflect on the specialized groups' comments. Final recommendations will therefore be made during the spring 1998 meeting. As always the Council discussed during its autumn meeting teaching staff's research project proposals and evaluated the results reached.

During 1997 the Council paid particular attention to the problem of recruitment, and a

special working group was set up which over the summer drew up an advisory report to assist the President in resolving recent recruiting difficulties.

Research Council members in 1997 were:

MAURICE AYMARD, Maison des Sciences de l'Homme, Paris

ANTON BARTEN, Katholieke Universiteit Leuven

ROBERTO BARZANTI, Vice-President, European Parliament

JOHN BELL, University of Leeds

MICHAEL JOACHIM BONELL, Università "La Sapienza", Roma

PAUL CHAMPSAUR, INSEE, Paris

PIERRE HASSNER, Fondation nationale des sciences politiques

WERNER HILDENBRAND, Universität Bonn

ROELAND IN 'T VELD, Universiteit van Utrecht

HANS CHRISTIAN JOHANSEN, Universitet Odense

JACQUELINE LASTENOUSE, Commission of the European Communities

HARTMUT LEHMANN, Max-Planck-Institut für Geschichte, Göttingen

ANTOINE LYON-CAEN, Université de Paris-Nanterre

JOHAN OLSEN, University of Oslo, Norway

JEAN-CLAUDE PIRIS, Council of the European Communities

MICHEL QUEVIT, Université Catholique de Louvain

JOHN ROBERTS, Emeritus, Merton College Oxford

FRITZ SCHARPF, Max-Planck-Institut für Gesellschaftsforschung, Köln

GIUSEPPE TESAURO, Court of Justice, Luxembourg

GILBERT TRAUSCH, Centre d'études et de recherches européennes, Luxembourg

HANNELORE WECK-HANNEMANN, Universität Innsbruck

VINCENT WRIGHT, Nuffield College, Oxford

